

From: DIGIT-CIRCABC@nomail.ec.europa.eu
To: [Undisclosed recipients:](#)
Subject: JRC Report on technical proposals for by-products - Version 2
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As member of the interest group [JRC by-product fertilisers](#) (Category: Joint Research Centre), you received the following message.

Message

From the user Dries HUYGENS

Dear all,

The second version of the JRC draft report “**Technical proposals for by-products as component materials for EU Fertilising Products**” is now available on CIRCABC. The report updates version 1, and provides an assessment of candidate materials for CMC 11 – within the meaning of Directive 2008/98/EC. In addition, the JRC presentation given at the Commission Expert Group Meeting on 24 November 2020 is uploaded to CIRCABC.

The report includes a **questionnaire aimed at complementing the information database** on candidate materials for CMC 11. All aspects of the questionnaire, including question content and instructions for respondents, are outlined in section 10 of the report. Stakeholder input in response to the consultation is essential for the development of criteria proposals. The **members and observers of the**

Commission Expert Group on Fertilising Products are kindly invited to provide input by the **deadline of 25 January 2021**.

We are looking forward to receiving your feedback and support to progress with the development of the proposals on quality and safety criteria for CMC 11. For any further questions, please contact the JRC at JRC-B5-Fertilisers@ec.europa.eu

Kind regards,

Hans Saveyn & Dries Huygens

European Commission - Joint Research Centre

Circular Economy and Industrial Leadership Unit

Directorate B - Growth and Innovation

Edificio EXPO, Calle Inca Garcilaso 3

E-41092 Sevilla

Spain

Tel +34 954 488 349

<http://ipts.jrc.ec.europa.eu>

To access the CIRCABC Interest Group 'JRC by-product fertilisers', please click [here](#), or go to [CIRCABC](#) -> Browse all groups -> European Commission -> Joint Research Centre -> JRC by-product fertilisers. For those organisations not yet listed as members of this Interest Group, please click on "Join the Group", and indicate the name of your organisation in the submission form. Your application will be approved manually by the JRC in due course. Members' and observers' organisations of the Commission expert group on fertilising products will be given access to upload and manage their documents in the library.

Attachments:

[By-products JRC draft report v2.pdf:](#)

[FWG Presentation November 2020.pdf:](#)

Best regards,

The CIRCABC team

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EUROPEAN COMMISSION
JOINT RESEARCH CENTRE

Directorate B - Growth and Innovation (Seville)
Circular Economy and Industrial Leadership

1 **Technical proposals for by-products as**
2 **component materials for EU Fertilising**
3 **Products**

4 **Background document**

Date: 27 November 2020

Version: 2

Authors: Dries HUYGENS, Hans SAVEYN

Public: Commission expert group for Fertilising
Products consisting of Member State
authorities, EU industry associations and
environmental NGOs

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5

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Document History

Version	Date	Comment
1	24/04/2020	Background document on project framework directions
2	27/11/2020	Background document, updated with selection of candidate materials

draft - work in progress

126 1 PROJECT OBJECTIVE

127 Article 42(7) of the Fertilising Products regulation (EC) 2019/1009 (FPR) indicates that “the
128 Commission shall adopt delegated acts [...] of component material category 11 in Part II of Annex
129 II to this Regulation by laying down criteria on agronomic efficiency and safety for the use of by-
130 products within the meaning of Directive 2008/98/EC in EU fertilising products. Such criteria
131 shall reflect present product manufacturing practices, technological developments and the latest
132 scientific evidence.”

133

134 DG GROW has requested DG JRC to **formulate proposals that could serve as a technical basis**
135 **for the implementation of Article 42(7)**, thus on agronomic efficiency and safety for by-products
136 within the meaning of Directive 2008/98/EC as a Component Material Category (CMC 11 – Annex
137 II).

138

139 Although the use of substances and chemicals in manufacturing and products is cautiously
140 regulated within the EU, production process by-products to be used in sensible applications like
141 the food chain may require additional controls compared to intentionally manufactured products.
142 By-products may also be affected by incidental contamination throughout their lifecycle, and firms
143 may not have access to information on the composition of goods other than the primary product.
144 The general objective of this project is the task of **analysing, developing and proposing criteria**
145 in line with the objective of enabling the use of **by-products as value-added components** for the
146 EU agricultural sector, at the interface between chemicals, products and waste legislation.

147 2 AIM OF REPORT VERSION 2, DATED 27 NOVEMBER 2020

148 At two different occasions (March 2019; April 2020), DG GROW and DG JRC have invited the
149 COM Expert Group on Fertilising Products to provide input for CMC 11 candidate materials. The
150 current document (version 2) includes a first assessment for the materials proposed by the COM
151 Expert Group (cfr. the newly added sections 6 and 7 in this version 2). In addition, some materials
152 have been added to the proposals based on a screening of techno-scientific literature by JRC.

153

154 The criteria development approach of the JRC departs from a **basic technical understanding** of
155 the production process and industries that produce candidate CMC 11 materials, as well as the
156 composition in terms of main elements and potential contaminants. Such information facilitates the
157 development of technical criteria proposals in terms of safety, agronomic value and “usability” for
158 the private sector operators.

159

160 For each of the individual candidate materials, the JRC has progressed based on the information
161 submitted by the stakeholders and publically available techno-scientific literature. Fertilising
162 component materials that are “technical additives” (e.g. binding agents, fillers) will afterwards be
163 considered once the analysis for materials that have “agronomic value” has been finished. The
164 assessment involved an analysis of the **agronomic value** (e.g. as a fertiliser, soil improver, liming
165 material, plant biostimulant) of the material, and the possible **impurities** that may be present in the
166 material. **A list of materials for further assessment has now been developed (“priority**
167 **materials”)**, based on the criteria for the selection of candidate materials (i.e. alignment to the
168 scope, current legal situation under Regulation (EC) No 2003/2003 and national rules in EU
169 Member States, market potential and future outlook, data availability, straightforwardness for
170 criteria settings; see section 5.3.2). In order to structure the report, the materials have been divided
171 into different (sub-)groups (see section 7). Altogether, these materials represent a dominant share

172 of the by-products that are used directly, without processing, as component materials for fertilising
173 products. The materials are listed in sections 6 and 7.

174

175 JRC will progress with the analysis and possible criteria setting for these selected “**priority**
176 **materials**”, **based on a positive list approach**. The Commission has to develop and adopt criteria
177 for by-products by July 2022. Therefore, a necessity arises to develop draft criteria for these
178 materials, taking into consideration possible challenges that may arise during the next project steps
179 to criteria adoption. This might ensure continuity for many fertilising product components that are
180 currently covered under Regulation (EC) No 2003/2003. The next project phase will therefore
181 focus on development of criteria for the priority materials as proposed in section 7.

182

183 For the selected priority materials, JRC requests **data and information on the impurities and**
184 **contaminants** that might potentially be present in these materials. JRC has already identified the
185 nature of some impurities/contaminants, but this list needs to be complemented and information on
186 their concentration in candidate materials needs to be added. Hence, a targeted questionnaire has
187 been developed for stakeholders departing from a screening of techno-scientific knowledge from
188 literature and other data sources. The questionnaire provides possibilities to flag and make
189 available information on identified and currently non-identified impurities and contaminants (see
190 section 10).

191

192 The **requested information is critical for the development of criteria proposals**. Without a clear
193 understanding of the contaminant profiles of candidate materials, and the associated risks, it is
194 impossible to develop adequate criteria for their safe release to the market. Proper information will
195 enable a comparison between the levels of impurities typically observed in the candidate materials
196 and the levels that may induce concerns and risks for human health and the environment. Note that
197 the listing of a particular substance as an impurity does not necessarily imply that such a substance
198 is of concern. After all, by-products, as well as products, often contain impurities, but as long as
199 the concentration levels are below specific levels (e.g. associated to environmental risks or to
200 accumulation in soils to undesired levels), no risks from their placing on the market would occur.

201

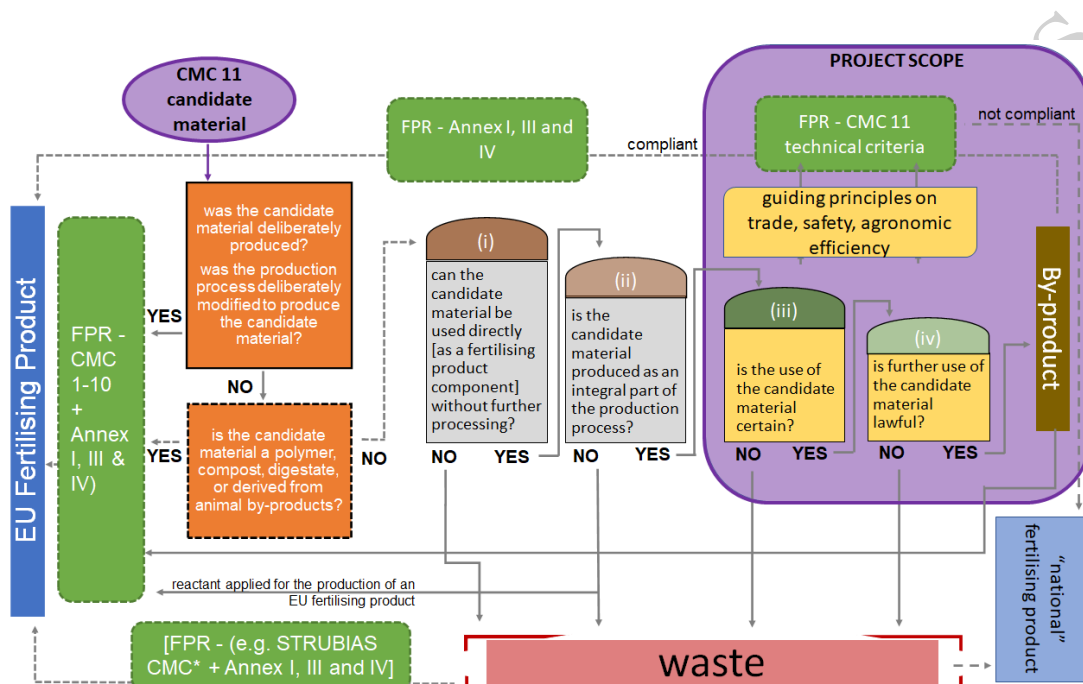
202 The JRC aims to develop proposals for simple and low-cost compliance schemes for CMC 11. It
203 is re-iterated that the **precautionary principle applies, involving that data gaps need to be filled**
204 **prior to enabling (minimal) compliance schemes** (associated to low costs and burdens for
205 producers). Therefore, JRC welcomes at this stage all relevant information that can contribute to a
206 full assessment of risks and stakeholder concerns. A lack of data on contaminants at this evaluation
207 stage might involve that certain risks arising from the placing of the market of by-products cannot
208 be dismissed, and may therefore lead to increases in the complexity and costs of the proposed
209 compliance schemes, or even lead to the decision not to further consider certain materials.
210 Moreover, it is emphasised that confidence in the materials by all stakeholders involved is requisite
211 for a well-functioning internal by-product market.

212

213 **3 SCOPE**

214 The scope of this project is determined by the interplay between Waste Framework Directive
 215 (WFD, 2008/98/EC) and FPR (Figure 1). Actually, **by-products used as a component material**
 216 **(CMC 11) in EU fertilising products also have to comply with the national legislations setting**
 217 **criteria on the application of the conditions laid down in Article 5(1) of Directive 2008/98/CE.**
 218 The FPR will, however, enable free movement on the internal market for products containing CMC
 219 11 by-products. Article 5(1) of this Directive sets cumulative conditions under which a substance
 220 resulting from a production process, other than the primary product, is to be considered a by-
 221 product and not a waste.

222



223

224 *Figure 1: Schematic overview of the scope of this project (purple rectangle on the top of the right*
 225 *hand side) as well as possible routes for CMC 11 candidate materials (purple circle on the top*
 226 *of the hand left side) to become a fertilising product, either through the Fertilising Products*
 227 *Regulation (FPR) (blue rectangle on the left hand side) or through national provisions (blue*
 228 *rectangle on the bottom of the right hand side). The full arrows indicate a reclassification*
 229 *dependent on the rules of the Waste Framework Directive (2008/98/EC), the dotted arrows*
 230 *indicate a possible reclassification dependent on criteria of the FPR, dashed lines indicate a*
 231 *possible reclassification dependent on national rules (*Note: According to Art. 19 of the FPR,*
 232 *this Regulation lays down criteria in accordance with which material that constitutes waste, as*
 233 *defined in Directive 2008/98/EC, can cease to be waste, if it is contained in a compliant EU*
 234 *fertilising product; STRUBIAS CMCs could be an example hereof).*

235

236 It is important to take into consideration that compliance with harmonised rules of the FPR is
 237 optional. The FPR does not prevent by-products **from being made available on the market as**
 238 **non-harmonised fertilisers** in accordance with national law and the general free movement rules
 239 of the Treaty on the Functioning of the European Union (TFEU) (Figure 1, “optional harmonisation
 240 principle”).

242 3.1 Product versus production residue

243 In first instance, a candidate CMC 11 material should classify as a **production residue** (Figure 1).
 244 The WFD guidance document^{1,2} defines them as something other than the end product that the
 245 manufacturing process directly seeks to produce³. In many production processes, it is possible to
 246 identify one or more “primary” products, this or these being principal materials(s) produced. Where
 247 the production of the material concerned is ‘the result of a technical choice’, it cannot be a
 248 production residue and is considered a product⁴. If the manufacturer could have produced the
 249 primary product without producing a material concerned but chose not to do so, this can be
 250 evidence that the material concerned is a product and not a production residue. Also, a modification
 251 of the production process in order to give the material concerned specific technical characteristics
 252 could indicate that the production of the material concerned was a technical choice. Primary
 253 products could fall under the scope of other CMCs, notably CMC 1 (virgin material substances and
 254 mixtures), CMC 2 (plants, plant parts or plant extracts), CMC 7 (micro-organisms), CMC 8
 255 (nutrient polymers) and CMC 9 (polymers other than nutrient polymers).

256

257 **Box 1. Indicative examples of possible classification: ammonium sulphate as a by-product from**
 258 **coke gas versus synthesis of ammonium sulphate**

259 Ammonium sulphate is, amongst other routes, produced as a by-product during the removal of
 260 ammonia (NH₃) from the raw coke oven gas generated during the coking of the metallurgical coal.
 261 This process consists of absorption of ammonia in the coke oven gas in a solution of ammonium
 262 sulphate and sulphuric acid. The absorption reaction is $2\text{NH}_3 + \text{H}_2\text{SO}_4 = (\text{NH}_4)_2\text{SO}_4$. The
 263 ammonium sulphate produced by the reaction of NH₃ with H₂SO₄ is recovered by crystallization.
 264 The crystals are then centrifuged, washed and dried.

265 A second production route for ammonium sulphate involves the intentional synthesis by reacting
 266 Haber-Bosch derived anhydrous ammonia and sulphuric acid in a reactor of a fertiliser production
 267 plant.

268 In the first case, the end product that the manufacturing process directly seeks to produce is coke,
 269 and the production process has not been modified with the intention of producing the ammonium
 270 sulphate. The produced ammonium sulphate could here be considered as a production residue, thus
 271 possibly be included under CMC 11 of the FPR. This stands in contrast with the second process in
 272 the fertiliser plant, where the ammonium sulphate is deliberately created in a production process to
 273 be sold on the internal market as a product. The latter material will be assessed against the criteria
 274 of CMC 1 in the FPR. Note that the contaminant profile between both types of ammonium sulphate

¹ Available at: https://ec.europa.eu/environment/waste/framework/pdf/guidance_doc.pdf

² The guidance document refers to a number of Court of Justice of the European Union (CJEU) rulings related to previous Directives on waste 75/442/EEC or 2006/12/EC, respectively, where the impact of the rulings cited may still be applicable. The content of the guidance, including examples, reflects the views of Directorate-General Environment of the European Commission and as such is not legally binding. The binding interpretation of EU legislation is the exclusive competence of the CJEU. The views expressed in this guidance document cannot prejudice the position that the Commission might take before the CJEU.

³ Case C-9/00 Palin Granit Oy (2002), para 32.

⁴ Case C-235/02 Saetti (2004), para 45.

275 (i.e. CMC 1 and CMC 11 candidate materials) may differ because ammonium sulphate produced
276 as a by-product during the recovery of ammonia from coke oven gas may contain greater
277 concentrations of organic and inorganic impurities (e.g. HCN).

278

279 According to Article 5(1) of Directive 2008/98/EC, a production residue may be regarded as being
280 a by-product only if the following conditions are met (Figure 1 & sections 3.3 - 3.5):

- 281 (i) the substance or object can be **used directly** without any further processing other than
282 normal industrial practice;
- 283 (ii) the substance or object is produced as an **integral part of a production process**;
- 284 (iii) further **use** of the substance or object **is certain**; and
- 285 (iv) further **use is lawful**, i.e. the substance or object fulfils all relevant product, environmental
286 and health protection requirements for the specific use and will not lead to overall adverse
287 environmental or human health impacts.

288 The Guidance on the interpretation of key provisions of Directive 2008/98/EC on waste further
289 specifies the interpretation of the concept of by-product as well as on the terminology applied in
290 the definition.

291 **3.2 Fertilising Product Regulation framework**

292 Component materials for EU fertilising products are divided into different categories in the FPR.
293 Differentiating requirements for each of the CMCs apply because different component materials
294 warrant different process requirements and control mechanisms adapted to their different potential
295 hazardousness and variability, in turn dependent on the quality of the input materials applied,
296 production process conditions, etc. This principle is exemplified in Box 1, where different criteria
297 could apply to ammonium sulphate derived as a product or as a by-product.

298 Point 1 of CMC 11 of Annex II of the FPR indicates that an EU fertilising product may contain by-
299 products within the meaning of Directive 2008/98/EC, except:

- 300 (a) animal by-products or derived products within the meaning of Regulation (EC) No
301 1069/2009,
- 302 (b) polymers,
- 303 (c) compost, or
- 304 (d) digestate.

305 Hence, the current provisions of the CMC 11 of the FPR indicates that materials derived from
306 **animal by-products (as defined in Regulation (EC) No 1069/2009), polymers, compost and**
307 **digestates are excluded** from the scope because they have to meet the requirements in the
308 designated CMCs (CMC 3-5, 8 -10) (Figure 1). In this respect, a clear definition of how polymers
309 have to be interpreted may be required as differences in properties (e.g. biodegradability and risk
310 profiles) may occur between plant-derived polymers (e.g. starch and other biodegradable proteins
311 like those obtained after seaweed extraction) and petroleum-derived synthetic polymers.

312 The provisions of Component Material Category 6 (Food industry by-products) indicate that an
313 EU fertilising product may contain component material consisting of one of the following
314 substances:

- 315 (a) food industry factory lime, i.e. a material from the food processing industry obtained
316 by carbonation of organic matter, using exclusively burnt lime from natural sources;
- 317 (b) molasses, i.e. a viscous by-product of the refining of sugarcane or sugar beets into
318 sugar;
- 319 (c) vinasse, i.e. a viscous by-product of the fermentation process of molasses into ethanol,
320 ascorbic acid or other products;
- 321 (d) distillers grains, i.e. by-products resulting from the production of alcoholic beverages;
- 322 (e) plants, plant parts or plant extracts having undergone only heat treatment or heat
323 treatment in addition to processing methods referred to in CMC 2; or
- 324 (f) lime from drinking water production, i.e. residue which is released by production of
325 drinking water from groundwater or surface water and consists, mainly, of calcium
326 carbonate.

327 The sole requirement associated to these materials is that they are registered pursuant to Regulation
328 (EC) No 1907/2006 (concerning the Registration, Evaluation, Authorisation and Restriction of
329 Chemicals - REACH), covering the use as a fertilising product. Hence, only specific materials with
330 a **low risk profile that received a large and undisputed support** from the Commission expert
331 group for Fertilising Products and the co-legislators have been included in this CMC 6 The scope
332 of CMC 11 on by-products will complement these materials, and **also other food industry by-**
333 **products**, possibly associated to supplementary environmental and health safeguard criteria, **could**
334 **be covered under CMC 11.**

335
336 It is also clarified that some fertilising product components could possibly be covered in different
337 CMCs. In such case, a manufacturer that places a fertilising product on the market will have to
338 ensure that all its components are **compliant with the provisions of at least one selected CMC.**
339 This should, however, not lead to the re-opening of discussions that were finalised during the
340 evaluation of materials (also) covered under other CMCs (e.g. “STRUBIAS” CMCs).

341 **3.3 Materials to be used directly [as a fertilising product component] without** 342 **further processing**

343 The scope of this CMC is limited to materials to be used directly as a fertilising product component
344 without further processing other than ‘normal industrial processing’, due to the following
345 provisions from the WFD and the FPR (Figure 1, Box 2):

- 346 • According to Article 5(1)(b) of **Directive 2008/98/EC**, a production residue may be
347 regarded as being a by-product only if, amongst other conditions, **the substance or object**
348 **can be used directly without any further processing other than normal industrial**
349 **practice** (Figure 1). Normal industrial practice can include all steps which a producer
350 would take for a product, such as the material being screened, sized, agglomerated,
351 pelletised, dried solely to remove free water, or adding materials necessary for further use
352 through physical mixing without intentionally changing the chemical composition of the
353 material contained in the mixture. Treatments usually considered as a recovery operation
354 cannot, in principle, be considered as normal industrial practice in this sense. The title of
355 this CMC “by-products within the meaning of Directive 2008/98/EC” implies that all
356 materials should enable their direct use as a fertilising product component.
- 357 • According to the **FPR**, the provisions on product criteria for EU fertilising products
358 contain requirements for the categories of end-products in accordance with their intended
359 function (PFC), as well for the categories of component materials (CMCs). A fertiliser

360 manufacturer can place an EU fertilising product that is composed of one single ingredient,
361 belonging to a specific CMC, on the market. A possible example is, for instance,
362 ammonium sulphate as a by-product from coke production, compliant with all CMC 11
363 criteria. It is also possible to put an EU fertilising product on the market that is composed
364 of several component materials from various CMCs, where each material complies with
365 the requirements of a certain category. A condition is, however, that no intentional
366 chemical reaction or transformation takes place between the different component materials
367 that are contained in the EU fertiliser. Hence, an EU fertiliser producer may contain two
368 or more substances or mixtures (provided that each of them complies with the description
369 in one or more of the CMCs), **without any intentional chemical reaction taking place**
370 **between the substances/mixtures**. The component materials are then ‘contained’ as such
371 in the final EU fertilising product. This follows the presumption that if different component
372 materials do not show unacceptable risks for human health and the environment, a physical
373 mix of them constituting the final CE marked product will also be safe, subject to
374 compliance with certain limit values defined in Annex I (i.e. PFC level) for the final
375 product. An example of such route occurs when a fertiliser company mixes (e.g. combined
376 in a 1:1 ratio in the same fertiliser bag) urea derived through the Haber-Bosh process (CMC
377 1) with ammonium sulphate as a by-product from caprolactam production (CMC 11).
378

379 **Box 2. Indicative examples of possible classification: blast furnace slag versus calcium sulphite**
380 **from flue-gas desulphurisation**

381 Blast furnace slag is produced in parallel with hot iron in a blast furnace. Blast furnace slag can be
382 used directly as a fertilising product at the end of the production process, without further processing
383 that is not an integral part of this production process (such as crushing to get the appropriate particle
384 size). This material can therefore be considered a by-product, and thus falls within the scope of this
385 CMC 11 (subject to further assessment of safety and agronomic impacts).

386 Flue gas desulphurisation from facility A removes sulphur from the flue gases that are produced
387 when sulphurous fossil fuels are burnt in power plants, in order to prevent these emissions
388 contributing to air pollution and acid rain. The wet limestone flue-gas desulphurisation system
389 generates a calcium sulphite sludge, which is processed via a recycling process in a different
390 economic entity to turn the (largely insoluble) calcium sulphite sludge into gypsum as a fertilising
391 product component. Hence, the viscous sludge obtained cannot be used directly as a fertilising
392 product component when not further processed using techniques (e.g. oxidation to induce further
393 chemical reactions) that do not classify as “normal industrial processing”. Here, the calcium
394 sulphite sludge is not considered a by-product for assessment under CMC 11, but a waste material.

395 **3.4 Materials produced as an integral part of a production process**

396 The wording of Article 5(1)(c) WFD requires that the substance or object ‘is produced’ as an
397 integral part of a production process (Figure 1, Box 3 and Box 4). It can be taken from this that the
398 process where the by-product is generated has to be an integral part of a production process. If a
399 material leaves the site or factory where it is produced in order to undergo further processing, this
400 may be evidence that such tasks are no longer part of the same production process, thus
401 disqualifying it as a by-product. Specific manufacturing steps, that occur independent from the
402 main product manufacturing line, and address typical waste-related characteristics of the
403 production residue, such as its contamination with components which are hazardous or not useful,

404 would prevent classification of the residue as a by-product. Materials obtained from the recycling
405 facilities for waste materials fall beyond the scope of this project (Figure 1).

406

407 Box 3. Indicative examples of possible classification: gypsum from forced oxidation scrubbers
408 versus gypsum recovered from calcium sulphite

409 Plant B has an integrated desulphurisation system that is based on forced oxidation techniques,
410 pushing the chemical reaction towards producing gypsum (calcium sulphate dihydrate) that has the
411 same properties as natural, mined gypsum (a product used in ameliorating high-sodium soils). The
412 generation of gypsum from the residues from flue gas cleaning on the site of the power plant can
413 be regarded as an integral part of a production process (energy generation), and the resulting flue
414 gas desulphurisation gypsum as a by-product that falls under the scope of this project.

415 The viscous calcium sulphite sludge from wet limestone flue-gas desulphurisation system of plant
416 A (see Box 2) is isolated and further subject to a recovery operation that has gypsum as a final
417 product of the recovery process. The recovery operation is not considered an integral part of the
418 (energy) production process. Therefore, the corresponding gypsum, derived from the calcium
419 sulphite sludge of the desulphurisation system of plant A, cannot be considered as a by-product,
420 and falls beyond the scope of this project.

421

422 In combination with the “direct use as a fertilising product component” requirement (section 3.3),
423 it also becomes clear that by-products that are used as reactants to produce EU fertilising products
424 fall beyond the scope of CMC 11 and thus this project.

425 Nonetheless, by-products could be used as reactants in production processes for other fertilising
426 product component materials (e.g. CMC 1 production processes that use by-products as precursors,
427 exemplified in Box 4)

428 Box 4. Indicative examples of possible classification: by-products as reactants for EU fertilising
429 products

430 A fertiliser company would like to make continued **use of spent sulphuric acid (e.g. from the**
431 **food industry) to produce single super phosphate** as an EU fertilising product under the FPR.
432 The spent sulphuric acid meets all requirements to be classified as a by-product in line with Article
433 5(1) of Directive 2008/98/EC (i.e. not a waste material) and is thus used as *a reactant for the*
434 *production of an EU fertilising products* (exemplified here to be single super phosphate as a CMC
435 1 material). In the production process at the fertiliser production plant, it is chemically reacted with
436 rock phosphate to form single super phosphate.

437 The spent sulphuric acid is not “directly used as a fertilising product component”, so it cannot be
438 considered as a CMC 11 material. On the other hand, whereas the single super phosphate can be
439 directly used as a fertilising component, it is not a by-product, but a newly formed CMC 1
440 substance derived from reacting a by-product (spent sulphuric acid) with another substance
441 (phosphate rock). For this reason, the single super phosphate should not be evaluated against the
442 criteria for CMC 11, but it is eligible to be considered under CMC 1 when registered pursuant to
443 the REACH Regulation for use as a fertilising product.

444 3.5 Materials with “certainty” of further “lawful” use

445 Article 5(1)(a) of the WFD requires that “further use of the substance or object is certain” in order
446 to classify as a by-product (Figure 1). ‘Further use is certain’ means that it is not a mere possibility

447 but a certainty; there should thus be solid evidence or an assurance that the material will be used.
448 The purpose of this criterion is that if further use were not certain, there would be a risk of the
449 material being disposed of as waste.

450 COM/2007/0059 (Communication from the Commission to the Council and the European
451 Parliament on the Interpretative Communication on waste and by-products” COM/2007/0059
452 final) refers to the Avesta Polarit, Saetti and Spanish Manure cases as examples where use was
453 regarded to be certain. Certainty of further use can, of course, be difficult to prove definitively in
454 advance. However, following criteria may provide guidance elements for the assessment of
455 ‘certainty of further use’ may, amongst others, be indicated through:

- 456 ▪ Existence of contracts between the material producer and subsequent user;
- 457 ▪ A financial gain for the material producer from the sales of the material;
- 458 ▪ A solid market (sound supply and demand) existing for this further use;
- 459 ▪ Evidence that the material fulfils the same specifications as other products on the market.

460 On the other hand, the following may be indications that future use is uncertain:

- 461 ▪ There is no market for the material. Low sales volumes and/or long-term storage of the
462 material may hint at this;
- 463 ▪ Only part of the material is to be used, with the rest to be disposed of;
- 464 ▪ The financial gain for the material holder does not arise from selling the by-product, but
465 from avoiding substantial expenses due to treatment and disposal if the material were to
466 be discarded as waste. Low sale prices, combined with free transport offered by the
467 material holder, may hint at this.

468 These criteria are aligned to the need to limit CMC 11 materials to **materials of added value** for
469 the extensive European agricultural sector. As a matter of fact, **Article 42(1)(a) of the FPR**
470 **mentions the “potential to be the subject of significant trade on the internal market”** as one
471 of the conditions for the adoption of delegated acts by the Commission.

472 Article 5(1)(d) WFD clarifies that the further use of the material **must be lawful**, i.e. the substance
473 or object fulfils all relevant product, environmental and health protection requirements at EU and
474 at Member States’ level for the specific use (Figure 1). It ought to be demonstrated that it will not
475 lead to overall adverse environmental or human health impacts, supplementary to those possibly
476 associated to their primary raw materials equivalents. This is fully **aligned to the conditions of**
477 **Article 42(1)(b) of the FPR** that mention the need for scientific evidence indicating that EU
478 fertilising products:

- 479 (i) do not present a risk to human, animal or plant health, to safety or to the environment;
- 480 (ii) ensure agronomic efficiency; this aspect will here be considered in its broadest sense
481 also including indirect agronomic impacts relating to technical functions of additives
482 which improve the characteristics of the fertiliser product.

483 These conditions are particularly relevant for CMC 11 due to concerns that inappropriate or low-
484 quality by-products will enter the market. It has been brought forward that some recycling
485 companies, which are not fertilising products producers, may try to put low quality products (in
486 terms of agronomic efficacy and contaminants levels) on the market to get rid of ineffective by-
487 products as fertilising products.

488 **3.6 Implications for project scope**

489 Based on the information provided in sections 3.1 to 3.5, the scope of this project (Figure 1) is thus:

- 490 ▪ limited to industrial or plant-derived **production residues** that can be **used directly** as
491 fertilising product component without further processing other than normal industrial
492 practice, and that are **produced as an integral part of a production process**, thus
493 excluding any waste-based derived materials; and
494 ▪ focussed on developing and proposing **safety and agronomic criteria** for CMC 11
495 materials. The focus will be on safety aspects not addressed in the REACH registration,
496 relevant for by-products in particular.

497 In this respect, broadly accepted material criteria proposals will be developed to ensure similar
498 specifications as for other CMCs within the FPR, clearly segregated from materials being perceived
499 as waste within the different EU Member States.

500 For materials not fulfilling these principles, the FPR does not prevent by-products **from being**
501 **made available on the market** as **non-harmonised fertilisers** in accordance with national law
502 (Figure 1, “optional harmonisation principle”). Hence, compliance with harmonised rules is
503 therefore optional. Finally, the FPR does not impact upon by-products being entered into the market
504 for any other use than as a fertilising product.

505

draft - work in progress

507 **4.1 By-products as an opportunity for the EU Circular Economy**

508 Circular Economy initiatives and actions aim at contributing to "closing the loop" of product
509 lifecycles and manufacturing processes through greater recycling and re-use to the benefit of both
510 the environment and the economy. The aim is to **extract the maximum value** and use from all raw
511 materials, products, by-products and waste, fostering resource efficiency and energy savings, and
512 reducing greenhouse gas emissions.

513 The European Commission's 2015 **Circular Economy Action Plan** stressed the importance of
514 developing a well-functioning single market for secondary raw materials, including those derived
515 from by-products. One of the objectives is enabling recycling and improving the uptake of
516 secondary raw materials by limiting unnecessary burdens and facilitating the cross-border
517 circulation of secondary raw materials while ensuring their performance and safety in a toxic-free
518 environment. The new 2020 Circular Economy Action Plan - one of the main blocks of the
519 European Green Deal - explicitly refers to the need to create a well-functioning EU market for
520 secondary raw materials, support cross-border initiatives for cooperation to harmonise by-products,
521 and to restrict on the use of substances of very high concern in articles.

522 This is consistent with the priorities of the waste hierarchy that encourages re-use practices in an
523 environmentally sound way while ensuring high standards of protection of the environment and
524 health.

525 **4.2 By-products as a risk to the EU Circular Economy**

526 Friction at the interface between two policy objectives - circular economy and the protection of the
527 environment and human health – may occur due to the **presence of certain substances that pose**
528 **a risk to the environment and/or human health** in by-products. This holds particularly true for
529 by-products as components for EU fertilising products since they are not the end product that a
530 manufacturing process directly seeks to produce. Therefore, the control on the possible hazardous
531 substances and other substances associated to a risk for the environment and health is intrinsically
532 low. Moreover, the intended use of the primary product (e.g. intended use as a construction
533 material) may possibly require less stringent controls and restrictions on contaminants than a by-
534 product produced through the same production process but to **be used for more sensitive**
535 **applications (in the food chain)**. As our knowledge about the properties of many chemicals
536 increases, more substantial concerns arise about the negative impacts that specific elements,
537 chemicals and substances used in industrial processes may have on human health and the
538 environment. Concerns for some substances such as lead and arsenic have been known or suspected
539 for centuries, whereas for other substances, concerns are much more recent. Some substances, such
540 as perfluorinated compounds, endocrine disrupting chemicals and rare earth metals, have only been
541 coming under scrutiny in the last few years.

542 Hence, this study will assess the risk that by-products could pose to the environment and human
543 health and propose correspondingly criteria to promote a toxic-free EU environment and circular
544 economy.

545 **5 PROPOSAL FOR A DIRECTIONAL FRAMEWORK**

546 The JRC has developed a proposal for the directional framework of CMC 11. The JRC has listed
547 and assessed the **main challenges and issues to consider** in this project, and proposed directional
548 approaches to address those in view of the advantages and drawbacks of possible options.

549 **5.1 Challenge A – Ensuring material safety**

550 **5.1.1 Overview**

551 By-products to be used in the agri-food and environmental chain could contain contaminants that
552 lead to environmental and health risks for food consumers. Whereas the boundary between by-
553 products and waste is case-dependent and at times fuzzy, material holders might benefit from a
554 financial gain when materials can be classified as a by-product due to the avoided cost of waste
555 treatment. Therefore, a main challenge is to limit the CMC to **value-added materials that have**
556 **been proven safe to the environment and to health when used as a fertilising product**
557 **component**. Should the techno-scientific knowledge base be incomplete or divergence exists
558 amongst techno-scientific opinions, **the precautionary principle** should apply.

559 **5.1.2 Issue #1: listing approach**

560 **5.1.2.1 Background and options**

561 When using a **positive list**, the proposals will explicitly (“positively”) list materials, and possibly
562 their production processes, that are eligible to be considered as a by-product under CMC 11.
563 Additionally, exceptions or conditions (e.g. limits for contaminants) to these materials may apply.
564 A **negative list** does not list materials, implying that all by-products are by default considered. In
565 such case, the proposals only incur exceptions (e.g. materials from nuclear industries are not
566 considered) or excluding conditions (e.g. contents of specific contaminants of concern exceeding
567 a certain limit value).

568 **5.1.2.2 Proposal**

569 The proposal is to rely on a **positive list of selected materials for this CMC**. It shall, however, be
570 intended to formulate the criteria in order to account for safe innovation (see section 5.4.2). The
571 main advantage of a positive list approach is that it ensures a **higher level of protection** because
572 the screening on contaminants is limited to a set of identified materials. The producer has to be
573 aware of the composition and potential contaminants of the product to be able to control them
574 according to the conformity assessment requirements of FPR. This may be particularly pertinent
575 for this CMC, covering production residues from very different industries, with different sorts and
576 levels of contaminants, under the single umbrella “by-products”. Since the materials and associated
577 risks are clearly identified when using a positive list, analysis schemes can be limited to the most
578 relevant parameters and hence **compliance costs can be kept to a minimum** (see section 5.4.4).
579 A negative list approach involves a substantial risk for overlooking recognised or non-identified
580 contaminants in material streams due to a lack of available information on the different possible
581 materials, attention gaps during screening, and/or lack of information on use history as a fertilising
582 product component within the EU. Such option may therefore open a backdoor for the marketing
583 of unsafe by-products as CE marked products when contained in EU fertilising products. In
584 addition, the way to address risks in a negative list approach would be through extensive, and

585 therefore expensive, material analysis schemes. Moreover, it remains uncertain if risk assessment
586 data would be available to derive “safe limit values” for all identified contaminants. Altogether, it
587 would be largely impossible to develop criteria to exclude all possible contaminant loads in a
588 material on the one hand and to limit the cost of analysing the candidate by-product at an
589 economically acceptable level in the compliance scheme on the other hand.

590 **5.1.3 Issue #2: screening of contaminants for evaluation**

591 **5.1.3.1 Background**

592 Screening helps to identify contaminants for which data need to be collected and assessed. A
593 difficulty lies in identifying information sources for screening and possible risk management
594 evaluation. A well-defined list of potential contaminants of concern will, however, ensure that
595 appropriate information on contaminants can be collected for candidate materials.

596
597 Contaminants are substances that have not been intentionally added to the by-product as a
598 fertilising production component. General safety criteria in the Fertilising Products Regulation will
599 apply to all EU fertilising products, depending on their product function category. Hence, the
600 assessment of any additional or complementary safety criteria shall result from the identification
601 of specific risks linked directly to the fact that the component materials are by-products, as opposed
602 to intentionally manufactured products from virgin substances. Specific substances, of concern
603 upon entering into the environment, might have been introduced unintentionally to by-products
604 due to the complexities of the primary product supply chain and manufacturing process.

605
606 Point 3 of CMC 11 of the FPR requires in parallel that by-products are registered according to the
607 REACH Regulation ((EC) No 1907/2006) for the use as a fertilising product. In order to avoid
608 overlaps, the risk management in this report shall mainly focus on issues not addressed in the
609 REACH registration, relevant for by-products in particular. This is particularly relevant as
610 companies manufacturing and importing the same substance can register jointly, based on the
611 “sameness” principle. For REACH registration, technical grades, analytical grades or pure
612 substances are the same as long as they consist of the same main constituent(s). Hence, a product
613 and its by-product equivalent, with different impurity profiles resulting from the production process
614 (see Box 1 for indicative example), may register jointly. This brings along a challenge as
615 impurities/contaminants present at trace (ppm or lower) level in fertilising products could induce a
616 significant risk for the food chain.

617
618 The assessment shall cover both short-term effects (e.g. metal accumulation in soil) and longer-
619 term effects (e.g. changes in soil quality, contamination of the food chain) of contaminants. The
620 screening of possible contaminants may require a broader screening than for intentionally
621 manufactured products, taking into consideration that by-products may be derived e.g. from
622 intermediate processing steps as well as from industrial process streams, air cleaning or other liquid
623 or solid purification systems.

624 **5.1.3.2 Proposal**

625 It is proposed to collect information on possible contaminants and other substances of concern (e.g.
626 micronutrients supplied at excess levels) from different sources. Depending on the sector of origin

627 and type of production process, the contaminant list could then be refined and grouped for similar
628 materials (see section 5.3.3).

629

630 In first instance, technical experts from the private sector, and national and EU bodies will be
631 consulted to provide information and expert judgement on risks (source 1). A second source of
632 information are relevant contaminants in food and environmental legislation and national quality
633 standards (source 2). Thirdly, sector specific contaminants are reviewed through revising sources
634 such as the Best Available Techniques (BAT) reference documents (BREFs) and the European
635 Pollutant Release and Transfer Register (E-PRTR)⁵, a potentially important tool for tracking
636 industrial pollutants (source 3). Finally, contaminants and substances taken up in the Stockholm
637 convention on persistent organic compounds (POPs) and with particular provisions due to
638 environmental or health concern in the REACH regulation will be screened (source 4). The
639 information derived from those different sources should provide an extensive checklist as a starting
640 point, which can then be narrowed further down to the elements relevant for every type of material.

641

642 Source 1: Expert knowledge from experts in the field

643 Experts' knowledge from experts from the Commission expert group for Fertilising Products
644 (consisting of Member State authorities, EU industry associations and environmental NGOs), and
645 other Commission departments will be sought to provide supplementary information on materials
646 that have been identified as candidate by-products (see section 10). Any expert information can be
647 communicated to the JRC through oral and/or written feedback consultation rounds (see section
648 9).

649

650 Source 2: contaminants from food and environmental legislation and national quality standards

651 Material criteria may also build upon Member States' implementation of the requirements for safe
652 use, and any specific safety criteria adopted by Member States under Directive 2008/98/EC
653 Furthermore, to identify possible contaminants of concern, a screening is proposed for substances
654 regulated under specific sectorial/product legislation on food safety, water quality, air quality, and
655 other national and EU environmental quality standards, including those for soils. A focus on water
656 and air pollutants may also be relevant as some by-products may be produced from processing
657 steps that aim to avoid pollutant emissions. Note that not necessarily all the contaminants taken up
658 in these references may be relevant for all materials in this project. For instance, food contaminants
659 that may be introduced through food contact with packaging may not be a relevant contamination
660 route for many materials, whereas other food contaminants can be toxic for humans, but not for
661 plants (e.g. nitrate).

662

663 Maximum levels for certain **contaminants in food** are set in Commission Regulation (EC) No
664 1881/2006. The food contaminant catalogue includes other substances
665 (https://ec.europa.eu/food/safety/chemical_safety/contaminants/catalogue_en). Relevant
666 substances are, for instance, metals, dioxins, polychlorinated biphenyls (PCBs) and polycyclic
667 aromatic hydrocarbons (PAH).

668

669 Directive 2008/105/EC of the European Parliament and the Council on Environmental Quality
670 Standards in the field of water policy (EQSD) established limits on concentrations of the **priority**
671 **substances in surface waters** of 33 priority substances and 8 other pollutants (in its Annex I). The
672 list includes selected existing chemicals and solvents (finding various applications in chemical,
673 pharmaceutical, oil, and gas industries, including in chemical syntheses and purification processes),
674 plant protection products, biocides, metals and other groups like Polyaromatic Hydrocarbons

⁵ <https://prtr.eea.europa.eu/>

675 (PAH) that are mainly incineration by-products and Polybrominated Biphenylethers (PBDE) that
676 are used as flame retardants.

677

678 Regulations and conventions related to **air quality** focus on reducing emissions from e.g. metals,
679 persistent organic pollutants, and non-methane volatile organic compounds (e.g. benzene). The
680 European Union has developed an extensive body of legislation which establishes health-based
681 standards and objectives for a number of pollutants present in the air. These standards and
682 objectives are summarised at <https://ec.europa.eu/environment/air/quality/standards.htm>.

683

684 Soil is not subject to a comprehensive and coherent set of rules in the Union. There is no EU-wide
685 legislation on **soil protection**. However, soil screening values are generic quality standards that are
686 used to regulate land contamination⁶. Soil screening values adopted in European countries are
687 widely variable in multiple aspects. The use of screening values varies from setting long-term
688 quality objectives, via triggering further investigations, to enforcing remedial actions. Derivation
689 methods of screening values have scientific and political bases; they also differ from country to
690 country, and, as a result, screening values display substantial variation across Member States. The
691 number of substances for which soil screening values are provided widely vary across EU Member
692 States, ranging from less than 20 to 234 substances, with about 60 as the most common substances.
693 They include heavy metals and metalloids (e.g. As, Be, Cd, Co, Cr, Cu, Hg, Pb, Ni, Se, Tl, V, Zn),
694 aromatic hydrocarbons (e.g., benzene, ethyl benzene, toluene), polycyclic aromatic hydrocarbons,
695 chlorinated aliphatic hydrocarbons (e.g. dichloromethane, trichloroethylene, tetrachloromethane),
696 chlorinated aromatic hydrocarbons (e.g. chlorobenzene, hexachlorobenzene), pesticides (atrazine,
697 dieldrin), dioxins and dioxin like PCBs.

698

699 Source 3: sectorial reference documents

700 Information on **sector specific contaminants** may possibly be listed in the Best Available
701 Techniques (BAT) reference documents, known as **BREFs** (as well as a few other reference
702 documents) that have been adopted under the Industrial Emissions Directive (IED, 2010/75/EU).
703 Amongst others, each document generally gives information on a specific industrial/agricultural
704 sector in the EU, on the techniques and processes used in this sector, current emission and
705 consumption levels, techniques to consider in the determination of the best available techniques
706 (BAT) and emerging techniques. The IED has introduced provisions to ensure that the operation
707 of an installation does not lead to a deterioration in the quality of soil (and groundwater). However,
708 a large number of potentially polluting activities are not within the scope of the IED, which in any
709 event only covers larger industrial installations. As well the **European Pollutant Release and**
710 **Transfer Register (E-PRTR)** includes a list of sector-specific pollutants. It contains key
711 environmental data from industrial facilities in European Union Member States. The new register
712 covers 65 economic activities across Europe. Information is provided concerning the amounts of
713 pollutant releases to air, water and land as well as off-site transfers of waste and of pollutants in
714 wastewater from a list of 91 key pollutants including heavy metals, pesticides, greenhouse gases
715 and dioxins for years 2007 onwards.

716

717 Source 4: other contaminants listed as POPs in the Stockholm Convention and substances of very 718 high concern and restricted substances from the REACH Regulation

⁶ https://esdac.jrc.ec.europa.eu/ESDB_Archive/eusoils_docs/other/EUR22805.pdf

719 Other substances of concern could be present in the candidate fertilising product component. In
720 this respect, following lists are relevant:

- 721 i. Persistent Organic Pollutants (POPs) are organic chemical substances, that is, they are
722 carbon-based. They possess a particular combination of physical and chemical properties
723 such that, once released into the environment, they:
- 724 ▪ remain intact for exceptionally long periods of time (many years);
 - 725 ▪ become widely distributed throughout the environment as a result of natural
726 processes involving soil, water and, most notably, air;
 - 727 ▪ accumulate in the fatty tissue of living organisms including humans, and are found
728 at higher concentrations at higher levels in the food chain; and
 - 729 ▪ are toxic to both humans and wildlife.

730 The list contains over 30 chemicals, distributed over three categories in the annexes (Annex
731 A - elimination, Annex B – restriction, Annex C – unintentional production)
732 (<http://chm.pops.int/TheConvention/ThePOPs/ListingofPOPs/tabid/2509/Default.aspx>)

- 733 ii. the list of substances of very high concern from REACH (SVHC list, including roughly
734 200 substances, <https://echa.europa.eu/candidate-list-table>). This list covers substances
735 meeting the criteria for classification as carcinogenic, mutagenic or reprotoxic (CMR)
736 category 1 or 2; persistent, bio-accumulative and toxic (PBT) substances; or very
737 persistent and very bio-accumulative (vPvB) substances; substances for which there is
738 evidence for similar concern, such as endocrine disruptors.
- 739 EU producers or importers of articles which contain substances on the SVHC list in a
740 concentration above 0.1% (w/w) have a duty to communicate information of substances
741 in articles (as per Article 33 of REACH) and have to notify ECHA (Article 7(2)). For
742 specific contaminants, the threshold of 0.1% (10 000 mg kg⁻¹) for notification may,
743 however, be unacceptably high for applications in fertilising products.

- 744 iii. Annex XVII of REACH regulation contains the list of restrictions of certain hazardous
745 substances, mixtures and articles for their marketing and use on the European market
746 (<https://echa.europa.eu/substances-restricted-under-reach>). There are 70 valid entries on
747 REACH Annex XVII (updated on 19 Nov 2019), including for instance phthalates and
748 Bisphenol A, but also by-products of refining lead ores obtained from cleaning systems
749 and slurry from scrubbers, calcines (i.e. product of the roasting of cadmium-enriched lead
750 smelting dusts to remove cadmium - consists primarily of oxides and sulphates of lead
751 and zinc). The list is often known as REACH restricted substances list or simply as
752 REACH annex XVII.

753 Many of the substances identified from sources 1-3 are also taken up as in source 4 (e.g. lead and
754 its compounds). Contaminants that exclusively form part of source 4 may be originating from
755 unintentional contamination (e.g. food industry by-product contaminated with pentachlorophenol
756 and its salts and esters (PCP) as disinfectant) or containing degradation products of anthropogenic
757 chemicals like perfluorooctanoic acid (PFOA) from food processing equipment. Moreover, it is
758 noted that the Stockholm convention and the REACH Regulation provide additional guidance to
759 phase out and restrict the use of particular substances. In general, this implies that a manufacturer
760 has a high degree of control over the addition and presence of such substances in the production
761 process, and can thus effectively ensure its absence in a corresponding candidate CMC 11 by-
762 product.

763
764 Based on the information collected from the different sources, Table 1 provides a non-exhaustive
765 list of selected substances that may be relevant for the screening of contaminants in specific
766 candidate by-products. **Main sources of material contamination could include solvents,**

767 **disinfectants, oil-derived substances, ores, combustion, metal working fluids, pathogens and**
 768 **pests, degreasing agents, plasticizers, and flame retardants.**

769 *Table 1: Non-exhaustive list of selected possible impurities for screening in candidate by-*
 770 *products*

Examples of contamination sources	Contaminant group	Example of contaminant	
solvents	Metals and metalloids	Arsenic and compounds (as As)	
disinfectants		Cadmium and compounds (as Cd)	
oil-derived substances		Copper and compounds (as Cu)	
combustion		Cobalt and compounds (as Co)	
ores		Mercury and compounds (as Hg)	
pathogens and pests		Nickel and compounds (as Ni)	
metal working fluids		Lead and compounds (as Pb)	
plasticizers		Thallium and compounds (as Tl)	
degreasing agents		Vanadium and compounds (as V)	
biocides and pesticides		Zinc and compounds (as Zn)	
flame retardants		Inorganic	Chlorides (as total Cl) Cyanides (as total CN) Fluorides (as total F)
		Hydrocarbons	Polyaromatic hydrocarbons (PAH) Benzene
		Chlorinated organic substances	Brominated diphenylether Chloroalkanes, C10-13 Dichloromethane Pentachlorobenzene PCDD/F Pentachlorophenol Tetrachloro-ethylene Per/polyfluoroalkyl substances (PFAS)
		Others	Linear alkylbenzene sulfonates (LAS) Di(2-ethylhexyl)phthalate (DEHP) Organotin compounds (as total Sn) Plant pests Plastics < 2 mm Biological pathogens Octylphenol
	Biocides/pesticides	Trifluralin Hexachlorobenzene	

771

772 5.1.4 Issue #3: bioavailability of contaminants

773 5.1.4.1 Background

774 Like some primary materials, the constituent substances of some types of materials may be
775 retained, to a greater or lesser extent, in a matrix. It may therefore be claimed that – similar to e.g.
776 nutrients (see section 5.2.2) – the bioavailability of the elements and substances of concern within
777 this matrix is a relevant issue that is related to its actual risk.

778 5.1.4.2 Proposal

779 It is proposed that the **absolute concentration of contaminants**, without further consideration of
780 bioavailability or bioaccessibility, shall be considered for the by-products. The end-points to be
781 considered in the assessment will depend on the nature of contaminant, potentially including as
782 assessment on the accumulation of substances of concern in the soil and other relevant end-points
783 such as aquatic organisms. The “worst-case-scenario” is proposed to be considered to ensure
784 environmental protection based on the precautionary principle. The underlying principle is that the
785 “storage” of contaminants in agricultural soils as an everlasting sink is not good practice. After all,
786 sooner or later the contaminants may become available again, thus posing a threat to sustainability
787 in the long-term and for future generations. This may be particularly relevant as the agricultural
788 soil environment may become subject to a different use (e.g. restoration of natural vegetation)
789 and/or a different abiotic environment (e.g. due to climate change). In addition, it should be avoided
790 that elements and substances of industrial origin - without a direct contribution to agronomic
791 interests - build up to levels beyond the natural background levels observed in soils. This is
792 particularly relevant as our techno-scientific understanding of the substance dynamics in soils and
793 risks to different types of organisms may be incomplete. Moreover, no harmonised methodologies
794 are available for the evaluation of contaminant bioavailability, and its potential changes in time
795 during soil storage.

796 5.2 Challenge B – Ensuring agronomic value

797 5.2.1 Overview

798 A by-product is not the primary product a manufacturer seeks to place on the market and therefore
799 its added value to enhance plant growth is not necessarily certain. **A main challenge is to limit the**
800 **CMC to value-added materials that have been proven agronomically beneficial** for the EU
801 agricultural sector. The competitive position of any by-product in the market, vis-à-vis the primary
802 material it replaces, is strongest when it is as close as possible to the primary material in its
803 performance and quality, ensuring that the recovered material may be suitable for a broad range of
804 uses. This, however, does not necessarily mean direct equivalence to primary materials - rather,
805 by-products, just like primary materials, can be marketed under different grades or qualities.

806
807 The framework of the FPR has minimal conditions for agronomic value at Product Function
808 Category (PFC) level that vary as a function of the class or intended use. Hence, agronomic value
809 shall be understood in the broad concept, securing that criteria-compliant by-products are
810 incorporated in fertilising products for a useful purpose, i.e. as a nutrient source (fertiliser), a liming
811 material, a soil improver, a growing medium, an inhibitor, a plant biostimulant or a blend of those.
812 Also technical additives might be considered.

813 **5.2.2 Issue #4: effectiveness of fertilising claims**

814 **5.2.2.1 Background**

815 This section only focuses on materials that have a direct, intended function to sustain plant growth
816 (i.e. as fertiliser, a liming material, a soil improver, a growing medium, an inhibitor, a plant
817 biostimulant or a blend of those), and thus excludes by-products that are used to facilitate product
818 handling, use and management (covered in section 5.2.3).

819 The possible lack of effectiveness of fertilising value through the minimum PFC requirements
820 requires a detailed evaluation per category. For PFC 1, for instance, minimum total nutrient values
821 have been listed as a criterion, regardless of the form in which the nutrient occurs. By-products
822 may contain, for instance, a mixture of calcium sulphite and calcium sulphate (see for instance box
823 2, example of materials obtained from flue gas desulphurisation), with the former being a low-
824 value insoluble compound and the latter a fertilising product that can serve as a calcium and sulphur
825 source for plants. However, in spite of their difference in agronomic value, both may meet the
826 minimum nutrients requirements of PFC 1(C)(I)(a)(i) (straight solid inorganic macronutrient
827 fertiliser, minimum 12% CaO). Therefore, additional criteria (e.g. water-soluble or extractable
828 nutrient content) for this material group could be considered. Also, the agronomic requirements for
829 candidate by-products that could become part of other PFCs (e.g. PFC 3(B); inorganic soil
830 improver) may possibly require re-evaluation in the light of the material proposed. Similar to the
831 safety criteria, the agronomic efficiency criteria are to reflect present product manufacturing
832 practices, technological development and the latest scientific evidence.

833 It should also be avoided that by-products are mixed together with other CMCs into a new PFC
834 material with the sole intention of meeting the PFC limit values on agronomic efficiency (mixing
835 and dilution as an untruthful practice).

836 **5.2.2.2 Proposal**

837 Although the FPR enables that physical mixing, without intentional chemical reaction, between
838 by-products (CMC 11) and other CMCs may occur (see section 3.3), it is proposed that the added
839 value in terms of agronomic value should be evident. This is in line with the by-product condition
840 of materials to be used directly without further processing laid down in the WFD (see section 3.3).

841
842 It is proposed to verify for each of the candidate by-products (or groups of similar materials, see
843 section 5.3.3) the intended use envisaged. This information should provide an indication of its
844 corresponding PFC. Additional criteria may be proposed in the CMC 11 criteria to ensure
845 agronomic value, if the agricultural value of the material is unclear or debated.

846 **5.2.3 Issue #5: materials to facilitate product handling, use and management**

847 **5.2.3.1 Background**

848 The added-value of a by-product may relate to the direct role in improving plant nutrition, or to an
849 indirect role related to facilitate the handling, use and management of fertilising products. By-
850 products could, for instance, play a role as filling agents or to promote a specific material hardness
851 for fertiliser broadcasting.

852 5.2.3.2 Proposal

853 It shall be recognised that components may be added for technical, not agronomic, reasons.
854 Fertilising products may be of higher quality (e.g. less clumping), safer for the handle, etc. due to
855 specific by-products being present, although they may not directly affect the agronomic
856 performance. In case the intended function relates to facilitating product handling, use and
857 management, criteria will be evaluated to ensure the added-value for EU fertilising products at a
858 later project stage. These may consist, for instance, in proposing a maximum relative concentration
859 for the materials in the PFC material, or a REACH registration for this specific use.

860 5.3 Challenge C – Selection and prioritisation of materials for assessment

861 5.3.1 Overview

862 The starting point for the present study is the wide range of by-products and candidate by-products
863 available for the fertiliser markets of the EU territory. However, the mandate of the JRC is limited
864 in time as Article 42(7) of the FPR sets the obligation for the Commission to adopt, by 16 July
865 2022, a delegated act for CMC 11. The proposal to rely on a positive list for CMC 11 materials
866 involves that the JRC will evaluate candidate materials on a case-by-case basis, implying a final
867 selection and prioritisation of candidate materials in an early stage of the project, followed by
868 possible elaboration of criteria for the selected candidate materials.

869 5.3.2 Issue #6: selection of materials for assessment by the JRC

870 5.3.2.1 Background

871 Depending on the number of candidate by-product materials, JRC may have to prioritise specific
872 materials of interest based on objective conditions.

873 5.3.2.2 Proposal

874 It is proposed that the following issues will be taken into consideration when selecting candidate
875 by-products for assessment:

- 876 ▪ **Alignment to the scope** of this project as outlined in section 3. This implies that by-
877 products should be a production residue, not be part of one of the material types excluded
878 under point 1 of CMC 11 of Annex II of the FPR, can be used directly as a fertilising
879 product component, and are the result of an integral part of a production process.
- 880 ▪ **Current situation and possible inclusion under Regulation (EC) No 2003/2003**
881 (outgoing legislation relating to fertilisers) **and national markets in EU Member States**
882 (by-products used directly as fertilising materials on agricultural land, including products
883 of PFC 2 -6 of the FPR such as liming materials, soil improvers, etc.). By-products already
884 placed in the market could be associated to more readily available techno-scientific
885 database and use experience in the EU. Experiences observed by EU Member States from
886 this current framework will be taken into consideration (e.g. positive track-record). Due
887 attention will also be paid to current limitations and restrictions to mutual recognition of
888 by-products by Member States, as well as differences in recognition of materials as by-
889 products or not across Member States. This will be important, given the fact that individual

890 Member States will not be able to override the product status of materials compliant with
891 the FPR.

892 ▪ **Market potential** and future **outlook** of candidate by-products materials on the EU-
893 market and **trade on the EU single market**. Article 42(1)(a) of the FPR mentions the
894 “potential to be the subject of significant trade on the internal market” as one of the
895 conditions for the adoption of delegated acts by the Commission. The draft criteria shall
896 reflect present product manufacturing practices and technological developments. Hence,
897 a primary focus will be given to by-products that are produced in larger volumes (e.g. in
898 terms of intentionally synthesised fertilising products they can replace, or in terms of
899 alternative management that can be avoided if included under the FPR). Possibly, it is also
900 relevant to consider the future market outlook of the technologies applied (“future-
901 proofness”) in view of any Commission priorities and action plans (e.g. Circular Economy
902 action plan and EU Green Deal, focussing on (hazardous) waste prevention and reduced
903 pollution, safe chemical use and design, increased resource efficiency, greenhouse gas
904 emission reductions etc.).

905 ▪ **Data availability**. A prerequisite for the evaluation of candidate by-products is that a
906 sufficient amount of data is available to judge material safety and agronomic performance.
907 This may involve a clear production process description, knowledge on the chemicals and
908 reactants applied during the production process and their partitions during manufacturing
909 steps, chemical characterisation of the candidate materials, a full contaminant profile of
910 the candidate material, etc. Information can be obtained from techno-scientific literature
911 sources, site visits and/or inputs provided by members of the Commission expert group
912 for Fertilising Products consisting of Member State authorities, EU industry associations
913 and environmental NGOs (see section 5.1.3).

914 ▪ **Straightforwardness for criteria settings**. Some candidate materials and candidate
915 material groups may enable a more straightforward assessment and be associated to lesser
916 challenges during criteria setting (e.g. determination of limit values). This could be
917 materials for which already (industry) standards are available or those associated to
918 intrinsically low risks (e.g. from production processed having applied chemicals of little
919 or no toxicity).

920 Hence, the JRC would appreciate receiving any information that demonstrates compliance with
921 one or more of the abovementioned aspects for candidate by-products (see questionnaire, section
922 10).

923 **5.3.3 Issue #7: grouping of materials**

924 **5.3.3.1 Background**

925 The JRC shall strive to propose a generic set of agronomic efficiency and safety criteria for the by-
926 products considered. However, based on preliminary evidence it seems reasonable to assume that
927 the technical (composition, mainly impurity profiles) and agronomic characteristics of by-products
928 diverge to the extent that such overall criteria would become irrelevant. Therefore, a grouping
929 could be evaluated based either (i) on the similarity of the hazardousness profile, or (ii) on the
930 chemical composition (presence of main elements, closely related to intended use and agronomic
931 performance).

932 5.3.3.2 Proposal

933 Should the characteristics of candidate by-products diverge to the extent that such overall criteria
934 would become irrelevant, then the JRC will **define subcategories** of by-products that each
935 comprise **materials with a similar hazardousness profile**. This proposal will enable (i) a fair
936 assessment of the material risks, and (ii) favour safe innovation within the respective material
937 groups as long as the main risks are controlled and agronomic value is demonstrated (see section
938 5.4.2). After all, a material with the “same” chemical composition may show substantial differences
939 in its contaminant profile, depending on the production process from which it is derived (e.g. lime
940 as a residue from alkaline seaweed extraction versus lime as a residue of the production of aerated
941 concrete). It is also important to note that a materials registration in REACH, in principle, already
942 covers the impacts, hazards and risks originating from the main constituents present in the by-
943 product material, but may not be extensive enough to cover the impacts from the contaminants
944 present at trace level (see section 5.1.3, impurities resulting from the production process may differ
945 for “same” substances). Additionally, focussing exclusively on by-product materials with a specific
946 and narrow chemical composition (e.g. ammonium sulphate, lime, gypsum) may hinder safe
947 innovation that generates by-products of a different chemical composition than the ones that are
948 taken up in a positive CMC 11 material list. The proposal thus involves a significant change relative
949 to the EC 2003/3003 legislative framework that listed by-products based on their chemical
950 composition and main elements, without consideration of the contaminant profile. One of the
951 intentions of the FPR (EU) 2019/1009 is, however, to address the identified weakness related
952 to the lack of consideration of environmental and public health concerns in the EC 2003/2003
953 Fertilisers Regulation⁷. This proposal does, however, not imply that the agronomic value of the
954 materials is of a lesser importance. As indicated in section 5.2, criteria will be proposed to ensure
955 the added value of the materials in terms of agronomic performance.

956 5.4 Challenge D – Ensure a well-functioning market

957 5.4.1 Overview

958 The harmonisation of criteria for by-products is expected to promote a greater level playing field
959 with intentionally manufactured fertilising products by increasing legal certainty and opportunity
960 to use harmonised rules in a cost-effective manner for access to the single market. Stakeholders
961 request simple and cost-effective regulatory processes to enable sector innovation, to incentivise
962 investment, and to demonstrate compliance for by-product materials.

963 5.4.2 Issue #8: safe innovation

964 5.4.2.1 Background

965 In the best possible scenario, the FPR shall apply a reasonable neutral stance towards all existing
966 and future technological systems operating on the market. However, this technological neutrality
967 principle may to a certain degree be restricted for CMC 11 due to the wide scope and possible
968 contaminants that may be present in by-product materials. At the same time, it is important to point
969 out that the FPR has been envisaged as a “living document”, thus providing already intrinsic

⁷ See Commission staff working document impact assessment accompanying the proposal for the FPR,
<http://ec.europa.eu/DocsRoom/documents/15949/attachments/4/translations/en/renditions/native>

970 opportunities for safe innovation based on the possibility to adapt the Annexes through delegated
971 Commission acts.

972 **5.4.2.2 Proposal**

973 The already proposed reliance on a combination of a **positive list** (section 5.1.2) combined with a
974 possible **grouping of materials** (section 5.3.3) provides opportunities to formulate the group-
975 specific criteria **as generically as possible** in order to accommodate for safe innovation. After all,
976 materials within the same group may be derived from alike input materials and/or may have similar
977 primary products as an objective (e.g. by-products resulting from air cleaning systems after
978 material combustion/smelting). Therefore, innovation during specific manufacturing steps may not
979 induce supplementary risk as long as criteria have been proposed that account for risks associated
980 to the input material and/or prior processing steps (e.g. specific metals in input materials,
981 combustion-specific contaminants). Prior to proposing more generic criteria, an overview of the
982 possible by-product candidate materials that could form part of each group is required so as to have
983 a better view on the characteristics and risks for the grouped materials.

984 For completely new kinds of materials, it is important to recall the “optional harmonisation”
985 principle of the FPR. Therefore, innovative products could still be placed on national markets that
986 could serve to build up a track record on safety and agronomic efficiency. At a later stage, these
987 materials can then be evaluated for inclusion under CMC 11. After all, Article 42(b) of the FPR
988 indicates that the Commission has been given the possibility to adapt the Annexes to technical
989 progress over time so as to facilitate coverage of EU fertilising products on condition that there is
990 available **scientific evidence** to support their inclusion.

991 **5.4.3 Issue #9: legal certainty**

992 **5.4.3.1 Background**

993 The decision on whether or not a particular substance or object is a by-product must in the first
994 instance be made by the producer of the substance or object, together with the competent national
995 authorities, based on the applicable national legislation transposing the Waste Framework
996 Directive. Production residues may be classified dissimilarly in different Member States or in
997 different regions of the same Member State, ultimately leading to uncertainty about the legality of
998 management practices for certain by-product streams. The situation may also lead to uncertainty
999 for operators and authorities in possible cross-border movement of by-products, resulting in delays
1000 or even refusal of entry and thereby resulting in an inefficient internal market in the EU.
1001 Furthermore, in some cases, inconsistent classification of materials (waste versus by-product)
1002 could lead to poor management of risks and to potential risks to human health and to the
1003 environment.

1004
1005 As already explained, by-products used as a component material in EU fertilising products have
1006 also to comply with the national legislations setting criteria on the application of the conditions
1007 laid down in Article 5(1) of Directive 2008/98/CE, i.e. under the waste legislation. The CMC 11
1008 criteria will thus not replace the WFD requirements. The criteria can, however, **aid to demonstrate**
1009 **that** further use of the materials identified in the criteria is “**lawful**” as they do not present a risk
1010 to human, animal or plant health, to safety or to the environment, and that “**further use of the**
1011 **substance or object is certain**” (see section 3.5).

1012 **5.4.3.2 Proposal**

1013 The elaboration of EU-wide criteria for by-products targets to create a level playing field for
1014 fertilising products by increasing legal certainty for access to the single market. Therefore, it is
1015 required that the JRC evaluation and the proposed CMC 11 criteria inspire trust by national
1016 competent authorities, food safety authorities, European fertilising products manufacturers, EU
1017 farmers, and the general public. To this end, it is proposed that this work shall be based **on solid**
1018 **and widely accepted principles that depart from a high level of ambition with respect to safety**
1019 **standards and agronomic efficiency** (as outlined in section 5.1 and 5.2). Such evaluation - based
1020 on transparently available data - may promote a **true level playing field for those materials,**
1021 **regardless of the Member State in which they are produced.** This, however, does not imply that
1022 by-products that do not meet the FPR criteria will be excluded from the market. In any case, the
1023 FPR relies on the principal of optional harmonisation and is therefore parallel to EU Member State
1024 legislation. Finally, the fact that by-products meeting the FPR criteria will automatically have
1025 access to the EU market also requires a sufficient support base across Member States for any
1026 materials selected for inclusion on the proposed FPR positive list.

1027 **5.4.4 Issue #10: limiting compliance costs**

1028 **5.4.4.1 Background**

1029 The CMC criteria may limit the introduction of unnecessary regulatory burden and cost to
1030 demonstrate compliance when fewer parameters have to be measured and reported by the
1031 responsible fertilising product manufacturer as responsible economic operator. In the best possible
1032 scenario, the CMC 11 criteria shall be simple and practical, associated to reasonable compliance
1033 costs, and facilitate a straightforward verification and monitoring system.

1034 **5.4.4.2 Proposal**

1035 Depending on the criteria development process, it may be an option to divide the materials within
1036 different groups so as to enable the development of a more targeted compliance scheme (see also
1037 section 5.3.3). The use of a positive list, will furthermore limit the possible parameters to test and
1038 hence limit compliance costs (see also section 5.1.2.2).

1039 Regardless, manufacturers may have to carry out sample testing for a to-be-determined number of
1040 parameters. Since compliance is a of the economic operators, benefits may be obtained from
1041 omitting measurements when risks are absent so as to reduce the time and resource costs of
1042 compliance. Where (i) compliance with a given requirement (such as absence of a given
1043 contaminant or contaminant list, see section 5.1.3) follows certainly and uncontestably from the
1044 nature or manufacturing process of an EU fertilising product, and (ii) a manufacturer wishes to take
1045 responsibility for compliance, it may be evaluated if the frequency of compliance can be lower or
1046 even presumed in the conformity assessment procedure without verification through testing
1047 (similar to specific conditions for PFCs, see Annex I, Part II, point 4 of the FPR).

1048 6 AGRICULTURAL VALUE FOR SINGULAR STREAMS

1049 Although CMC 11 candidate materials are produced through a variety of manufacturing processes,
1050 the number of different substances and materials of different chemical composition is more limited.
1051 For instance, ammonium sulphate (EC No 231-984-1) is produced through about 10 production
1052 pathways outlined in this document. Hence, many substances listed as “priority materials” only
1053 differ in their impurity profile. On condition that impurities are reduced to acceptable levels, **the**
1054 **agronomic efficiency is largely determined by the chemical composition of the main elements**
1055 **present in the CMC candidate material**. Other elements that impact upon agronomic efficiency
1056 are e.g. physical characteristics such as particle size and moisture content that can be adjusted using
1057 “normal industrial practice” (e.g. screening, granulation, drying, rewetting, etc.).

1058

1059 The priority materials identified involve a large share of materials that are associated to a long-
1060 term use history in the EU. Farmers acknowledge their effectiveness to contribute to plant nutrition.
1061 These involve substances that have intended uses as (component materials for) fertilisers, liming
1062 materials and soil improvers. Others are more innovative materials (e.g. plant biostimulants). Note
1063 that multiple intended uses for specific materials can be envisaged, for which reason the use
1064 classification indicated below is only informative.

1065

1066 Materials added for technical reasons will not necessarily have an agronomic efficiency (e.g.
1067 binding agent, adjustment of hardness for broadcasting). Hence, these materials will not be
1068 evaluated with respect to their agronomic efficiency.

1069 6.1 Fertilisers

1070 A number of **well-defined substances** (pursuant the definition in Regulation No 1907/2006
1071 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH))
1072 has been proposed as CMC 11 candidate materials. Mostly, this involves salts of sulphate (SO_4^{2-}),
1073 ammonia (NH_4^+), nitrate (NO_3^-), or phosphate (PO_4^{3-}).

1074

1075 This mostly involves **mono-constituent well-defined substances** with one main constituent that
1076 makes up at least 80% of the substance, and any other substances present in concentrations between
1077 1% and 10%.

- 1078 • Ammonium sulphate (EC No 231-984-1), agronomic value demonstrated from long-
1079 term history on field, classification as a fertiliser under Regulation (EC) No
1080 2003/2003, and scientific literature (e.g. Chien et al., 2011). The materials are
1081 produced as:
 - 1082 - By-products from following production processes from the chemical industry:
1083 from cyclohexanone amine and caprolactam production, from acrylonitrile
1084 and hydrocyanic acid production, from methyl methacrylate production, from
1085 saccharin production, from methionine production, from coke production.
 - 1086 - By-products from following production processes from the metal and mining
1087 industry: from ore processing, from metal surface treatment.
 - 1088 - By-products from following production processes from gas cleaning systems:
1089 from flue-gas desulphurisation systems, from biomass gases.

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- Calcium sulphate – gypsum (EC 231-900-3), agronomic value as a fertiliser or soil improver demonstrated from long-term history on field, classification as a fertiliser under Regulation (EC) No 2003/2003, and scientific literature (e.g. Panday et al., 2018). The materials are produced as:
 - By-products from following production processes from metal mining industries: from sodium chloride brine purification, from ore processing (phosphogypsum, fluorogypsum, titanogypsum), from metal surface treatment;
 - By-products from following production processes from gas cleaning systems: from flue-gas desulphurisation systems, from ammonia scrubbing of biomass gases;
 - By-products from citric acid and tartaric acid production (from the processing of biomass and water for food, drink and biorefinery industries).
 - Elemental sulphur (EC No 231-722-6), agronomic value demonstrated from long-term history on field, classification as a fertiliser under Regulation (EC) No 2003/2003, and scientific literature (e.g. Boswell and Friesen, 1993). The materials are produced as:
 - By-products from following production processes: from gas cleaning systems: from flue-gas desulphurisation, from sulphur recovery units, from biomass gases (biogas purification).
 - Ammonium nitrate (EC No 229-347-8), agronomic value demonstrated from long-term history on field, classification as a fertiliser under Regulation (EC) No 2003/2003, and scientific literature (e.g. Lips et al., 1990). The materials are produced as:
 - By-products from caprolactam production (hydroxylamine phosphate oxime process);
 - By-products from ammonia scrubbing of biomass gases (gas cleaning systems).
 - (Di-)ammonium phosphate (EC No 231-764-5 and 231-987-8), agronomic value demonstrated from long-term history on field, classification as a fertiliser under Regulation (EC) No 2003/2003, and scientific literature (e.g. Thomas and Rengel, 2002). The materials are produced as:
 - By-products from following production processes from ammonia scrubbing of biomass gases (gas cleaning systems).
 - Sodium sulphate (EC No 231-820-9), agronomic value demonstrated from long-term history on field, classification as a fertiliser under Regulation (EC) No 2003/2003, and scientific literature (e.g. Solberg et al., 2007). The materials are produced as:
 - By-products from flue-gas desulphurisation systems.
 - Magnesium sulphate – kieserite (EC No 231-298-2), agronomic value demonstrated from long-term history on field, classification as a fertiliser under Regulation (EC) No 2003/2003, and scientific literature (e.g. Craighead and Martin, 2001). The materials are produced as:
 - By-products from following production processes from flue-gas desulphurisation systems.
 - Metal sulphates, including zinc sulphates (EC No 231-793-3), iron sulphate (EC No 231-753-5) and copper sulphate (EC No 231-847-6); agronomic value demonstrated from long-term history on field, classification as a fertiliser under Regulation (EC)

1137 No 2003/2003, and scientific literature (e.g. Brennan, 1990; Lucena, 2003; Broadley
1138 et al., 2007). The materials are produced as:
1139 - By-products from metal surface treatment.
1140

1141 Note that with specific process modifications, the chemical composition of by-products can be
1142 modified (e.g. calcium sulphate to magnesium sulphate). This is because strong acids (sulphuric
1143 acid, nitric acid, phosphoric acid) used in neutralising processes, as well as counter-ions that bind
1144 to free sulphates (Ca, Mg, Na, etc.), can normally be exchanged during chemical processes that
1145 take place during product manufacturing. Hence, the combination of material – production process
1146 is indicative, but a common property is that they jointly classify as salts of sulphate (SO_4^{2-}),
1147 ammonia (NH_4^+), nitrate (NO_3^-), or phosphate (PO_4^{3-}).

1148

1149 In addition, **multi-constituent well-defined substances** can be produced, defined pursuant
1150 REACH as substances consisting of several main constituents that each will be present at a
1151 concentration of between 10% and 80% in the substance, and the sum of the remaining components
1152 together making up < 10%:

- 1153 • Binary salts (EC No 914-103-1), defined as a reaction mass of ammonium sulphate,
1154 potassium sulphate and sodium sulphate. Examples include by-products from amino
1155 acid production, e.g. from the molasses left over after fermentation processes.
- 1156 • Other manufacturing processes that result in materials that are not REACH registered
1157 may produce multi-constituent well-defined substances (e.g. possibly potassium and
1158 sodium sulphates from biodiesel production; concentrates from sodium acid
1159 pyrophosphate potato washing solutions consisting of different phosphate salts).
1160

1161 Finally, the “**Unknown or Variable composition, Complex reaction products or Biological**
1162 **materials**” (UVCB, pursuant REACH terminology) such as basic slags or Thomas slags can show
1163 high contents of phosphorous. Since most slags also have a high neutralising value, these will be
1164 discussed under section 6.2 (liming materials).

1165 6.2 Liming materials

1166 Some candidate materials are **well-defined substances** (pursuant the definition in Regulation No
1167 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals
1168 (REACH)), due to their high content of carbonates, oxides and/or hydroxides of Ca and Mg (>80%
1169 of the total mass). This implies that their agronomic value as a liming material is intrinsic and can
1170 thus be assumed without further testing. This involves, for instance, following materials:

- 1171 • Calcium carbonate (EC No 207-439-9), by-products from the processing of biomass
1172 and water for food, drink and biorefinery industries: from sodium chloride brine
1173 purification, from soda ash production (post-soda lime), from sugar production, from
1174 the paper and pulp industry (lime mud).
- 1175 • Calcium oxide (EC No 215-138-9) from sugar production, from the paper and pulp
1176 industry (burnt lime);
- 1177 • Calcium hydroxide (EC No 215-137-3): carbide lime from acetylene production (EC
1178 No 215-137-3);

1179 Note that Regulation (EC) No 2003/2003 exclusively focused on fertilisers (materials with high
1180 nutrient content), and therefore the placing on the market of these materials was not regulated at
1181 EU level previously.

1182

1183 **Steel slags**, specifically “basic slags”, also contain high amounts of P₂O₅ and possibly other
1184 nutrients and are listed as fertilisers under Regulation (EC) 2003/2003. The chemical composition
1185 of steel and iron slags includes a multi-fold of substances, and are therefore classified as “Unknown
1186 or Variable composition, Complex reaction products or Biological materials” (UVCB) substances
1187 in REACH:

- 1188 • Slags, ferrous metal, blast furnace (EC No 266-002-0);
- 1189 • Slags, steelmaking (EC No 266-004-1)
- 1190 • Slags, steelmaking, converter (EC No 294-409-3)

1191 The agronomic value of these materials, either as nutrient sources or as liming materials is well
1192 recognised. Basic slags are listed as fertilisers under Regulation (EC) No 2003/2003, and have a
1193 long-term use history in specific EU Member States such as Germany and Belgium. The
1194 neutralising value of some slags may be similar to limestone, and the effectiveness of slags as a
1195 nutrient source or to neutralise soil acidity is demonstrated in scientific publications (e.g.
1196 Algermissen et al., 2016)

1197

1198 Finally, the following material could possibly be classified as a **substance which occurs in nature**⁸
1199 and that is not chemically modified⁹:

- 1200 • Dolomite fines (e.g. from MgO production)

1201 As long as these conditions apply, these materials are exempted from registration in REACH
1202 (Annex V of Regulation (EC) No 1907/2006).

1203 **6.3 Soil improvers and plant biostimulants**

1204 A significant amount of by-products from the processing of biomass and water for food, drink and
1205 biorefinery industries are generated that can be used in agriculture as soil improvers or as plant
1206 biostimulants. Biostimulants are often composed of multiple components such as plant hormones,
1207 amino acids, betaines, peptides, proteins, sugars (carbohydrates, oligo- and polysaccharides),
1208 aminopolysaccharides, lipids, vitamins, nucleotides or nucleosides, humic substances, beneficial
1209 elements, phenolic compounds, furostanol glycosides, and sterols (Yakhin et al., 2017; Madende
1210 and Hayes, 2020). Many of the proposed CMC candidate 11 materials span different intended uses
1211 because they contain nutrients, organic matter, and easily decomposable plant building blocks in
1212 different quantities.

1213

1214 A solid body of scientific evidence (McHugh, 2013; Lonhienne et al., 2014; Canellas et al., 2015;
1215 du Jardin, 2015; Yakhin et al., 2017; Roupael and Colla, 2018; Xu and Geelen, 2018) has
1216 demonstrated that **plant hydrolysates, seaweed extracts, humic/fulvic acids, and yeasts** can have

⁸ naturally occurring substance as such, unprocessed or processed only by manual, mechanical or gravitational means, by dissolution in water, by flotation, by extraction with water, by steam distillation or by heating solely to remove water, or which is extracted from air by any means

⁹ [=chemical structure remains unchanged, even if it has undergone a chemical process or treatment, or a physical mineralogical transformation, for instance to remove impurities

1217 added value for agriculture. In addition, humic/fulvic acids have been shown to induce rates of
1218 seed germination, transfer micro-nutrients from soil to plants, improve water retention and enhance
1219 microbial biomass (Peña-Méndez et al., 2005). In line with the definition of plant biostimulants in
1220 the FPR, they may have the ability to stimulate plant nutrition processes independently of the
1221 product's nutrient content with the sole aim of improving one or more of the following
1222 characteristics of the plant or the plant rhizosphere: (a) nutrient use efficiency, (b) tolerance to
1223 abiotic stress, (c) quality traits, or (d) availability of confined nutrients in the soil or rhizosphere.
1224 Such innovative materials are potentially important for the EU agricultural sector, especially since
1225 new ways should be pursued to increase nutrient use efficiency in line with the priorities outlined
1226 in the EU Farm-to-Fork¹⁰ and Biodiversity Strategy¹¹.

1227

1228 Based on the abovementioned scientific literature on plant biostimulating properties, possibly
1229 combined with high contents of organic carbon, it is proposed that the agronomic efficiency is
1230 implicit for following materials that are biomass residues from chemical and enzymatic refining
1231 processes (assuming that acceptable levels of impurities and other conditions are met):

- 1232 - **plant expellers/cakes** mostly containing vegetable fibres and proteins as obtained after
1233 acid/alkaline/enzymatic/(solvent) extraction (e.g. oilseed cakes);
- 1234 - **filter cakes** (e.g. protein fractions) obtained during the filtration of foodstuffs, beverages
1235 and biorefinery liquids (e.g. starch hydrolysates cake; brewers' grains)
- 1236 - **protein residues obtained after acid/alkaline/enzymatic extraction processes of plants
1237 and seaweeds** from which primary products (e.g. amino acids, agar, pharmaceuticals) have
1238 been isolated (e.g. protein concentrates from which amino acids of interested have been
1239 extracted; alkaline extraction residues from agar production);
- 1240 - **protein-rich residues** obtained in **fermentation** processes (using e.g. *Escherichia coli*
1241 K12, *Corynebacterium glutamicum*, yeasts) on substrates of vegetable (e.g. molasses) or
1242 chemical origin, natural gas, or mineral salts (e.g. ammonium sulphate) for the production
1243 of e.g. amino acids (e.g. methionine), aroma, syrupy, inulin, pharmaceuticals, vitamins;
- 1244 - **surplus yeasts and parts thereof** obtained (e.g. *Saccharomyces cerevisiae*) for instance
1245 from beer brewing;
- 1246 - **Humic/fulvic acids** from organic matter removal during drinking water production.

1247

1248 Note that (most) by-products from biomass processing are also eligible as an input material for
1249 compost and anaerobic digestate. This will provide for many materials an alternative route for their
1250 placing on the market as components for EU fertilising materials, especially since such materials
1251 are REACH exempted. However, for some smaller high-value streams with specific properties (e.g.
1252 concentrated protein fractions), it may be beneficial to keep them separate, rather than mix them
1253 with other materials (e.g. as part of a co-digestion process). All materials will have to comply with
1254 a set of criteria that ensures high levels of environmental protection (e.g. absence of biological
1255 pathogens, material stability, etc.).

¹⁰ https://ec.europa.eu/food/farm2fork_en

¹¹ https://ec.europa.eu/info/strategy/priorities-2019-2024/european-green-deal/actions-being-taken-eu/eu-biodiversity-strategy-2030_en

1256 **6.4 Excluded materials due to presently uncertain agronomic value**

1257 Based on agronomic value, there are only two materials proposed by the COM Expert Group on
1258 Fertilising Products for which the JRC proposes that their knowledge base on agronomic value is
1259 at present not sufficiently developed to enable its inclusion under CMC 11. Therefore, JRC
1260 proposes to exclude these materials for further assessment. This does not imply that those materials
1261 have no future in agriculture. As outlined in the directional framework for this project, the selection
1262 and prioritisation of candidate materials is based on numerous criteria including amongst others
1263 market potential, available techno-scientific information, challenges to develop criteria in a
1264 straightforward manner, and possibilities to develop cost-effective compliance schemes.
1265 Additional materials have been excluded due to challenges to ensure environmental and human
1266 health safety through cost-effective compliance schemes that are straightforward to develop within
1267 this project (see section 7). It is re-iterated that alternative routes for their placing on the market
1268 may exist (e.g. in line with national rules and the FPR principle of optional harmonisation, other
1269 CMCs for specific materials (e.g. compost for biomass-derived materials)).

1270

1271 **6.4.1 Residues from nepheline syenite production with a lurgi type of magnetic** 1272 **separation system**

1273 Nepheline syenite has about 48-54% albite ($\text{NaAlSi}_3\text{O}_8$, a Na-rich feldspar), 18-23% microcline
1274 (KAlSi_3O_8 , a potassium-rich feldspar), and 20-25% nepheline ($\text{Na}_3\text{KAl}_4\text{Si}_4\text{O}_{16}$) (Cinar and Durgut,
1275 2019), and can thus be classified as a K-rich silicate mineral. It serves as a raw material for ceramic
1276 body composition as a melting agent. However, impurities such as titanium, iron-bearing minerals,
1277 quartz (SiO_2), mica ($\text{Al}_2\text{K}_2\text{O}_6\text{Si}$), and calcite (CaCO_3) minerals in nepheline syenite can lead to
1278 quality problems on the surface of floor tile because of different sintering properties (Cinar and
1279 Durgut, 2019). Therefore, these impurities should be eliminated from syenite before the sintering
1280 process to increase its quality while reducing economic and environmental impacts. This is done
1281 via a lurgi type of magnetic separation system, and generates a nepheline syenite residue as a by-
1282 product.

1283 Plant responses following the application of silicate minerals, such as residues from syenite, are
1284 rather low (approximately 10% of that of treatments with KCl; Manning et al., 2017), and often no
1285 difference is observed in plant growth with a negative control (Mohammed et al., 2014). Soils
1286 derived from glacial till or developed on granite contain K-feldspars and are common in many parts
1287 of Europe. Therefore, the addition of (residues from) silicate minerals to these temperate soils may
1288 not give a response (Manning et al., 2017). This indicates that the K present in the residues is
1289 largely in a stabilised form, and not available to plants in the short term. Evidence for other uses of
1290 this material (e.g. as liming materials) were not observed in literature. Hence, the agronomic
1291 efficiency for these materials is at present not sufficiently supported, and no further assessment of
1292 the material is proposed.

1293

1294 **6.4.2 Glycerol**

1295 The predominant biodiesel production process involves a phase of transesterification that yields
1296 glycerol as a by-product. Glycerol is a material that contains organic carbon as well as impurities
1297 in the form of methanol, soaps, triglycerides, fatty acids, and salts. Since it is of low purity, few
1298 alternatives for its application have been identified (Pitt et al., 2019). The techno-scientific base
1299 for its use as a fertilising product remains thin and possibly limited to very specific application
1300 conditions and rates (Qian et al., 2011; Parker, 2013). Because its production volumes increase
1301 alongside biodiesel production (O'Connell et al., 2019) to levels potentially in excess to
1302 agricultural demands, there may be a risk of being returned to agriculture as a disposal route,
1303 without a clear associated benefit for EU agriculture. Nonetheless, valuable component fractions

1304 from glycerol (e.g. potassium concentrates) could be isolated as valuable by-products, as outlined
1305 elsewhere (see section 7.4.3.3).

1306

1307 **6.4.3 Any other materials not part of the positive list**

1308 One of the main reasons for proposing the development of a **positive list is that enables restricting**
1309 **the CMC to materials that have a demonstrated agricultural value.** A more “open” input
1310 material approach (e.g. negative list) would open a door for the landspreading of materials of
1311 unknown or uncertain agronomic value as a low-cost route for their disposal.

1312

draft - work in progress

1314 **7.1 Group A – by-products primarily obtained through the refining of fossil**
1315 **fuels (for chemical industry)**1316 **7.1.1 Scope**

1317 By-products primarily obtained from the transformation, refining and purification (e.g. through
1318 heating, steaming, thermal processing, extraction, distillation, condensation, crystallisation,
1319 stripping, filtration) of fossil fuels for the production and chemical synthesis of (fine)
1320 petrochemicals like synthetic polymers (for instance plastics, rubber, fiber raw materials), amino
1321 acids, and other organic compounds. Materials excluded involve:

- 1322 - By-products from gas cleaning systems;
 - 1323 - By-products from chemicals produced through fermentation (e.g. citric acid) as covered
1324 under Group D (biomass-derived materials; see section 7.3.7).
- 1325

1326 **7.1.2 Ammonium salts from cyclohexanone oxime and caprolactam production**

1327 Over the years, many routes for the manufacture of caprolactam have been developed. Of these
1328 routes, the bulk of manufacture is via cyclohexanone (as prepared from phenol or cyclohexane)
1329 and cyclohexanone oxime. The conversion of cyclohexanone to cyclohexanone oxime is brought
1330 about by the use of hydroxylamine sulphate. The produced sulphuric acid is neutralised with
1331 ammonia to ammonium sulphate and this is separated from the oxime. In the presence of fuming
1332 sulphuric acid, the oxime undergoes the process known as the Beckmann rearrangement to yield
1333 the crude caprolactam. After further neutralization with ammonia, the caprolactam and further
1334 ammonium sulphate are separated by solvent extraction. From the rearrangement section, two
1335 liquid phases result: an aqueous solution rich in ammonium sulphate (35-40%) containing 0.5-
1336 1.5% caprolactam, and crude caprolactam containing 25-30% water and a small amount (<1.5%)
1337 of ammonium sulphate. Both streams also contain organic and inorganic impurities. Caprolactam
1338 is recovered from both of these phases and further purified by solvent extraction, and the
1339 ammonium sulphate is combined with the phase separated from the oxime. The crude caprolactam
1340 layer is extracted with an organic solvent in the so-called forward extraction, which is followed by
1341 back-extraction with water. Benzene, toluene, alkanes or chlorinated hydrocarbons (e.g.
1342 trichloroethylene, chloroform) are examples of solvents used in the industry (van Delden et al.,
1343 2006). In 2011, the global annual production of caprolactam was more than 4.75 million tonnes. In
1344 the above process, 4-5 tonnes of ammonium sulphate are produced as a by-product per tonne of
1345 caprolactam.

1346

1347 Technological developments have attempted to reduce or eliminate by-product formation. These
1348 involve, for instance, the acidic oximation process, the BASF process based on the production of
1349 hydroxyl ammonium sulphate to be reacted with cyclohexanone and ammonia (~0.1 tonne of
1350 ammonium sulphate per tonne of cyclohexanone oxime produced); the hydroxylamine phosphate
1351 oxime process developed by DSM - a process that generates phosphoric acid and ammonium nitrate
1352 as a by-product, the EniChem process based on the production of the oxime through the reaction
1353 of hydroxylamine with ketone. One route that eliminates the production of ammonium sulphate is
1354 the photonitrosation process involving nitrosyl chloride.

1355

1356 Finally, different companies have attempted the production of caprolactam without cyclohexanone
1357 oxime as intermediate. For example, the SNIA viscosis process produces caprolactam and

1358 ammonium sulphate based on toluene, benzoic acid, cyclohexanoid acid, and nitrosulphuric acid
1359 as raw materials, intermediates and reactants. Alternative routes with butadiene (e.g. followed by
1360 hydrocyanation) and 6-aminocapronitrile as raw material have also been explored and
1361 commercialised in the past.

1362

1363 After effluent stripping with steam, the main residual contaminants in the by-product stream are
1364 **caprolactam, cyclohexanone** and **cyclohexanone oxime** (Falcke et al., 2017). In the neutralized
1365 Beckmann rearrangement mixture impurities of inorganic and organic nature are present (e.g.
1366 amines, alcohols, amides). Those could potentially be transferred to the ammonium sulphate
1367 stream, dependent on their affinity for the organic (solvent) phase versus the high ionic aqueous
1368 environment in the ammonium sulphate phase. Specifically, Zhao et al. (2001) identified **aniline**
1369 and **2-methylcyclopentanol** as main impurities present in the reaction system. Extraction solvents
1370 used in an extraction procedure during the processing are normally removed under conditions of
1371 good manufacturing practice. Nonetheless, at times the presence of solvent residues (e.g.
1372 **trichloroethylene, toluene** and **benzene**) in candidate CMC 11 materials may be unintentional or
1373 technically unavoidable (DOMO Caproleuna GmbH, 2014).

1374

1375 **7.1.3 Ammonium sulphate from acrylonitrile and hydrocyanic acid production** 1376 **through ammoxidation**

1377 In chemistry, ammoxidation is an industrial process for the production of nitriles using ammonia
1378 and oxygen. Usually, the processes use alkenes as substrates. Nitriles are organic compounds that
1379 have a $-C\equiv N$ functional group, and are referred to as “cyano” compounds. In the EU, acrylonitrile
1380 is produced through the ammoxidation of propylene at temperatures of 500°-650°C (Golodets,
1381 1983). Hydrocyanic acid is commonly manufactured by reacting methane and ammonia at a
1382 temperature of around 1000°C, through the ammoxidation-like Andrussow process (Pirie, 1958).
1383 There are numerous variations in the methods of recovery of the excess ammonia in such processes,
1384 but most of them comprise the two basic steps of ammonia recovery by quenching/washing with
1385 acidified ammonia sulphate solution. The aqueous solution leaving the reactor dominantly consists
1386 of **acrylonitrile, hydrocyanic acid, ammonia sulphate, and acetonitrile**, as well as smaller
1387 quantities of **acrolein, acetic acid, acrylic acid, propionitrile, and methacrylonitrile** (Falcke et
1388 al., 2017). A recovery column removes bulk water, and separates the different products of interest
1389 by distillation and condensation, whereas crystallisation is applied to obtain reusable ammonium
1390 sulphate from the excess (unconverted) ammonia reacted with sulphuric acid. In another recovery
1391 process, hydrogen cyanide and ammonia are simultaneously absorbed in a medium based on a
1392 polyhydroxy boric acid complex— an aqueous solution of pentaerythritol and boric acid. Here, the
1393 hydrogen cyanide dissolves, but the ammonia combines with the polyhydroxy complex. The
1394 hydrogen cyanide can be recovered by vacuum distillation at moderate temperature; the cyanide-
1395 free solution is subsequently stripped at a higher temperature at which the ammonium salt is
1396 dissociated.

1397

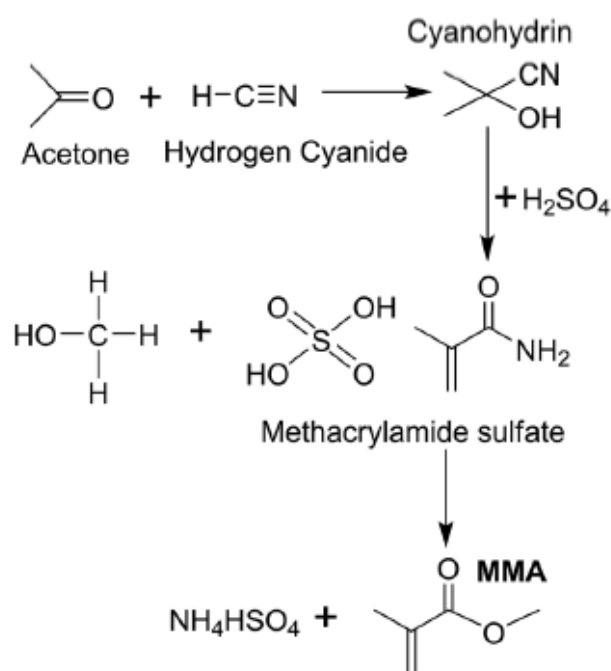
1398 Impurities from the reaction of propylene and ammonia may include following compounds:
1399 **acrylonitrile, hydrocyanic acid, acetonitrile, acrolein, acetic acid, acrylic acid, propionitrile,**
1400 **and methacrylonitrile**. Following the addition of sulphuric acid, primarily **tarry** (containing
1401 polyaromatic hydrocarbons, **PAHs**) **and/or sulphur-containing organic substances** could then
1402 be produced. The addition of water during a possible basic quench step causes the formation of
1403 **(undefined) high-boiling oligomeric compounds** and organic ammonium salts which must be

1404 purged from the system, together with **organic acids** (Falcke et al., 2017). It is expected that most
1405 of these compounds end up in the effluent stream from the crystallisation process.

1406

1407 **7.1.4 Ammonium sulphate from methyl methacrylate production**

1408 Methyl Methacrylate (MMA) is a methyl ester of methacrylic acid. Methyl methacrylate is a
1409 reactive resin, and the polymerized form is used e.g. as cement in dentistry, orthopaedic surgery
1410 and ophthalmology. A dozen technologies are under development and practiced commercially for
1411 MMA, the main one being the acetone cyanohydrin (ACH) route (Figure 2). Acetone and hydrogen
1412 cyanide (HCN) react to form acetone cyanohydrin. This intermediate then reacts with excess
1413 concentrated sulphuric acid to methacrylamide sulphate. Methacrylamide is then treated with
1414 excess aqueous methanol; the amide is hydrolysed and esterified forming a mixture of MMA and
1415 ammonium bisulphate (NH_4HSO_4).



1416

1417 **Figure 2: Overview of the methyl methacrylate (MMA) production process via the acetone**
1418 **cyanohydrin route**

1419 A less common alternative route is the methacrylonitrile (MAN) process. Here, methacrylonitrile
1420 as produced by ammoxidation from isobutylene is hydrated by sulphuric acid and reacted with
1421 methanol to methacrylamide. Similar to the ACH pathway, NH_4HSO_4 is produced as a by-product
1422 that can be further neutralised to ammonium sulphate in a subsequent step. Hence, the latter
1423 ammonium sulphate would be a by-product on condition that it is manufactured as an integral part
1424 of the MMA production process.

1425

1426 The MMA product is boiled off leaving an aqueous solution (spent acid) that contains ammonium
1427 sulphate (44%) and spent sulphuric acid (14%), as well as various organic compounds, such as
1428 **sulphonated organic products, tars, and light and heavy organics such as methanol and**
1429 **aldehydes (acetaldehyde), vinyl ether and methacrylic acid (vinyl acetate) (DKL**
1430 **Engineering, 2002)**. The solution flows into an ammonium sulphate crystallization feed tank and
1431 impurities are removed using purification techniques (CEFIC, 2014).

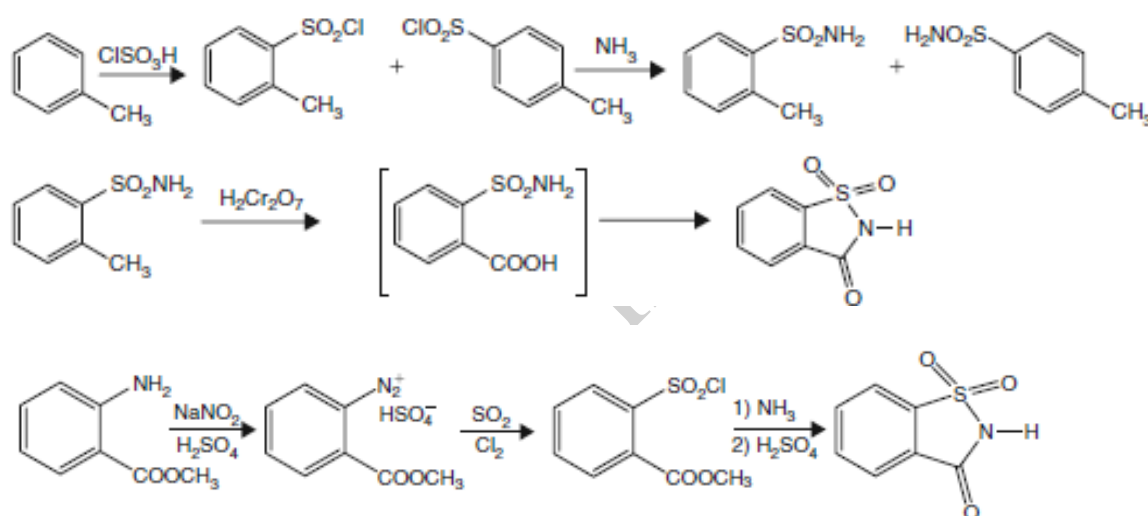
1432

1433 7.1.5 Ammonium sulphate from saccharin production

1434 Saccharin was originally obtained by Fahlberg through the oxidation of o-methyl-
1435 benzenesulphonamide, a starting material obtained by chlorosulphonation of toluene with
1436 chlorosulphonic acid (O'Donnell and Kearsley, 2012). Sulphonation of toluene by chlorosulphonic
1437 acid results in sulfonyl chlorides. The ortho form, o-toluene-sulphonyl chloride, is treated with
1438 ammonia to give o-toluene-sulphonamide, which is then oxidized with potassium permanganate to
1439 produce o-sulphamido-benzoic acid. On heating, the latter yields saccharin.

1440 The Maumee process is based on the common grape flavourant from phthalic anhydride or methyl
1441 anthranilate as starting material (O'Donnell and Kearsley, 2012). In this synthesis, the methyl
1442 anthranilate successively reacts with nitrous acid (from sodium nitrite and hydrochloric acid),
1443 sulphur dioxide, chlorine, and then ammonia to yield saccharin.

1444



1445

1446

1447 **Figure 3: Production routes for saccharin through the Fahlberg-Remsen (top) and the Maumee**
1448 **process (bottom) (adopted from O'Donnell and Kearsley (2012)).**

1449 No information could be retrieved on the process of ammonium sulphate purification, but it seems
1450 likely that excess ammonia is precipitated from the sulphuric acid solution.

1451

1452 Information on impurities in the recovered ammonium sulphate was not found from literature, but
1453 may possibly include intermediate reaction products, such as o-toluene-sulphonamide and o-
1454 sulphamido-benzoic acid (Fahlberg process), and methyl anthranilate, benzamide, methyl
1455 benzoate, and 2-chlorobenzamide (Maumee process).

1456

1457 7.1.6 Ammonium sulphate from methionine production through chemical synthesis

1458 Amino acids are essential compounds for life metabolic processes, containing an amine and a
1459 carboxyl acid chemical functional group. Industrially produced amino acids are widely used in
1460 animal feed and human nutrition. Amino acids can be obtained through chemical synthesis (such
1461 as methionine), extraction from protein hydrolysates (such as cysteine), enzymatic synthesis and
1462 fermentation of sugars. This paragraph focusses on by-products from amino acids that are produced
1463 through chemical synthesis reactions, as the raw materials and manufacturing steps are similar to

1464 those from the petrochemical industry. Methionine is, to the best of our knowledge, the sole amino
1465 acid whose production process gives rise to sulphate salt-containing fertilising materials as by-
1466 product.

1467 DL-Methionine is mainly produced by chemical synthesis from methyl mercaptan, acrolein and
1468 hydrogen cyanide (Willke, 2014). Acrolein is reacted with methyl mercaptan and the resulting
1469 product is treated with HCN to form beta-methylmercaptopropionaldehyde cyanhydrin. The latter
1470 compound is then subjected to amination by means of high pressure ammonia and the resulting
1471 methionine nitrile is hydrolysed with sulphuric acid to give a reaction product which, upon
1472 neutralization with aqueous ammonia, gives a solution containing methionine. This solution also
1473 contains **ammonium sulphate and/or bisulphate** (produced by hydrolysis of the nitrile), as well
1474 as certain (PAH-containing) **tarry impurities**. From this solution, methionine as primary product
1475 (generally by crystallization) and ammonium bisulphate as by-product will be isolated that can be
1476 further neutralised to ammonium sulphate in a subsequent step. Hence, the latter ammonium
1477 sulphate would be a by-product on condition that it is manufactured as an integral part of the
1478 methionine production process. Possible impurities in the by-product include cyanides, acrolein,
1479 methyl mercaptan, methionine nitrile, and tarry impurities.

1480

1481 **7.1.7 Other well-defined substances (as defined pursuant regulation (EC) No** 1482 **1907/2006) that are salts of ammonia (NH₄⁺), nitrate (NO₃⁻), phosphate (PO₄³⁻** 1483 **), or sulphate (SO₄²⁻)**

1484 Additionally, different production processes for organic chemicals which apply excess ammonia
1485 or sulphuric acid in processing steps are potential production routes for salts of ammonia and
1486 sulphate, respectively. Though uncommon due to cost issues, sulphuric acid can also be replaced
1487 by other strong acids (phosphoric acid, nitric acid) in production processes. Although not brought
1488 forward by the Commission Expert Group, examples encompass **formic acid production**
1489 (involving the reaction of methyl formate with ammonia to give formamide (HC(O)NH₂), which
1490 is then hydrolysed with sulphuric acid to produce formic acid (HCO₂H) and ammonium sulphate),
1491 and **lactic acid production** (synthesised industrially by reacting acetaldehyde with hydrogen
1492 cyanide to produce lactonitrile, which is then hydrolysed the resultant with hydrochloric acid to
1493 produce lactic acid and ammonium chloride). Another possible process to recover ammonium
1494 sulphate as a by-product may involve the **production of hydroxylamine** (Raschig process). It
1495 cannot be ruled out that other production processes for organic chemicals result in the formation
1496 of by-products that are overlooked in this assessment.

1497

1498 **7.1.8 Horizontal assessment**

1499 The envisaged by-products of this group involve salts of ammonia (NH₄⁺), mostly ammonium
1500 sulphate. This is because underlying manufacturing processes apply acid-base reactions for
1501 neutralisation processes (e.g. neutralisation of ammonia with strong acids, such as sulphuric acid;
1502 though also other strong acids such as phosphoric acid or nitric acid could in principle be applied).
1503 By extension, **the products can thus be classified as salts of ammonia (NH₄⁺), nitrate (NO₃⁻),**
1504 **phosphate (PO₄³⁻), or sulphate (SO₄²⁻)**. The agronomic value for all these salts is intrinsic as
1505 outlined in section 6.1.

1506

1507 The greatest concerns associated to materials within the scope of this subcategory relate to the
1508 incomplete removal of reactants (e.g. solvents), final products and intermediate reaction products
1509 (e.g. coal tar obtained by cooling the gas that is formed during the destructive distillation of coal,
1510 residues from solvents applied for purification, residues of end products such as hydrocyanic acid).

1511

1512 Purification techniques (e.g. solvent extraction, distillation) are applied with the objective of
1513 recovering materials for re-use in the production process and to minimise the generation of waste.
1514 Therefore, by-products that are well-defined substances of **high purity** (>99%, with impurities
1515 being mostly organic compounds) can be obtained on condition that good management practices
1516 are applied with the objective of reducing the impurities in the by-product. Nonetheless, even for
1517 by-products of high purity, it cannot be excluded that trace amounts of highly hazardous substances
1518 (e.g. benzene at ppm levels) are present. The nature and hazardousness profile of potential
1519 impurities present may, however, vary widely depending on the manufacturing process, reactants
1520 applied and primary products produced. Taking into consideration the high degree of innovation
1521 in production processes (e.g. solvents applied, existence of different manufacturing process
1522 variants for a single primary product), it may be challenging to bring forward a comprehensive
1523 positive list of all possible contaminants.

1524

1525 As a way forward, it is proposed to proceed with the assessment of health and environmental risks
1526 for the different by-products outlined in sections 7.1.2 - 7.1.6. If supplementary data and high-
1527 quality feedback would be retrieved from stakeholders on additional materials, it can afterwards
1528 be evaluated to what extent possibilities exist to include additional salts of ammonia (NH_4^+), nitrate
1529 (NO_3^-), phosphate (PO_4^{3-}), or sulphate (SO_4^{2-}) under this group. Such work could then progress
1530 either on individual material basis, or through the development of more generic criteria proposals
1531 for this group.

1532

1533 **7.2 Group B – By-products primarily obtained from the refining of minerals,**
1534 **ores, and metals**

1535 **7.2.1 Scope**

1536 This subgroup involves materials produced during the processing of mineral, ores and metals that
1537 are generated during ore beneficiation, mineral purification, and ore and mineral processing
1538 through chemical reaction and synthesis. Materials resulting from metal finishing techniques are
1539 also considered. It also covers materials from chemical installations that process minerals and ores
1540 for the production of basic inorganic chemicals (soda ash and titanium dioxide) as well as organic
1541 chemicals (e.g. acetylene). Materials from gas cleaning systems are excluded.

1542

1543 **7.2.2 Residues from ore beneficiation processes**

1544 **7.2.2.1 Fines from dolomite processing**

1545 The mineral dolomite is a double carbonate consisting of CaCO_3 and MgCO_3 . It undergoes physical
1546 classification as well as processing steps (crushing and screening) to remove the undesirable
1547 impurities, especially silica, to make the dolomite to the desired specification for particular end
1548 uses, such as MgO production (Tripathy et al., 2018). Crushing and sizing are used for the
1549 preparation of the sized high-grade material for different applications, whereas a huge amount of
1550 the minerals is left out at the processing site (mostly low-grade fine fractions, e.g. < 3 mm) as fines.
1551 The main elements are mostly calcium and magnesium carbonates (both 20-30%), and SiO_2 ($<$
1552 10%) (Tripathy et al., 2018). Given the mechanical processing, impurities are natural constituents
1553 and will vary depending on the local environment during the formation of the dolomite. Assuming
1554 that these materials have not been chemically modified, and only been processed using mechanical
1555 machineries, the potential risks are limited. The sole impurities presently identified may relate to
1556 the presence of **mineral oils and lubricants** originating from cutting tools.

1557

1558 **7.2.2.2 Natural stone processing sludge (proposed exclusion)**

1559 The processing stage involves splitting carbonate rocks (e.g. calcite, dolomite, marble, and
1560 limestone) and from silicate rocks into slabs and treating their surfaces. Cutting is performed by
1561 metal blade looms and abrasive pulp (rock dust, grit, and lime) or diamond wire looms, with water
1562 aspersion to avoid suspension of the dust (Uliana et al., 2015). This process generates large amounts
1563 of residual sludge, that has been identified as a possible by-product material candidates (carbonate
1564 sludge and silicate sludge; Careddu and Dino, 2016).

1565

1566 The use applications of this material are mostly outside agriculture (e.g. construction material,
1567 filler) (Manca et al., 2015; Rana et al., 2016), and a single study was found that investigated the
1568 potential use of silicate stone sludges in agriculture (Zichella et al., 2020). Silicate rocks are
1569 characterised by silicate, iron and aluminum contents, but reduced amounts of Ca, Mg, and their
1570 oxides and hydroxides. In general, a direct agricultural application of silicate sludge is seemingly
1571 hindered by their limited content of components with agricultural value (Careddu and Dino, 2016).
1572 Zichella et al. (2020) generally observed lower or similar responses in agronomic efficiency of
1573 silicate stone sludge amended soils compared to the control treatment, indicating that the added
1574 value of the material in agriculture was not supported. To the best of our knowledge, no information
1575 on the agricultural performance of carbonate stone sludge is available in public databases.
1576 However, for some materials a composition similar to nearly pure carbonate has been indicated
1577 (Marras et al., 2017), for which reason a high neutralising value for agricultural purposes can be
1578 assumed.

1579

1580 Little information is available in literature on the contaminant profiles from stone processing
1581 sludge. Stone processing sludge has chemical characteristics different from the original mineral
1582 material because of contamination from wear of cutting tools, use of grouting chemicals, resin (e.g.
1583 bisphenol A) and polishing materials (Careddu and Marras, 2015; Manca et al., 2015; Rana et al.,
1584 2016). The contaminant profile is dependent upon the characteristics of parent rock that influences
1585 the machinery and processing techniques applied, including cutting (e.g. diamond wire possible
1586 coated with elastomeric material such as rubber and lubricants, detonating, jet piercing, gel
1587 techniques) and polishing (possibly including the use of porous tools with silicon carbide and resin-
1588 based bonders) (Careddu and Marras, 2015; Rana et al., 2016). In addition, traditional anionic
1589 flocculants, based on acrylamide or polyacrylamide, may be deployed in sludge dewatering
1590 procedures that are possibly detrimental for the reuse of the stone waste (Careddu and Marras,
1591 2015). Hence, the content of total petroleum hydrocarbon content, mineral oils from lubricants
1592 (C12–C40), Cr(VI), and possibly other contaminants in residual stone sludge can possibly be high
1593 (Careddu and Dino, 2016).

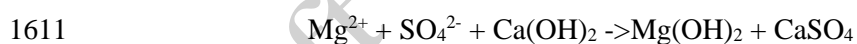
1594

1595 Altogether, it is indicated that criteria setting is challenging due to variety of production methods
1596 applied, resulting in a possibly wide spectrum of contaminants for the materials covered under the
1597 general umbrella “stone processing sludge”. This involves that a possible compliance scheme, if
1598 already feasible to be developed, would involve an extensive list of possible contaminants leading
1599 to high compliance costs for the operator. At the same time, the added value and the magnitude of
1600 possible applications for the EU agricultural sector remain undemonstrated. Therefore, it is
1601 **proposed to exclude this material for further assessment by the JRC in this project.**

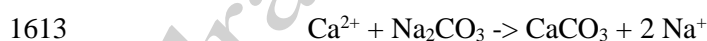
1602

1603 **7.2.3 Gypsum and calcium carbonate from sodium chloride brine purification**

1604 The production of pure sodium chloride by evaporation of the saturated solution cropping out of
1605 saltwater springs requires purification of the crude brine in order to remove sulphate and potassium
1606 as well as the foreign Mg, Ca and Sr (O’Brien et al., 2005; Steinhauser, 2005). Traditional brine
1607 purification is performed in the two-step Schweizerhalle process (Steinhauser, 2005). At first, the
1608 addition of calcium hydroxide thus precipitating Mg^{2+} ions in the form of $Mg(OH)_2$ and producing
1609 calcium sulphate due to the increase of the concentration of Ca^{2+} in a solution already saturated
1610 with calcium sulphate:



1612 During the second stage, calcium is precipitated by adding soda ash or possibly carbon dioxide:



1614 Only purified brine is capable to be used in modern evaporators or for the production of soda ash
1615 in a Solvay process. The brine purification process causes the onset of solid precipitates in the form
1616 of sludge.

1617

1618 After these two reactions Mg^{2+} , Ca^{2+} , and Sr^{2+} ions are removed almost quantitatively (Steinhauser,
1619 2005). The insoluble precipitates are removed from the brine after each purification step. The
1620 resulting basic sludge contains gypsum, calcium carbonate, magnesium hydroxide, strontium
1621 sulphates, chlorines, some unreacted $Ca(OH)_2$, and small amounts of clay minerals (O’Brien et al.,
1622 2005).

1623

1624 **7.2.4 Sulphate salts and metal sulphates from ore processing**

1625 The presence of calcium, magnesium, phosphorus, iron and other impurities in the
1626 **hydrometallurgical processing of ores** containing base metals, alkaline earth metals, and
1627 calcium/sulphate-rich minerals may lead to the contamination of the final product. Therefore, the
1628 ores are treated with acids (e.g. hydrochloric acid, ammonium carbonate, sulphuric acid,
1629 phosphoric acid) to remove impurities (Gominsek et al., 2005; Pereira and Papini, 2015). Examples
1630 of processing routes that generate fertilising product components as by-products submitted through
1631 the Commission Expert Group on Fertilising Products involve:

- 1632 • processing of phosphorus rich minerals (e.g. apatite) for the production of phosphoric acid via
1633 the "wet process," in which finely ground phosphate rock is dissolved in phosphoric acid to
1634 form a monocalcium phosphate slurry. Sulphuric acid is added to the slurry to produce
1635 phosphoric acid (H₃PO₄) and a **phosphogypsum** (hydrated calcium sulphate) by-product that
1636 can be dried in stacks. The main impurities present in the material include **radioactive**
1637 **substances** (e.g. radium) and **fluoride** up to 1.5% (Chesner et al., 1999; Grabas et al., 2019).
1638 In addition, high Cd levels are observed in phosphogypsum (Elloumi et al., 2015). The latter
1639 contaminant is, however, already regulated at PFC level in the FPR, and will therefore not be
1640 considered in this work.
- 1641 • processing of fluor spar (a mineral composed of calcium fluoride), with the possibility to
1642 produce **fluorogypsum**. Fluorogypsum is discharged in slurry form and gradually solidifies
1643 into a dry residue after the liquid has been allowed to evaporate in holding ponds. This produces
1644 a sulphate-rich, sandy silt material with some gravel-size particles, and a fluoride content of ~
1645 1.5 – 2.5% F (Chesner et al., 1999);
- 1646 • processing of e.g. ilmenite to produce TiO₂ (titanium dioxide) with the possibility to generate
1647 **iron(II)sulphate and/or** gypsum (referred to as **titanogypsum**) as a by-product following
1648 sulphuric acid addition (sulphate route); and
- 1649 • processing of low to medium grade strontium sulphate containing ores to produce strontium
1650 carbonate, with the possibility to recover **ammonium sulphate** following ammonium
1651 carbonate addition to the purified ore residue.

1652

1653 Note that gypsum can also be obtained as a by-product from the processing of mined borax (e.g.
1654 colemanite) into boric acid. The obtained boro-gypsum contains, however, secondary diboron
1655 trioxide in high concentrations that render it toxic to plants and fauna. It is therefore excluded from
1656 further assessment.

1657

1658 The main impurities and substances of concern for this sub-group relate to the presence of
1659 **radioactivity, fluorides, titanium dioxide, and strontium**, with the specific substances being
1660 dependent on the production process.

1661

1662 **7.2.5 Carbide lime from acetylene production**

1663 Carbide lime or carbide lime sludge is a by-product of acetylene production through the hydrolysis
1664 of the mineral calcium carbide (Cardoso et al., 2009). Calcium carbide production requires
1665 extremely high temperatures, around 2000°C, obtained in an electric arc furnace to transform a
1666 mixture of the raw materials lime and coke. Pure calcium carbide is colourless; however pieces of
1667 technical-grade calcium carbide are grey or brown and consist of about 80–85% of CaC₂ (the rest
1668 is CaO (calcium oxide), SiC (silicon carbide), as well as possibly toxic substances such as Ca₃P₂
1669 (calcium phosphide), CaS (calcium sulphide), and Ca₃N₂ (calcium nitride)). In contact with water,

1670 calcium carbide instantly decomposes hydrolytically, yielding acetylene gas and calcium
1671 hydroxide.



1673 The carbide lime is generated as an aqueous slurry and is composed essentially of calcium
1674 hydroxide ($\text{Ca(OH)}_2 \approx 85\text{--}95\%$) with minor parts of calcium carbonate ($\text{CaCO}_3 \approx 1\text{--}10\%$),
1675 unreacted carbon and silicates (1–3%) (Cardoso et al., 2009). Although not being classified as
1676 dangerous/hazardous, its managing and disposal require special caution, since the highly basic
1677 sludge (pH 12) can also contain **metals** (Mg, Sr, Cd, Cu, Pb, Fe, Ni and Zn; note most of them are
1678 already regulated at PFC level in the FPR) (Ramasamy et al., 2002). Moreover, it seems possible
1679 that traces of Ca_3P_2 (**calcium phosphide**), CaS (**calcium sulphide**), and Ca_3N_2 (**calcium nitride**)
1680 could be present in case technical-grade calcium carbide is applied as input material. Finally,
1681 **floculants** (e.g. acrylamide or polyacrylamide) may be deployed in sludge dewatering procedures.
1682 Ammonium hydroxide present in supernatant (100–300 ppm) and **acetylene** dissolved in the water
1683 fraction may also be an issue, requiring appropriate ventilation during handling and storage.

1684

1685 **7.2.6 Post-soda lime (by-product from soda ash production)**

1686 In the EU, approximately 91% of sodium carbonate (soda ash) is obtained using the Solvay method
1687 (Czaplicka and Konopacka-Łyskawa, 2019). Soda ash is predominantly sodium carbonate
1688 (Na_2CO_3) used for the industrial production processes for glass, dyes, pulp and paper or detergents
1689 as well as water softening. Soda ash is mainly produced via the Solvay process by reacting
1690 limestone (as a source of calcium carbonate (CaCO_3) and brine (as a source of sodium chloride
1691 (NaCl)). The process generates a by-product called post-soda lime, which accounts for
1692 approximately 40% of the soda ash production volume. Post-soda lime is obtained by separating
1693 the solid phase present in the distillation fluid from the soda. Post-soda lime mainly contains
1694 calcium carbonate, calcium sulphate, magnesium hydroxide, silica, lime scale solids, and unreacted
1695 calcium hydroxide, calcium and sodium chloride. The main impurities involve **chlorides** and
1696 possibly **metals, especially mercury** (Steinhauser, 2005; Steinhauser, 2008). Post-soda lime
1697 contains about 80% calcium carbonate (CaCO_3) (Twerd et al., 2017), and is therefore an effective
1698 liming material.

1699

1700 **7.2.7 Grinded steel slags from primary and secondary production of iron and steel**

1701 About 90% (by weight) of solid by-products that come from iron and crude steel production are
1702 slags (Thomas et al., 2019). The composition of the slags includes silica, calcium oxide,
1703 magnesium oxide, aluminium and iron and are the result of removing impurities from the molten
1704 steel. Steel slags are usually classified according to the type of furnace in which they are produced.
1705 The properties of the slag depend on the type of process used to produce the crude steel, the cooling
1706 conditions of the slag and the valorisation process (Thomas et al., 2019).

1707

1708 Steel is produced from ores by oxidizing sulphur (S), phosphorus (P), carbon (C), silicon (Si),
1709 manganese (Mn), and other impurities so that they can enter the slag or gas phases, thus separating
1710 from the metal phase. In a first process, “pig iron” is produced from fuel (coke), ores, and flux
1711 (limestone) in the blast furnace, and a by-product is obtained by milling and/or sieving of the air-
1712 cooled and granulated iron slag (**blast furnace slags**). At times, a hot metal desulphurisation
1713 process is applied, where reagents (usually Mg, CaO and/or CaC_2) are injected or added to react
1714 with the dissolved sulphur. The formed sulphides (CaS and MgS) end up in the slag layer that floats
1715 on top of the hot metal. This slag is then skimmed off to permanently remove the sulphur (**hot**

1716 **metal desulphurisation slag**) (Schrama et al., 2020). In a further process step, the so-called basic
1717 oxygen steelmaking, remaining impurities (mostly carbon and sulphur) are oxidised by blowing
1718 oxygen onto the liquid pig iron to form crude steel in an oxygen converter. During this process,
1719 **basic oxygen furnace slag** is produced. Using a different method, slags are generated in the scrap-
1720 based steel industry. The first stage of the scrap-based steel industry production generates **electric**
1721 **arc furnace slag** and a second stage is performed to refine the molten steel.

1722

1723 To further refine the steel after coming out of the basic oxygen furnace and electric arc furnace,
1724 fluxes are added to the molten steel while in a ladle. The slag from this process is usually called
1725 **ladle slag** (Thomas et al., 2019). The chemical composition of ladle slag is significantly different
1726 from that of steel furnace slag in that the former has a very low FeO content, a higher Al₂O₃ content.
1727 The difference in chemical composition results in different mineral composition. Ladle slag has a
1728 CaO/SiO₂ ratio of around 2 and consists mainly of dicalcium silicate (Shi, 2002).

1729

1730 Potential substances of concern in slags may involve **mineral oil, metals like Be, V, Cr, Zn, Pb,**
1731 **Mo, As, Hg, Cd** (some of which already regulated in the FPR), other inorganic substances such as
1732 **chlorides** (Kobesen, 2009). Incomplete and/or inefficient combustion of fossil fuels may generate
1733 PAHs and **PCDD/F**, that could be trapped in slags (Almaula, 2005). Although Cr(III) is an essential
1734 element for animal and human health, both Cr(III) and V may be especially toxic to aquatic
1735 organisms in concentrations < 5 µg L⁻¹ (see ECHA dossier for chromium trioxide) (Smit, 2012).
1736 The long-term use of steel slags in agriculture has also been shown to increase the bulk
1737 concentrations of Cr and V in soils, even in soil horizons below the ploughing layer (Kuhn et al.,
1738 2006; Hejcman et al., 2009; Algermissen et al., 2016). Most of the metals present in steel slags are
1739 tightly bound to the solid matrix, but the presence of easily-mobilisable and leachable Cr and V
1740 fractions have been observed in laboratory and field settings (Proctor et al., 2000; Hejcman et al.,
1741 2009; Hobson et al., 2017; Reijkonen, 2017). Slags may also contain high levels of titanium oxides,
1742 some of which are suspected of causing cancer, but little information is available on their possible
1743 release dynamics in the environment upon soil application.

1744

1745 Slags are also produced by other (metal) industries and processes. Nonetheless, materials such as
1746 non-ferrous (e.g. Cu, Ni, Pb, Zn) slags, ferrosilicon slag, ferrochromium slags and precious metal
1747 refining are not listed under the product category “Fertilisers (PC 12)” in the ECHA database, and
1748 will therefore not be considered in this assessment. Non-ferrous slag leachate generated during
1749 base metal extraction is commonly more metal-rich than leachate from ferrous slags (Piatak et al.,
1750 2015).

1751

1752 **7.2.8 Inorganic salts from metal surface treatment (e.g. etching, staining, polishing,** 1753 **galvanising, cleaning, degreasing and plating)**

1754 Metal products require pre-treatment to remove rust or scale, impurities and inorganic
1755 contaminants, e.g. in the production of steel or copper alloys. Since lubricants and oils may have
1756 been applied in certain metal processing steps (e.g. cooling lubricants, cutting fluids), these are
1757 removed using solvents, emulsifiers, or surfactants, such as alcohols, oil-based substances and
1758 hydroxides (e.g. methylene chloride, propylene glycol) (Kuenen et al., 2009). After a rinsing step,
1759 the **pickling step** involves the removal of impurities, such as stains, inorganic substances, rust or
1760 scale, using hydrochloric acid, sulphuric acid, or phosphoric acid. This process generates a
1761 considerable quantity of spent (pickle) liquor containing the residual free acid as well as dissolved
1762 metal salts of iron, chromium, copper, nickel, zinc or other metals depending on the process applied

1763 (Devi et al., 2014). After the picking step, the corrosion resistance of the metal can be increased
1764 using **phosphating** (formation of a layer of phosphate coating typically includes iron, zinc or
1765 manganese crystals; using phosphoric acid and e.g. zinc or manganese salts), **galvanisation**
1766 (applying a protective zinc coating to steel or iron, using molten zinc and possibly fluxing solutions
1767 of zinc chloride and ammonium chloride), or **anodising processes** (i.e. the process of increasing
1768 the thickness of the natural oxide layer on the surface of metal using for example nitric acid or
1769 sulphuric acid). Finally, other industrial processes, such as **wet etching** rely on a similar principle
1770 of removing the top layer of a multilayer structure using etchants such as nitric acid, phosphoric
1771 acid, or potassium hydroxide.

1772

1773 In common, these processes involve putting into contact a metal in a (hot acidic) solution that can
1774 be recovered in a state that enables further use as a component for EU fertilising materials (e.g.
1775 **ammonium sulphate, gypsum, zinc sulphate, iron sulphate, copper salts**). Techniques applied
1776 to recover concentrated materials involve evaporation, precipitation, solvent extraction or
1777 membrane separation (Devi et al., 2014).

1778

1779 The possible impurities and/or contaminants are similar to those identified for steel slags (**mineral**
1780 **oils from grease and oils, metals including Cr, or V**). In addition, **other metals** may be present
1781 in trace amounts depending on the material that is processed (e.g. tungsten (W) can be present in
1782 alloys manufactured for aerospace and automotive applications). For agricultural purposes, the **free**
1783 **acids** should be reduced to acceptable levels. Finally, solutions are at times mixed with **substances**
1784 **(e.g. hydrofluoric acid, hydrogen peroxide, organic solvents) that are not used in agriculture**
1785 (Devi et al., 2014).

1786

1787 **7.2.9 Horizontal assessment**

1788 The nature of the by-products proposed for this category vary widely in their nature, from sludges,
1789 over slags to ammonium salts from metal rinsing. Considering that the primary products of the
1790 manufacturing process are mostly intermediate and construction materials, the production
1791 techniques applied for this group are generally basic and well-established techniques (e.g.
1792 thermochemical processes, flocculation-coagulation, lime precipitation, leaching and acid rinsing).
1793 As a result of the process techniques applied, by-products are generally of a lower purity compared
1794 to group A. They often involve mixtures with different impurities that are present in substantial
1795 concentrations (% range). Also the agronomic value for the different materials is material-specific;
1796 from materials of high added value for agriculture to materials that have even been proposed for
1797 exclusion based on the incomplete knowledge base on their agronomic value (see section 6.4). The
1798 contaminants that could be present in the by-product materials are largely determined by the
1799 starting materials (e.g. metals and metal salts, inorganics (F⁻, Cl⁻), sulphides, radioactive
1800 substances). In addition, the processing techniques applied could introduce additional
1801 contaminants, such as mineral oils and flocculants.

1802 Based on these observations, it seems appropriate to develop criteria based on **a positive list**. This
1803 will ensure that both the agronomic value as well the impurity profiles can be subject to a detailed
1804 screening.

1805

1806 **7.3 Group C – By-products from gas cleaning systems, other than those from**
1807 **the chemical industry and waste management**

1808 **7.3.1 Scope**

1809 The scope involves effluent gases and dust emissions from industrial facilities that can be recovered
1810 as a fertilising product component. Substances present in gases from thermal power plants, metal
1811 and mining industries, and (bio)gas plants, that have been transformed into materials such as
1812 gypsum, elemental sulphur, ammonia salts, etc. By-products will be considered whenever they are
1813 the result of a manufacturing process for commercial products (e.g. steel, electric power, biogas,
1814 fertilisers, compost; in line with the reference to “resulting from a production process” in EU
1815 legislation).

1816 **Materials that are produced during the processing and management of waste materials fall**
1817 **beyond the scope of this work.** In our view¹², the treatment and management of waste materials
1818 cannot be considered a production process in the sense of Article 5 of Directive 2008/98/EC on
1819 waste, and any resulting materials can thus not be classified as a ‘by-product’. This has important
1820 implications for the materials for group C because following feedstocks are waste materials:

- 1821 ▪ Bio-waste, defined as “biodegradable garden and park waste, food and kitchen waste from
1822 households, offices, restaurants, wholesale, canteens, caterers and retail premises and
1823 comparable waste from food processing plants’. It does not include forestry or agricultural
1824 residues, manure (on condition that it is not destined for incineration, landfilling or use in
1825 a biogas or composting plant), or other biodegradable waste such as natural textiles, paper
1826 or processed wood. It also excludes those by-products of food production that never
1827 become waste.
- 1828 ▪ Animal by-products (e.g. manure) including processed products (e.g. meat and bone meal)
1829 covered by Regulation (EC) No 1774/2002, that are destined for incineration, landfilling
1830 or use in a biogas or composting plant;
- 1831 ▪ Sewage sludge;
- 1832 ▪ Any other waste materials that are used as fuel for co-incineration plants.

1833 Materials derived from waste can only be regarded as “not being waste” if they have obtained end-
1834 of-waste status. The recovery of components from such materials should occur via meeting national
1835 or EU End-of-Waste criteria, and any recovered materials from these waste streams will not be by-
1836 products.

1837 Amongst others, this implies that following materials proposed by the COM Expert Group on
1838 Fertilising products are **excluded for further assessment under CMC 11**:

- 1839 ▪ Ammonia salts from the absorption of ammonia at anaerobic digestion plants that use bio-
1840 waste, sewage sludge, or any other waste material as (one of the) input materials;
- 1841 ▪ Elemental sulphur from the purification of biogas from anaerobic digestion plants that
1842 process bio-waste, sewage sludge, or any other waste material;
- 1843 ▪ Gypsum from thermal power plants that use waste as a fuel for co-incineration.

1844

1845 The air and **off-gases of livestock animals are not subject to Regulation (EC) No 1069/2009 on**
1846 **animal by-products**, and fall thus within the scope of CMC 11. Livestock derived NH₃-rich off-
1847 gases are subject to Regulation (EU) 2016/429 on transmissible animal diseases and its delegated
1848 acts (the Animal Health Law) that deal with virus born diseases that may be transmitted by aerosols.

¹² Reflecting the views of the team of the Joint Research Centre that has elaborated this document after consultation with the Directorate-General Environment of the European Commission.

1849 Under normal circumstances, there is no limitation for farmers and operators to harvest ammonium
1850 from the air and produce ammonium salts. However, in case of suspicion of a category A disease
1851 referred to in Article 55 of Regulation (EU) 2016/429, all establishments in the restricted zone are
1852 closed and operators are prohibited to move animals and products from the restricted zone as laid
1853 down in Article 55(1)(e). This implies that – amongst others - following candidate materials fall
1854 **within the scope of CMC 11:**

- 1855 ▪ ammonia salts produced from the off-gases of stables (animal housing);
- 1856 ▪ ammonia salts produced from manure storage facilities (on condition that they are not
1857 destined for incineration, landfilling or use in a biogas or composting plant)

1858 It is proposed to exclude by-products from the chemical industry (as defined in Annex I of Directive
1859 2010/75/EU on industrial emissions (IED)). The chemical industry is responsible for only 4% of
1860 the SO_x emissions (JRC, 2019), whereas the potential for NH₃ recovery is also low (~9%). In spite
1861 of its limited emissions and fertilising component recovery potential, the sector is responsible for
1862 e.g. >90% of the total amount of tetrachloromethane, vinyl chloride, dichloromethane, and
1863 ethylene oxide emitted to air by all installations covered by the E-PRTR (JRC, 2019). Likewise,
1864 many other volatile organic compounds of concern are emitted from by this industry as a whole
1865 (JRC, 2019). The character and scale of emissions across individual chemical plants are highly
1866 variable. Emissions may depend on factors such as raw material composition, product type, nature
1867 of intermediates, use of auxiliary materials, process operating conditions, type of process-
1868 integrated techniques to prevent or reduce emissions and type of end-of-pipe treatment (JRC,
1869 2019). Hence, in view of the limited market potential for recovering by-products from this industry,
1870 the risk of increasing the complexity of the compliance scheme as well as the efforts required for
1871 risk assessment for by-product materials from this industry sector is high.

1872

1873 **7.3.2 Materials from flue-gas desulphurisation of fossil fuels**

1874 **7.3.2.1 Background**

1875 Across the EU, sulphur oxides (SO₂/SO_x) are principally being emitted by the energy industry
1876 (mainly power plants), and metal and mineral industries (energy for smelting of iron ores in steel
1877 industry, but also from the roasting of metal sulphide ores), that jointly emitted ~95% of the total
1878 emissions (after the application of abatement techniques; JRC, 2019). Fossil fuel combustion (e.g.,
1879 coal, petroleum, natural gas) has been widely practiced in industry as a method of producing steam
1880 for the use of turbines in electricity generation, production of heat in concrete and paper industries,
1881 smelting of iron ores in steel industry, etc. The fossil fuel used typically contains 0.5–5% sulphur,
1882 which implicates that the burning of such materials will release sulphur oxides (SO₂ and SO_x) into
1883 the atmosphere (Hanif et al., 2020). Flue-gas desulphurization substances are materials of a process
1884 typically used for reducing SO_x emissions from the channelled emissions at thermal oxidation
1885 facilities.

1886 Note that the scope of this group limits this sub-group to materials that are recovered from raw
1887 material fossil fuels (section 7.3.1), and that materials recovered from flue-gas desulphurisation
1888 systems of co-incineration plants that use waste as feedstock fall beyond the scope of CMC 11.

1889 The physical nature of the materials produced from flue-gas desulphurisation systems varies from
1890 a wet sludge to a dry, powdered material depending on the process applied (Cheremisinoff, 2012).
1891 In general, absorption (wet scrubbers, based on the principle of an acid-base chemistry reaction) is
1892 the most common technique within flue-gas desulphurisation systems of large plants that produce
1893 fertilising materials as by-products (Brinkmann et al., 2016; Lecomte et al., 2017; JRC, 2019).
1894 Other desulphurisation techniques can be applied, including spray dry scrubber/absorber,

1895 circulating fluidised bed dry scrubber, duct sorbent injection, furnace sorbent injection (Lecomte
1896 et al., 2017). However, the typical resulting materials of these processes do not contain materials
1897 that can be directly used on land as components for fertilising products. Some of these materials
1898 can, however, be recovered in sulphur recovery units that are an integrated part of large industrial
1899 facilities.

1900

1901 7.3.2.2 Sulphate salts from SO_x wet scrubbing techniques applied in forced oxidation mode

1902 Absorption techniques are based on the principle of an acid-base chemistry reaction of an alkaline
1903 slurry with acidic sulphur dioxide (“wet scrubbing”) (Brinkmann et al., 2016; Lecomte et al., 2017;
1904 JRC, 2019). The flue gases are channelled into a spray tower where an aqueous slurry is injected
1905 into the flue gas. As the gas comes in contact with the alkaline solution, sulphur dioxide absorbs
1906 into the liquid, after which a slurry is collected at the bottom of the absorber. Alkaline solutions
1907 are typically a slurry of alkaline sorbent, usually a mixture of limestone or hydrated lime and water
1908 to scrub gases. This technique removes sulphur from flue-gases through injection of a slurry of
1909 lime/limestone sorbent (liquid solution) into the scrubbing suspension to capture SO₂ and transform
1910 it into gypsum (Lecomte et al., 2017). Magnesium scrubbing systems are also used in relatively
1911 small industrial boilers, mainly due to the low capital costs involved. The reagent in magnesium
1912 scrubbing is magnesium hydroxide, which is produced by adding slaked lime to seawater in order
1913 to enhance alkalinity. In addition, ammonia, sodium hydroxide and sodium carbonate potentially
1914 can be applied with a view to produce by-products to be used as fertilising product components.
1915 Potential high-quality by-products generated through these processes are **Ca-, Mg-, NH₄-, and Na-**
1916 **sulphates** that can be used as components for fertilising products. The sulphate recovered from the
1917 absorber recycle slurry can, however, be high in unreacted reagents (e.g. limestone) and sulphite
1918 ash, depending on the alkaline reagent and oxidation mode applied.

1919 In natural oxidation mode, sulphite is partly oxidised by the oxygen contained in the flue-gas,
1920 producing a mixture of sulphite hemihydrate and sulphate salts in a sludge form that is not
1921 suitable for direct use on land as a fertilising product component. In forced oxidation mode,
1922 however, air is introduced into the bottom of the absorber to oxidise sulphite to sulphate, achieving
1923 over 99% oxidation (modern *in-situ* systems). Originally, the process was made up of two absorbers
1924 and an oxidation unit (*ex-situ*). SO₂ and the basic slurry are absorbed in the absorber, whereby a
1925 mixture of sulphites and sulphates are created. In the oxidation barrel under the absorber, this is
1926 then converted into sulphates at a relatively low pH (5.5 - 6) using air, **peroxide, or sodium**
1927 **hypochlorite**. In forced oxidation, dewatering is more simple due to the larger crystal sizes
1928 obtained with the primary dewatering is usually accomplished by hydrocyclones, followed by
1929 secondary dewatering in filters or centrifuges (Lecomte et al., 2017).

1930

1931 The solids can contain significant chloride concentrations, as **often the chloride levels** in the
1932 scrubber process slurry can reach or exceed as much as 3%. This is particularly true at plants
1933 burning eastern bituminous coal. This can be overcome by installing a freshwater wash at the
1934 beginning of either the vacuum drum or the belt filter process. Calcium chloride, and the much
1935 smaller concentrations of magnesium chloride, are soluble and quickly wash out of the filter cake.

1936

1937 7.3.2.3 Regenerable techniques

1938 Specific technologies (e.g. amine units, sour water stripping units; see Brinkmann et al., 2016)
1939 adsorb H₂S gases. During the sorbent’s regeneration, the sulphur compounds are released and
1940 treated in sulphur recovery units, which most often consists of a Claus process (see section 7.3.3).

1941

1942 **7.3.2.4 Potential impurities from SO_x wet scrubbing techniques**

1943 In fully oxidative incineration, the main constituents of the flue-gas are: water vapour, nitrogen,
1944 carbon dioxide and oxygen. Depending on the composition of the input material and on the
1945 operating conditions, smaller amounts of CO, HCl, HF, HBr, HI, NO_x, NH₃, SO_x, volatile organic
1946 carbon (VOC), PCDD/F, PCBs and metal compounds remain or are formed in the gas phase
1947 (Neuwahl et al., 2019).

1948 Alkaline solutions may trap acidic compounds such as hydrogen halides, leading to the presence
1949 of **Cl⁻ and F⁻** in the by-products (Chen et al., 2020). In addition, **sulphides** (e.g. calcium sulphide,
1950 entrapped hydrogen sulphide in sludges) could be present in case of incomplete oxidation (Raiswell
1951 and Bottrell, 1991; Lecomte et al., 2017). Sulphide is known to be able to hamper plant nutrient
1952 uptake, which is not surprising given its basic disturbance of cell metabolism and energy transfer
1953 (Lamers et al., 2013). In addition, root loss due to die-off and concomitantly decreased root to shoot
1954 ratios lead to an unbalanced nutrient uptake. Sulphide can impair the uptake of N, P and Fe (Lamers
1955 et al., 2013).

1956 The term VOCs covers a diverse group of substances and includes all organic compounds released
1957 to air in the gas phase, whether hydrocarbons or substituted hydrocarbons (Falcke et al., 2017).
1958 Their properties, and hence need for control, vary greatly and so systems have been developed to
1959 categorise VOCs according to their harmfulness. Some VOCs may also be highly odorous, for
1960 example **mercaptans**, and **other organic sulphur-containing compounds**. Mercaptans,
1961 commonly referred to as thiols, are organosulphur molecules composed of carbon, hydrogen, and
1962 sulphur that are known for having a pungent odor similar to rotten cabbage or garlic.

1963 Persistent organic compounds such as **(polyaromatic) hydrocarbons, PCDD/F, PCBs** are most
1964 likely not present in desulphurisation gypsum from wet scrubbing systems due to their low affinity
1965 for (alkaline) scrubbing solutions (Brinkmann et al., 2016), but measurements demonstrating their
1966 absence in the by-products is lacking.

1967 Traces of metal compounds, including commonly regulated metals (e.g. As, Hg, Cd; also regulated
1968 at PFC level in the FPR) as well as metals that are more often excluded from regulatory frameworks
1969 for fertilisers (e.g. Cr, Se, Tl, V) could be present. Based on an initial literature review, the
1970 concentrations of Hg and of some other metals (e.g. Tl) that are not regulated at PFC level (and
1971 thus within the focus of this study) seem to be of possible concern for agricultural use (Lee et al.,
1972 2009; Panday et al., 2018; Torbert et al., 2018).

1973

1974 **7.3.3 Elemental sulphur from thermal sulphide oxidation processes (sulphur**
1975 **recovery unit)**

1976 **7.3.3.1 Elemental sulphur from the magnesium oxide process**

1977 The magnesium oxide process is a regenerable wet scrubbing process, which uses magnesium
1978 hydroxide solution as sorbent (Lecomte et al., 2017). The technique is essentially the same as for
1979 the limestone wet scrubber except for the regeneration step for the spent sorbent. The flue-gas then
1980 enters the scrubber, where the SO₂ is absorbed by aqueous slurry of magnesium sulphate, formed
1981 from the magnesium hydroxide sorbent. The reaction product, magnesium sulphite/sulphate, is
1982 bled continuously from the absorber and dried in a dryer. The magnesium sulphite/sulphate is
1983 calcined at high temperature in the presence of carbon, to regenerate magnesium oxide that is
1984 returned to the absorption system. As a by-product, elemental sulphur is obtained in the process,
1985 that can be used as a fertiliser (Boswell and Friesen, 1993).

1986

1987 **7.3.3.2 Modified Claus process**

1988 The catalytic (modified) Claus process is amongst other central to gas plants and refineries that
1989 process off-gases from amine units, sour water (H₂S) strippers from steam stripping and quenching,
1990 and pulp/paper mills. Also for solid adsorption/regeneration processes that employ a solid sorbent
1991 or catalyst, the Claus process can be applied. Here, S or N species are liberated for reuse from the
1992 sorbent in the regeneration step, which generally requires a high temperature for reducing the gas
1993 for a sufficient residence time.

1994 The Claus recovery unit includes thermal oxidation of H₂S in the gas phase with air (or air enriched
1995 oxygen) at high temperature (>850°C, often maintained above 1050°C) with the formation of
1996 elemental sulphur, SO₂, water and some impurities (mainly carbonyl sulphide and carbon
1997 disulphide). The thermal stage is followed by a waste heat boiler producing high-pressure steam,
1998 and a sulphur condenser where liquid sulphur is separated from the gas. Afterwards, the remaining
1999 gas is heated and sent to a series of two or three catalytic reactors (e.g. with activated aluminium
2000 (III) and/or titanium(IV) oxide) where the residual (unburned) H₂S and SO₂ react with each other
2001 to produce sulphur captured in either liquid (in hot region) or solid form (in cold region) (Speight,
2002 2007; Lavery et al., 2019). Practically, the process is thus divided into two main stages consisting
2003 of thermal stage and some catalytic stages.

2004

2005 **7.3.3.3 Sub-group assessment**

2006 The purity of the obtained elemental sulphur has been documented as very high (99.5% to 99.99%)
2007 (Scafe et al., 2005; Sofekun et al., 2018; Lavery et al., 2019), with minor impurities documented
2008 as hydrocarbons, embedded hydrogen sulphide gas and calcium sulphide. The impurity profile is
2009 expected to be similar to materials obtained from wet scrubbing techniques (see section 7.3.2.4).

2010

2011 **7.3.4 By-products from biomass gases**

2012 **7.3.4.1 Background and scope**

2013 All materials originating from facilities that process waste materials, including bio-waste and
2014 manure destined for composting or use in a biogas plant, are excluded from this sub-group (see
2015 section 7.3.1). This sub-category is limited to by-products from facilities that capture biomass-
2016 derived off-gases from (i) production processes starting exclusively from (by-)products (e.g.
2017 primary raw materials such as energy crops, by-products of food production that never become
2018 waste), and (ii) animal digestive tract and manure not destined for incineration, composting or
2019 digestion (present in e.g. stable ventilation air, manure storage tanks).

2020

2021 **7.3.4.2 By-products from biogas H₂S desulphurization technology**

2022 Biogas is a renewable energy consisting mainly of methane (CH₄) and carbon dioxide (CO₂). Other
2023 gases such as nitrogen (N₂), water vapour (H₂O), ammonia (NH₃), hydrogen sulphide (H₂S) and
2024 other sulphur compounds may also be present. Removal of H₂S is often required for reasons of
2025 health, safety, environment and corrosion of equipment such as gas engines, boilers and piping.
2026 Desulphurization is also necessary when biogas is upgraded to natural gas quality and injected
2027 in the grid.

2028 The hydrogen sulphide concentrations can vary from 200 ppm up to several volume percentage.
2029 The concentration of hydrogen sulphide in the gas is a function of the digester feed substrate and
2030 inorganic sulphate content. Protein rich materials containing sulphur-based amino acids
2031 (methionine and cysteine) can significantly increase biogas hydrogen sulphide levels (Rasi et al.,
2032 2007). Hence, the presence of manure as well as feedstock containing residues from specific plant
2033 (e.g. legumes) may show high H₂S levels (Rasi et al., 2007). Different techniques are applied for

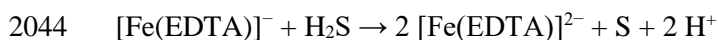
2034 H₂S removal, but only a limited set of techniques enables the recovery of H₂S as elemental S for
2035 possible use in fertilising products (Horikawa et al., 2004; Allegue and Hinge, 2014; Xiao et al.,
2036 2017).

2037

2038 7.3.4.2.1 Chemical absorption by catalytic oxidation with chelated-iron salt solutions

2039 In processes based on iron chelating, H₂S is initially physically absorbed into water undergoing
2040 dissociation into sulphides (S²⁻). A catalyst achieves the oxidation of hydrogen sulphide into
2041 elemental sulphur. Most commonly aqueous [Fe(EDTA)]⁻ is used for conversion (Wubs and
2042 Beenackers, 1993; Allegue and Hinge, 2014):

2043



2045

2046 The chelating agents prevent the precipitation of iron sulphide or iron hydroxide such that the
2047 reduced (ferrous) iron can be re-oxidised to ferric iron by air stripping.

2048 Catalytic scrubbing processes on the market are for example the LO-CAT® and MINI-CAT®
2049 redox chemistry technology (Gas Technology Products–Merichem), the SulFerox® (Shell), the
2050 Sulfothane® (Biothane corporation) and the Apollo Scrubber (Apollo Environmental Systems
2051 Corp.).

2052 Little information is available on the composition of the sulphur slurry that is generated. Nagl et
2053 al. (2014) provided information on the LO-CAT® material, that has impurities in the form of
2054 thiosulphates and carbonates (both 1.2%), and trace levels of Fe (likely method-specific; here due
2055 to the use of Fe-EDTA) and organic C (both 85 mg/kg).

2056

2057 7.3.4.2.2 Biological and combined techniques

2058 The process of biogas desulphurization can be divided into three stages: (1) the dissolution process
2059 of H₂S gas by gas-liquid double membrane transfer to the liquid phase; (2) the dissolved H₂S enters
2060 the desulphurization bacteria through the cell membrane; (3) the intracellular H₂S is converted into
2061 sulphate and elemental sulphur that can be recovered as a fertiliser (Xiao et al., 2017).

2062

2063 In **biofilters and biotrickling** filters, the biogas is forced through a moist, packed bed that contains
2064 microorganisms (Allegue and Hinge, 2014). Microbes grow on the surface and crevices of the
2065 support, forming a biofilm. The H₂S in the biogas is transferred from the gas phase into the biofilm,
2066 where it is used as energy source by the microorganisms producing mainly sulphur if the oxidation
2067 is partial or sulphate if it is total. The main difference between biofilters and biotrickling filters is
2068 the nature of the carrier material, organic in biofilters and inert in biotrickling filters. The bacteria
2069 normally used for H₂S removal are aerobic, and therefore they require oxygen. The conventional
2070 way of supplying oxygen into a biofilter/biotrickling filter is injecting directly air (4 – 10%) into
2071 the gas stream. Commercial systems involve the Biopuric process (DE)
2072 (https://cms.esi.info/Media/documents/134247_1474366489261.pdf), the BioSulfurex® system
2073 (<https://www.dmt-et.com/products/sulfurex/>) (NL), the EnviTec biological trickling filter
2074 ([https://www.envitec-](https://www.envitec-biogas.co.uk/fileadmin/media/pdf_downloads/subpage_infocenter/brochures/External-desulphurisation_EN.pdf)
2075 [biogas.co.uk/fileadmin/media/pdf_downloads/subpage_infocenter/brochures/External-](https://www.envitec-biogas.co.uk/fileadmin/media/pdf_downloads/subpage_infocenter/brochures/External-desulphurisation_EN.pdf)
2076 [desulphurisation EN.pdf](https://www.envitec-biogas.co.uk/fileadmin/media/pdf_downloads/subpage_infocenter/brochures/External-desulphurisation_EN.pdf)), and the BiogasCleaner® desulphurization plants

2077 (https://www.biogasclean.com/CustomerData/Files/Folders/6-pdf-er/118_16-10-11-biogasclean-profile-eog.pdf) (DK) (Allegue and Hinge, 2014).

2079

2080 A **bioscrubber** consists of two reactors (Allegue and Hinge, 2014). The first part is an absorption
2081 tower, where pollutants are absorbed in a liquid phase. This liquid phase goes to a second reactor,
2082 the activated sludge unit. In the latter, microorganisms grow in suspended flocks in the water
2083 degrading the pollutants. The effluent of this unit is recirculated over the absorption tower. Nutrient
2084 addition, oxygen and pH are continually controlled to maintain microbial growth and high activity.
2085 The excess biomass and by-products are continually purged from the system. The most well-known
2086 scrubber system for removal of H₂S from biogas is the THIOPAQ™ Process licensed by Paques
2087 (<https://en.paques.nl/products/featured/thiopaq-biogas-desulfurization>), based on the principle of
2088 continuous regeneration of an alkaline solution (sodium hydroxide, pH 8-9) that is biologically
2089 oxidised to elemental sulphur in a bioreactor by natural occurring microorganisms and air.

2090

2091 7.3.4.2.3 Sulphur recovery unit

2092 In addition to the above listed techniques, H₂S gases can also be removed using regenerative
2093 systems, after which the sulphur compounds can be recovered using the techniques outlined in
2094 section 7.3.3.

2095 7.3.4.3 Materials from NH₃ scrubbing

2096 Emissions of ammonia from >5800 industrial facilities covered by the E-PRTR to air amounted
2097 about 200 kilotonnes in the year 2010 in the EU (Brinkmann et al., 2016). By far, the largest share
2098 of ammonia emissions originated from the intensive rearing of poultry and pigs (>80%). Other
2099 possibilities to recover NH₃ in the form of ammonia salts from scrubbing units are located at
2100 manure storage and drying/pelletising units (Melse and Ogink, 2005; Serna-Maza et al., 2014;
2101 Ehlert et al., 2019).

2102

2103 The absorption principle based on acid-base chemistry can be applied to scrub NH₃, a basic gas, in
2104 an acid solution (mostly sulphuric acid, but possibly also nitric acid, phosphoric acid). Resulting
2105 materials from the wet scrubbing process, such ammonium sulphate, ammonium nitrate and
2106 ammonium phosphates, can be used as as fertilising product components. Alternatively,
2107 electro dialysis, biological techniques (biotrickling filters along the same principle as applied for
2108 biogas desulphurisation, but with ammonia oxidising bacteria) as well a combination of techniques,
2109 can be applied (Van der Heyden et al., 2015).

2110

2111 7.3.4.4 Sub-group assessment

2112 The possible impurities that could be present in the elemental sulphur mud and ammonia salts is
2113 limited to the volatile compounds in the process feedstock. Therefore, the identity as well as the
2114 concentrations of impurities present are largely dependent on the input material stream (Papadias
2115 and Ahmed, 2012). With the exclusion of waste and chemical industry materials as part of the
2116 scope for this sub-group (see sections 7.3.1 and 7.3.4.1), the risks are limited.

2117

2118 Depending on the technology and operational conditions applied, the presence of **hydrogen**
2119 **sulphide** (in the range of < 10 to >1000 mg/L) has been indicated in elemental sulphur mud
2120 (Papadias and Ahmed, 2012). The concentrations of **mercaptans** (methyl mercaptan, ethyl

2121 mercaptan), VOCs, and other organosulphur compounds/sulphides (**dimethyl sulphide, dimethyl**
2122 **disulphide, carbonyl sulphide, carbon disulphide**) are low, in the range of 0-10 mg L⁻¹ (Papadias
2123 and Ahmed, 2012). For materials from NH₃ scrubbing systems, trace impurities present could be
2124 fatty acids, dimethyl sulphides and volatile amines (e.g. methylamine, dimethylamine, ethylamine,
2125 and trimethylamine) (Schade and Crutzen, 1995; United Nations Economic Commission for
2126 Europe (UN ECE), 2009). Most likely, the presence of these compounds at trace levels result in
2127 negligible risks due to their low concentrations, ready biodegradability and relatively low toxicity
2128 for humans and the environment. Finally, the presence of **airborne microorganisms** in biomass
2129 and bio-waste and manure processing plants has been observed (Sánchez-Monedero and Stentiford,
2130 2003; Wéry, 2014), with no information on their possible occurrence in the candidate by-product.
2131 Regulation (EU) 2016/429 on transmissible animal diseases and its delegated acts (the Animal
2132 Health Law) already deal with virus born diseases that may be transmitted by aerosols.

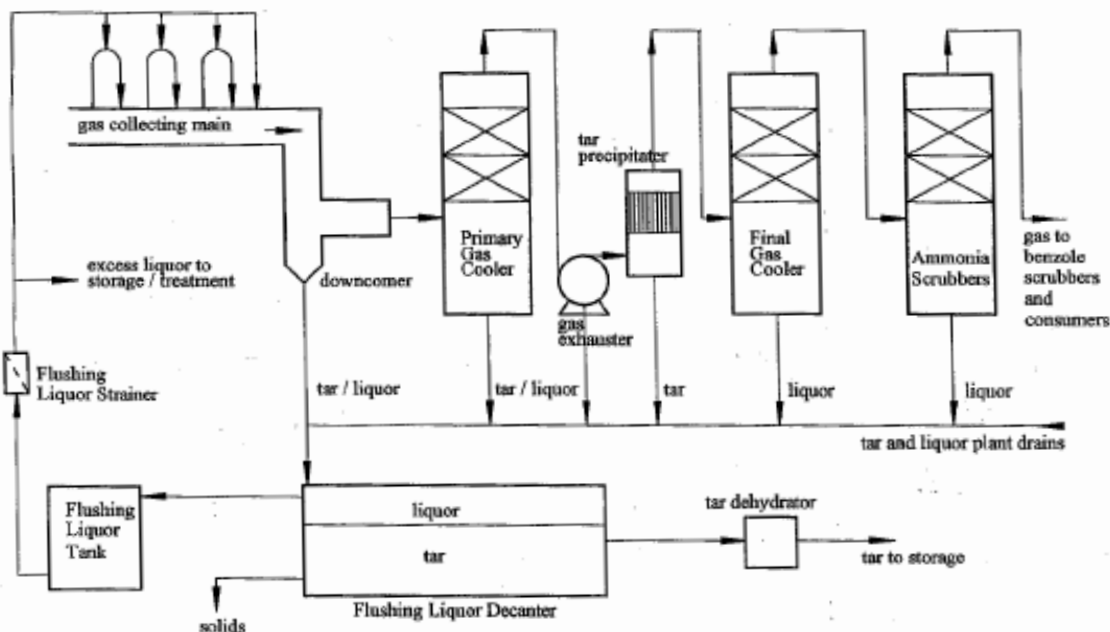
2133

2134 **7.3.5 Ammonium sulphate from coke production**

2135 When producing coke by coal carbonisation, a large volume of gas is produced; this gas is treated
2136 in the by-product plant to give a clean fuel gas after removing condensable, corrosive or
2137 economically valuable components. The gases contain minor quantities of ammonia, hydrogen
2138 sulphide, hydrogen cyanide, ammonium chloride, benzene, toluene, xylene and naphthalene and
2139 other aromatics, tar components, tar acid gases (phenolic gases), tar base gases (pyridine bases)
2140 and carbon disulphide (Wright, 2002).

2141

2142 The gas is sprayed with flushing liquor as it leaves the individual oven chambers, the objective
2143 being to reduce the temperature to a reasonably low value and to condense the most easily
2144 condensable (high boiling point) components. Spraying the gas with ammonia liquor will condense
2145 from the gas the high-boiling tar vapour compounds and ammonium chloride (Wright, 2002). The
2146 gases, together with flushing liquor and condensed tar, pass along the collecting main and through
2147 a butterfly control valve before leaving the battery area. The tar will form a separate liquid phase
2148 with the hot spray liquor and the ammonium chloride will dissolve in the liquor. The tar and liquor
2149 are separated from the gas stream at the downcomer and pass to the tar decanting plant. Following
2150 initial cooling and passage through the exhausters the coke oven gas flows in sequence through a
2151 number of vessels which incorporate means for removing undesired or saleable components
2152 (Wright, 2002).



2153

2154 **Figure 4: Overview of the coke production process (adopted from Wright et al., 2002)**

2155

2156 The primary ammonia recovery equipment in the coke oven by-product plant deals with the
 2157 removal and disposal of the ammonia present in the coke oven gas. However, these systems often
 2158 include facilities to handle the ammonia arising in the excess flushing liquor after the application
 2159 of “stripping” techniques in an alkaline environment. Methods for the removal of ammonia from
 2160 the gas stream are the ammonium sulphate process, the water wash process and the **Phosam**
 2161 **process** (Wright, 2002). The Phosam process is a means of producing a saleable, commercially
 2162 pure anhydrous ammonia product from the ammonia present in raw coke oven gas. Since
 2163 anhydrous ammonium should be further processed it is not a fertilising material that can be used
 2164 “as such”, and thus beyond the scope of this work.

2165

2166 One of the simplest and most frequently used methods of removing ammonia from coke oven gas
 2167 is to absorb it in water (water wash process). The rich ammonia solution formed, with a typical
 2168 concentration of 5 to 8 g/L, is then fed to a distillation column where the ammonia is stripped from
 2169 the aqueous liquor using steam, and scrubbed as described above. The ammonium sulphate process
 2170 removes ammonia from the coke oven gas by absorption (“scrubbing”) in a solution of ammonium
 2171 sulphate and sulphuric acid. Techniques may be in place to remove organic and inorganic
 2172 impurities (e.g. froth flotation device, preheating liquors in vessels to cause vaporisation). The
 2173 ammonium sulphate produced by the reaction of ammonia with sulphuric acid is recovered by
 2174 crystallisation. The crystals are then centrifuged, washed and dried. Various ammonium sulphate
 2175 systems in operation differ in the type of gas/liquor contacting device and the type of crystallisation
 2176 equipment used. Brownish grey to white crystals are formed dependent to their degree of purity.
 2177 Today’s commercial ammonium sulphate is generally of high purity (> 99 %), with a water content
 2178 of < or = 0.2% w/w, heavy metals < or = 5 mg/kg and iron < or = 5 mg/kg, and free acid < or =
 2179 0.01% w/w.

2180

2181 The ammonium sulphate process can become contaminated by **tar** and by absorption of **acid gases**
2182 (HCN and H₂S) in solutions and chlorides that are recirculated within the N recovery system
2183 (Wright, 2002). The tar consists mainly of a mixture of numerous **heterocyclic organic** (e.g.
2184 pyridine) and **aromatic hydrocarbons** (from volatile benzene, toluene and xylene to polyaromatic
2185 compounds which are solids at room temperature, such as naphthalene). Also carbon disulphide
2186 can be present in the recovered ammonium sulphate (Wright, 2002).

2187

2188 **7.3.6 Dust particles from limestone crushing plant, and flax/grain processing** 2189 **(proposed exclusion)**

2190 Filter dust, which builds up in lime kilns and at lime grinding mills, has very fine material with a
2191 composition similar to limestone (Lewis and Crocker, 1969). Flax (*Linum usitatissimum*) has been
2192 grown and its fibres have been spun and woven into linen fabrics since antiquity (Buick and Magee,
2193 1999). The grinding process in the milling industry transforms grain into a variety of powders
2194 destined for human nutrition and animal feeding (Dacarro et al., 2005). **Dust may act as carriers**
2195 **of metals, microorganisms and toxins** (Lauf et al., 1982; Swan and Crook, 1998; Buick and
2196 Magee, 1999; Goluchowska et al., 2012). Concentrations in limestone dust of 165 and 290 mg kg⁻¹
2197 have been measured for nickel and lead, respectively (Goluchowska et al., 2012). Moreover, the
2198 fate and possible partition across materials of different particle sizes for other contaminants, such
2199 as (chemical) limestone grinding aids (Sohoni et al., 1991), remains largely unknown.

2200

2201 Hence, it is proposed to **exclude this material for further assessment in this study** due to its high
2202 adsorption capacity that induces risks for material contamination by trace metals and other
2203 impurities present during the processing of minerals and biological materials.

2204

2205 **7.3.7 Horizontal assessment**

2206 By-products from gas cleaning systems that are possible components for fertilising materials
2207 encompass abated sulphur compounds (elemental sulphur and sulphate salts, such as gypsum), and
2208 recovered ammonia. For sulphur compounds, the main issue is to ensure that by-products are
2209 limited to materials of high purity and that any reduced compounds (e.g. sulphides) are transformed
2210 into plant available sulphur compounds. Therefore, different techniques have been listed that
2211 encompass flue-gas desulphurisation systems, sulphur recovery units, and chemical and biological
2212 biogas desulphurisation systems. Possible contaminants involve those present in the off-gasses, but
2213 their presence should be reduced to a few compounds due to the thermal oxidation process applied
2214 or the limitations on input materials. It is proposed to proceed with the assessment of materials
2215 resulting from these processes on a case-by-case basis. Based on the information and knowledge
2216 gained, it can be evaluated to what extent opportunities may exist to formulate “technological
2217 neutral” criteria proposals, if appropriate.

2218

2219 **7.4 Group D – by-products from the processing of biomass and water for**
2220 **food, drink and biorefinery industries**

2221 **7.4.1 Scope**

2222 This subcategory includes by-products obtained from the processing of biomass (biomass,
2223 including microorganisms and algae) for the production of food, feed, drinks and a spectrum of
2224 bio-based products from biorefineries (e.g. fine chemicals, bioenergy, pharmaceuticals, and paper).
2225 The term bio-refinery is used for a wide range of activities which have in common that biomass is
2226 separated into different functional components, which can be used as feedstock or directly as co-
2227 products (Croezen et al., 2006).

2228

2229 Some materials that fall within the scope of this subcategory are already covered under other
2230 CMCs:

- 2231 • CMC 2: plants, plant parts or plant extracts having undergone no other processing than
2232 cutting, grinding, milling, sieving, sifting, centrifugation, pressing, drying, frost treatment,
2233 freeze-drying or extraction with water or supercritical CO₂ extraction. For the purpose of
2234 this point, plants include mushrooms and algae and exclude blue-green algae
2235 (cyanobacteria). These materials are also exempted from REACH registration as per
2236 Annex V of Regulation (EC) 1907/2006.
- 2237 • CMC 6: selected food industry by-products:
 - 2238 (a) food industry factory lime, i.e. a material from the food processing industry
2239 obtained by carbonation of organic matter, using exclusively burnt lime from
2240 natural sources;
 - 2241 (b) molasses, i.e. a viscous by-product of the refining of sugarcane or sugar beets
2242 into sugar;
 - 2243 (c) vinasses, i.e. a viscous by-product of the fermentation process of molasses into
2244 ethanol, ascorbic acid or other products;
 - 2245 (d) distillers grains, i.e. by-products resulting from the production of alcoholic
2246 beverages;
 - 2247 (e) plants, plant parts or plant extracts having undergone only heat treatment or
2248 heat treatment in addition to processing methods referred to in CMC 2; or
 - 2249 (f) lime from drinking water production, i.e. residue which is released by
2250 production of drinking water from groundwater or surface water and consists,
2251 mainly, of calcium carbonate.

2252

2253 **7.4.2 Biomass residues as by-products from chemical and enzymatic refining**
2254 **processes**

2255 **7.4.2.1 Materials of interest and their production processes**

2256 The COM expert group on fertilising products indicated an interest to include by-products obtained
2257 through the processing of biomass via one or more of the following processes: **slicing and cutting**;
2258 **pressing and expelling** (the physical removal of liquids like fat, oil, water or juice from solids);
2259 **hydrolysis** of higher plants or algae (reduction of molecular size by appropriate treatment with
2260 water and enzymes or acid/alkali); **steaming** (the process using pressurised steam for heating and
2261 cooking to increase digestibility); **fermentation** (the process in which micro-organisms such as
2262 bacteria, fungi or yeasts either are produced or used on materials to modify their chemical
2263 composition or properties); **filtration** (the process of passing a liquid through a porous media or
2264 membrane filter in order to remove solid particles); and (hydrogenated) **oil/fats splitting** (the
2265 chemical reaction of fats/oils with water, carried out at high temperatures and pressures, allows

2266 obtaining crude fatty acids in the hydrophobic phase and sweet waters (crude glycerol) in the
2267 hydrophilic phase).

2268

2269 Examples of materials that have been proposed as candidate CMC 11 materials include:

- 2270 • **oilseed expellers/cake** (edible and possibly non-edible oilseeds) mostly containing
2271 vegetable fibres, proteins, oils as obtained by solvent/acid/alkaline/enzymatic
2272 extraction; also including seaweed after (alkaline) extraction (note materials after oil
2273 extraction by pressing would be covered under CMC 2);
- 2274 • **filter cakes** obtained during the filtration of foodstuffs, beverages and biorefinery liquids
2275 (e.g. protein fractions) including bleaching earth/filter aid (e.g. diatomaceous earth,
2276 amorphous silicates and silica, phyllosilicates and cellulosic or wood fibres);
- 2277 • **protein residues obtained after acid/alkaline extraction processes** of plants and
2278 seaweeds from which primary products (e.g. amino acids, agar, pharmaceuticals)
2279 have been isolated (e.g. protein concentrates from which amino acids of interested
2280 have been extracted);
- 2281 • **protein-rich residues** obtained through **fermentation** (e.g. *Escherichia coli* K12 or
2282 *Corynebacterium glutamicum*) on substrates of vegetable (e.g. molasses) or
2283 chemical origin, natural gas, ammonia or mineral salts (e.g. ammonium sulphate; that
2284 acts as the sources of nitrogen for microorganisms or as a pH adjuster) for the
2285 production of e.g. amino acids, aroma, syrup, inulin, vitamins.
- 2286 • **surplus yeasts and parts thereof** obtained (e.g. *Saccharomyces cerevisiae*) for instance
2287 from beer brewing;
- 2288 • **glycerol** from biodiesel production process; the process uses an alkaline hydrolysis
2289 reaction to convert vegetable oil into biodiesel using methanol, potassium hydroxide,
2290 and heat. A transesterification reaction splits the glycerol group from the triglyceride
2291 oils, producing methyl esters (biodiesel) and glycerol by-product (note see section
2292 6.4.2 for an assessment of the agronomic value of this material).

2293

2294 It is noted that by-products of plant origin that are produced from plants (e.g. oilseed cake meal,
2295 coca husks, and malt culms) as well as seaweeds (e.g. as obtained by alkaline extraction or
2296 fermentation) are allowed for use as “fertilisers and soil conditioners” in **organic farming in the**
2297 **EU** (See Annex I of Regulation (EC) No 889/2008).

2298

2299 The scope of this subcategory is focussed on value added ingredients for EU fertilising products
2300 **derived from the biomass itself** applied as starting material for a manufacturing process (e.g.
2301 organic matter, peptides, proteins, amino acids, plant hormones or hormone-like lipids, vitamins).
2302 Therefore, the materials targeted in this subgroup are mostly envisaged to be used as organic soil
2303 improvers and plant biostimulants (see section 6.3). Nutrient concentrations in the envisaged
2304 materials are typically rather low when expressed on a fresh matter basis. By-products whose main
2305 functional components are derived from processing aids (e.g. CaO recovered from the washing or
2306 extraction of biomass) are covered in section 7.4.3.

2307

2308 **7.4.2.2 Environmental and human health issues**

2309 The concerns associated to materials within the scope of this subcategory relate to **biological**
2310 **hazards** (e.g. microbiological pathogens, plant pests), the introduction of **non-native species**,

2311 including **genetically modified organisms** (GMOs) in the environment, and possibly **chemical**
2312 **substances**.

2313

2314 7.4.2.2.1 Microbiological hazards

2315 The most prevalent animal and human diseases from food and feed contamination can still be
2316 attributed to the classic pathogens such as *Salmonella*, *Campylobacter*, *E. coli*, *Listeria* and in some
2317 cases *Clostridium* species. Insufficient hygienic barriers both at farm level as well as in processing
2318 and handling of biological materials account for the contamination risk (Doyle and Erickson,
2319 2012). Possibly occurring contamination in feed materials can thus be widely transmitted between
2320 countries and continents in case HACCP (Hazard Analysis & Critical Control Point)-based
2321 programs and associated control measures are not in place (Wierup, 2017).

2322

2323 **Data of biological pathogens in the envisaged by-products to be used in fertilising products**
2324 **is scarce**, and the assessment of absolute numbers and trends is hindered by a lack of standardised
2325 sampling and testing procedures. Nonetheless, a large **share of the proposed material in this**
2326 **subgroup are also used as feed** material and therefore listed in the EU feed catalogue
2327 (Commission Regulation (EU) No 68/2013¹³). Because these materials are subject to monitoring
2328 and quality control schemes, more data on microbial hazards are available.

2329

2330 A relevant overview on *Salmonella* contamination is provided in Wierup (2017), although most
2331 **studies date from before 2010**. *Salmonellae* strains were isolated from approximately 30% of
2332 samples tested from dust of all lots of soy beans imported mainly from South America to Norway
2333 during 1994–2007 (Denofa, 2007; Liebana and Hugas, 2012). Long-term experiences and data
2334 from several countries have highlighted and verified that processed biological materials, such as
2335 vegetable proteins, cakes and meal, are regularly contaminated by *Salmonella*. In a comprehensive
2336 study from Poland, based on an annual examination of up to 80,000 batches of feed up to 15% of
2337 imported lots of soya bean and rapeseed meal were, respectively, found to be *Salmonella*-
2338 contaminated in 2005–2007 (Kwiatek et al., 2008). Swedish data from 2004–2005 report that 15%
2339 of the soybean meal and 10% of the rapeseed meal samples were contaminated (Wierup and
2340 Haggblom, 2010), and possible higher numbers have been reported for imported soy from South
2341 America (Hägglom, 1993). *Salmonella* is also frequently reported from rapeseed and palm kernel,
2342 with data from Sweden, the Netherlands, and the UK indicating an incidence of 2-12% for the
2343 period 1999-2006 (summarised in Liebana and Hugas, 2012). Moreover, EFSA reports the highest
2344 proportion of positive samples in individual investigations for the feed category ‘Feed material of
2345 oil seed or fruit origin’, mainly rape seed-derived, soya (bean)-derived, sunflower seed-derived and
2346 cotton seed-derived feed (data available for reporting periods 2013-2015) (Eurosurveillance
2347 Editorial, 2012; Boelaert et al., 2015; European Food Safety et al., 2015; Boelaert et al., 2016;
2348 European Food Safety et al., 2017; European Food Safety et al., 2018; European Food Safety et
2349 al., 2019). Grain is not often found to be contaminated unless as a result of contamination during
2350 storage and transport (Liebana and Hugas, 2012). It has been suggested that biomass may be
2351 contaminated by *Salmonella*-containing water used for irrigation or through the use of (animal and
2352 human-derived) faecal matter used as fertiliser (Greene et al., 2008). Moreover, in-house
2353 contamination in crushing plants and feed mills as well as contamination during transport and
2354 storage may occur (Wierup and Kristoffersen, 2014). In **recent years, feed materials show a**
2355 **lower incidence of microbial contamination** in feed (in the range of 1-4% for *Salmonella* in the
2356 years 2013-2018), compared to previous years (Boelaert et al., 2015; European Food Safety et al.,

¹³ <https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:02013R0068-20200701>

2357 2015; Boelaert et al., 2016; European Food Safety et al., 2017; European Food Safety et al., 2018;
2358 European Food Safety et al., 2019). Possibly, this is due to stricter regulation and law enforcement
2359 in the EU, following the introduction of new legislation on feed hygiene (183/2005/EC) and the
2360 placing on the market for feed use of products derived from vegetable oils and blended fats. For
2361 such materials, specific requirements for production, storage, transport and dioxin testing of oils,
2362 fats and products derived thereof are laid down in Regulation (EU) No 225/2012 (amending Annex
2363 II to Regulation (EC) No 183/2005).

2364

2365 Microbiological hazards also comprise their toxins produced by a number of **pathogenic fungi** that
2366 are incompletely inactivated during the processing of biological materials (Fink-Gremmels, 2012).
2367 Mycotoxins are toxic secondary metabolites from some species of *Aspergillus*, *Fusarium*
2368 *Penicillium* and their related fungi. Many **mycotoxins** (e.g. aflatoxin B1, zearalenone (ZEA)) are
2369 already present in the crop during growth in the field. Nonetheless, their presence in processed
2370 biological materials (Pettersson, 2012; Sivamaruthi et al., 2018) may further exacerbate risks for
2371 animal and food safety.

2372

2373 The processing of biological materials often involves the disaggregation of biological materials
2374 (seeds, such as soybeans, palm kernels and rape and sunflower into crude vegetable oil, animal
2375 feed, and fiber). The crushing involves either the use of a screw/expeller or hydraulic press between
2376 plates. Due to friction in the screw, the temperature is raised up to 130–140°C. Generally, the
2377 material in the crushing plates reaches >100°C for 20 min (Himathongkham et al., 1996). The
2378 product after the crushing is called cakes which usually are ground to a meal. Solvent extraction is
2379 also applied, typically with hexane, resulting in refined extraction meal that is further toasted or
2380 **heat-treated**. Other processes, such as **acid or alkaline extraction** may lead to cell lysis
2381 (Pasupuleti and Braun, 2010; Ruiz-Hernando et al., 2014), but the effectiveness of hygienisation is
2382 largely dependent on the process conditions (pH, time, temperature) applied. At times,
2383 **pasteurisation** steps are also applied to sterilise the materials. Hence, relative to the biological
2384 input materials applied, the implementation of processing steps may lead to a proportional decrease
2385 in *Salmonella* contamination in the candidate by-product materials (e.g. oilseed cakes, protein
2386 hydrolysates) and the primary products of higher added-value (e.g. feed materials). Nonetheless, it
2387 is important to note that the **purpose of these treatments is primarily to improve specific**
2388 **processing steps** (e.g. feed conversion and the handling qualities and feed intake), rather than the
2389 hygienic quality of the process (Pasupuleti and Braun, 2010; Liebana and Hugas, 2012).
2390 Temperature, alkalinity/acidity range and time limits for the process are selected to meet also
2391 nutritional requirements and exposure of the feed to too high temperature may have negative effects
2392 on certain feed ingredients, such as amino acids and vitamins. Moreover, available data may be
2393 insufficient to specify a minimum temperature, time range and pH range that under all conditions
2394 would be sufficient to eliminate contaminations for all industrial processes.

2395

2396 To limit microbiological hazards, **Hazard Analysis & Critical Control Point (HACCP)**
2397 programmes and associated control measures are critical. At all relevant stages of production,
2398 processing and distribution of food and feed, European legislation is in force to ensure that proper
2399 and effective measures are taken to detect and control biological pathogens (e.g. EC Regulation
2400 2160/2003 on the control of *Salmonella* and other specified food-borne zoonotic agents; Regulation
2401 (EC) No 2073/2005 on microbiological criteria for foodstuffs; Regulation (EC) No 183/2005 on
2402 feed hygiene).

2403

2404 In the same way as it is important to prevent and reduce contamination at all steps during
2405 manufacturing, it is equally important to prevent multiplication of possibly contaminating microbes
2406 which can survive for considerable time in various materials once production has reached the end
2407 stage (Jones, 2011; Wierup, 2017). This is particularly relevant for this sub-group as carbon sources
2408 are abundantly available microorganisms. For instance, **adequate storage conditions** (e.g. under
2409 dry conditions) is one effective measure to prevent recontamination and microbial regrowth and
2410 mycotoxin production (Liebana and Hugas, 2012; Pettersson, 2012). In order to avoid microbial
2411 multiplication, grain-derived materials should be dried to approximately 13–14% and oilseeds to
2412 7–9% moisture content corresponding to a water activity of around 0.4–0.65 (Eisenberg, 2007;
2413 Jones, 2011).

2414

2415 In EC Regulation No 2160/2003, the general rules on monitoring of zoonoses and zoonotic agents
2416 in animals, food and feed are laid down in Article 4 of Chapter II ‘Monitoring of zoonoses and
2417 zoonotic agents’ of the Directive. Specific rules for coordinated monitoring programmes and for
2418 food business operators are, respectively, in Articles 5 and 6 of Chapter II. In addition, international
2419 initiatives exist (e.g. *Codex Alimentarius*). In the same way as it is important to prevent and reduce
2420 contamination at all steps during manufacturing, it is equally important to prevent multiplication
2421 of possibly contaminating microbes which can survive for considerable time in various materials
2422 **once production has reached the end stage** (Jones, 2011; Wierup, 2017).

2423

2424 7.4.2.2.2 Plant pests

2425 With regard to the concerns for maintaining plant health inside the European Union as laid out in
2426 Directive 2000/29/EC, manufacturers must demonstrate that any products containing plant-based
2427 raw materials have been verified to be not containing any of the plant pathogens or diseases listed
2428 in the annexes to that directive or shall demonstrate that the manufacturing process of the raw
2429 material and/or final fertilising product eliminates any risk of contamination.

2430

2431 7.4.2.2.3 Introduction of alien organisms

2432 The accidental release into the environment of genetically modified microorganisms (GMMs) and
2433 other (alien) species that are not present in the EU soil environment may have adverse
2434 consequences on biodiversity. Hence, it is important to avoid the release of production cultures that
2435 are not already present in natural habitats and could proliferate under the outside abiotic conditions.

2436

2437 Whereas the risk of the introduction of alien species in the environment is limited for most
2438 candidate materials, hydrolysed proteins and fermentation residues are at times produced using
2439 GMMs. GMMs are regulated under Directive 2009/41/EC on the contained use of genetically
2440 modified microorganisms. Whereas the fermentation process itself is clearly contained use,
2441 marketing for example the fermentation residues as components for EU fertilising products, if
2442 **potentially still containing any living GMOs**, would be subject to the Part C **notification**
2443 **procedures** of Directive 2001/18/EC (regulating the deliberate release of GMOs into the
2444 environment). This procedure would thus also apply to CMC 11 materials that might contain still
2445 viable GMOs. Materials that do not contain genetically modified biological entities capable of
2446 replication or of transferring genetic material, e.g. after inactivation procedures, are not subject to
2447 the notification procedure.

2448

2449 In addition, producers who introduce a product in the supply chain must provide an indication that
2450 the product - or certain ingredients – contains, consists of, or is obtained from GMOs. Regulation
2451 1830/2003 provides a framework for the **traceability and labelling** of feed and food products
2452 produced from genetically modified organisms (GMOs), but not for any other product produced
2453 from GMOs (produced from GMOs means “derived, in whole or in part, from GMOs, but not
2454 containing or consisting of GMOs). Nonetheless, the rules for organic farming in the EU set out in
2455 Regulation (EC) No 889/2008 indicate that organic products should not be produced from GMOs
2456 and thus not be derived in whole or in part from GMOs (even if the food production does not
2457 contain or consists of GMOs).

2458

2459 7.4.2.2.4 Stability

2460 Material stability facilitates the safe handling, transport and storage, and ensures a constant quality
2461 in between the time of production and further downstream use. Component materials such as
2462 compost and digestate (CMC 3-5) have to meet minimum requirements on biological stability.

2463

2464 7.4.2.2.5 Residues of pesticides

2465 In the EU, the application and use of pesticides is legally controlled to minimise risks and residue
2466 levels occurring in both food and feed. The authorisation of active substances in plant protection
2467 products is laid down in Regulation (EU) No 540/2011. Where they are used according to good
2468 agricultural practice, residues of these pesticides should not exceed maximum residue levels in
2469 food and feed (see Annex II of Regulation (EC) No 396/2005), which are set on the basis of a
2470 toxicological risk assessment and in consideration of what is achievable by best practice, i.e. correct
2471 application rates and minimum harvest intervals. These measures also apply to similar by-products
2472 that are used as fertilising materials within the FPR (e.g. oilseed cakes resulting from mechanical
2473 techniques such as pressing, a CMC 2 material). Altogether, these measures should limit the
2474 occurrence of pesticide residues in the candidate by-product materials. Moreover, Annex I of the
2475 FPR indicates that “where the EU fertilising product contains a substance for which maximum
2476 residue limit values for food and feed have been established in accordance with Regulation (EC)
2477 No 396/2005 on pesticides the use of the EU fertilising product as specified in the use instructions
2478 must not lead to the exceedance of those limit values in food or feed”.

2479

2480 7.4.2.2.6 Other chemical contaminants

2481 7.4.2.2.6.1 PCBs and PCDD/F

2482 Polychlorinated biphenyl (PCB) and polychlorinated dibenzo-p-dioxins and furans (PCDD/Fs)
2483 PCDD/F are polychlorinated aromatic compounds with similar structures, chemical and physical
2484 properties. These persistent organic pollutants are not biodegradable so they are persistent and bio-
2485 accumulate in the food chain. Contamination can occur during biomass processing and dioxin
2486 contamination is of particular note because chemicals in this group are highly toxic (Crawshaw,
2487 2012). Isolated incidents have traditionally been the main reason for exceeding maximum levels of
2488 PCDD/Fs and PCBs in food and feed (Crawshaw, 2012; Malisch, 2017), such as the feeds
2489 containing citrus pulp pellets from Brazil which had high dioxin levels as a result of the use of
2490 heavily contaminated lime used for neutralization, or the use of contaminated marl clay from a
2491 German quarry in a potato processing operation (Veerman, 2004). Moreover, contamination during
2492 the processing of biomass has occurred due to malpractice (e.g. contamination of feed with
2493 transformer oil containing dioxins, furans and PCBs). In addition, improper drying of biomass to

2494 reduce the moisture content to permissible levels for storage or processing (e.g. fire drying, use of
2495 contaminated fuels) may induce dioxin contamination.

2496

2497 7.4.2.2.6.2 Polyaromatic hydrocarbons

2498 Polycyclic aromatic hydrocarbons (PAHs) are a group of persistent, semi-volatile organic
2499 pollutants. Polycyclic aromatic hydrocarbons represent a broad group of physicochemically
2500 different molecules made of two or more unsubstituted benzene rings fused together when a pair
2501 of carbon atoms is shared between them. The most frequent PAHs are anthracene, fluoranthene,
2502 naphthalene, pyrene, phenanthrene and benzopyrene. Similar to PCBs and PCDD/Fs, processing of
2503 biomass (such as drying) could be major sources generating PAHs (Guillen et al., 1997; Phillips,
2504 1999).

2505

2506 7.4.2.2.6.3 Cyanides

2507 Cyanogenic glycosides are produced as secondary metabolites by various plant species (oil seeds,
2508 fruits) and include compounds such as amygdalin, dhurrin, linamarin, linustatin, lotaustralin,
2509 neolinustatin, prunasin and sambunigrin (Rietjens et al., 2005). **Hydrogen cyanide (HCN)** is
2510 formed from these cyanogenic glycosides by hydrolytic enzymes following by the crushing of plant
2511 materials (Monbaliu et al., 2012; Sivamaruthi et al., 2018)

2512

2513 7.4.2.2.6.4 Chlorine

2514 Salinity is a generic term used to describe elevated concentrations of soluble salts in soils and
2515 water. Comprised primarily of the most easily dissolved ions - sodium (Na⁺) and chlorine (Cl⁻),
2516 and to a lesser extent calcium, magnesium, sulphate, and potassium - salinity in the environment
2517 adversely impacts water quality, soil structure, and plant growth. Excess salinisation might
2518 constrain crop productivity and can cause clays to deflocculate, thereby lowering the permeability
2519 of soil to air and water. Especially seaweed-derived materials may contain high levels of natural
2520 chlorine.

2521

2522 7.4.2.2.6.5 Chloropropanols

2523 Chloropropanols are formed in protein hydrolysates by the reaction of hydrochloric acid with
2524 residual lipids associated with the proteinaceous materials used in their production (Collier et al.,
2525 1991). Production of two of these derivatives, 3-monochloropropane-1,2-diol (3-MCPD) (1,3-
2526 DCP) and 3-monochloropropane-1,2-diol (3-MCPD) (3-MCPD), are carcinogenic contaminants in
2527 processed foods. 3-MCPD is listed in Regulation (EC) No 1881/2006 that sets maximum levels for
2528 certain contaminants in foodstuffs with a limit value of 20 µg kg⁻¹.

2529

2530 7.4.2.2.6.6 Processing residues

2531 In industrial processes, foams pose serious problems. They cause defects on surface coatings and
2532 prevent the efficient filling of containers. **Antifoaming** substances are chemical additives that
2533 reduce and hinder the formation of foam in process liquids. Commonly used agents that could end
2534 up in by-products (e.g. molasses, vinasses, fermentation by-products) are insoluble oils,
2535 polydimethylsiloxanes and other silicones, certain alcohols, stearates and glycols. **Disinfection**
2536 **products** are used for cleaning of surfaces and food processing reactors. In case **solvents** would
2537 have been applied during refining steps, it should be assured that any potentially toxic solvent
2538 residues are removed from the candidate by-product materials.

2539

2540 7.4.2.3 Sub-group assessment

2541 This assessment has brought forward following issues:

- 2542 - A **delineation of the scope of this subgroup**, either via a positive or a negative list, is
2543 highly **challenging** because the processing of biomass materials may involve an enormous
2544 amount of processing steps and lead to a vast range of possible primary products. A full
2545 assessment of the whole range of individual materials goes beyond the timeframe and
2546 resources available at JRC. A need exist to identify specific materials of interest under this
2547 sub-group so as to narrow the scope.
- 2548 - The most pressing risks for this group identified relate to the presence of **microbiological**
2549 **pathogens and toxins**. The spectrum of microbiological hazards; is a main **challenge for**
2550 **the development of cost-effective compliance schemes** as part of the FPR. However, it
2551 is imperative that these risks are appropriately controlled, especially due to the envisaged
2552 storage and cross-border transport of EU fertilising products that may induce microbial re-
2553 growth;
- 2554 - It is reiterated that **different routes for the placing of the market** of the candidate
2555 materials exist, including their possible use as an input material for **composting and**
2556 **digestion processes** (with both end materials exempted from REACH registration) or
2557 placement on **national markets**. Moreover, many of the proposed target materials for this
2558 group **have already been listed under CMC 2** (e.g. mechanically processed biomass
2559 materials and water extracts, such as oilseed cakes). These alternative routes may be a more
2560 suitable choice for the many voluminous material streams (i) for which operators are not
2561 willing to undertake the procedure of REACH registration; (ii) that envisage use on land
2562 as a fertilising products in nearby areas, e.g. due to the high transport costs of high volume
2563 materials or materials with a low stability, and/or (iii) for which strict hygienisation
2564 measures cannot always be guaranteed, especially under conditions of storage and (long-
2565 distance) transport.
- 2566 - Nonetheless, it may be beneficial to **collect certain by-product streams in a separate**
2567 **manner** without mixing them with other types of organic materials due to the specific
2568 composition (concentrated peptides, hormones, amino acids, etc.) that may be present in
2569 high concentrations in by-products from specific industries. If not collected separately,
2570 these valuable compounds could be highly diluted due to mixing with other streams, e.g.
2571 in a co-digestion plant where large materials volumes are being processed.
- 2572 - Many candidate materials could also serve a function **as animal feed**, and many of the
2573 proposed materials are thus listed on the EU feed catalogue (Regulation (EU) No 68/2013).
2574 The EU feed market already produces large amounts of materials in the EU, and a fraction
2575 of the about 166 million tonnes of feed in the EU (about 70% grain, followed by about
2576 25% oil meals; RaboResearch, 2017) are by-products. These materials are already subject
2577 to the requirements on feed hygiene as laid down in Regulation (EC) No 183/2005
2578 (including procedures to control microbial hazards based on the hazard analysis and critical
2579 control point (HACCP) principles laid down in Article 6(2) that also applies to all stages
2580 of production, processing and distribution). Moreover, maximum residue levels of
2581 pesticides as per Regulation (EC) No 396/2005 already apply to these materials. The rules
2582 on the marketing of feed materials and compound feed are established in EC Regulation
2583 767/2009, indicating that feed may only be placed on the market if it does not have a direct
2584 adverse effect on the environment. Hence, reliance on **already implemented control**

2585 **mechanisms and available data** for specific materials of interest would provide **synergies**
2586 because the JRC can build upon previous assessments and well-functioning legal
2587 frameworks, and thus avoid a repeated assessment of possible risks from a broad spectrum
2588 of materials. Finally, this may bring forward possibilities to develop **compliance schemes**
2589 **of marginal added costs** for operators due to the already established quality control
2590 mechanisms in place for the sector.
2591

2592 Given these arguments and starting from the candidate materials proposed by the Commission
2593 Expert Group on Fertilising products, it is **proposed to focus the detailed assessment on by-**
2594 **products from this subcategory** to the following materials:

- 2595 - **plant expellers/cake** (including cakes from edible and possibly non-edible oilseeds
2596 including soapstocks from degumming/neutralisation; cakes from other crops and fruits)
2597 mostly containing vegetable fibres, proteins, and oils as obtained by
2598 solvent/acid/alkaline/enzymatic extraction (note materials after oil extraction by pressing
2599 would be covered under CMC 2);
- 2600 - **filter cakes** obtained during the filtration of foodstuffs, beverages and biorefinery liquids
2601 (e.g. protein fractions). A relevant aspect for further assessment is if and to what extent
2602 **bleaching earth/filter aid** (e.g. diatomaceous earth, amorphous silicates and silica,
2603 phyllosilicates and cellulosic or wood fibres) should be further considered. After all, filter
2604 cakes are applied for the removal of impurities and thus show a substantial risk to
2605 accumulate e.g. metals, microbial pathogens, etc;
- 2606 - **protein residues obtained after acid/alkaline extraction processes of plants** and from
2607 which primary products (e.g. amino acids, pharmaceuticals) have been isolated (e.g.
2608 protein concentrates from which amino acids of interested have been extracted);
- 2609 - **protein-rich extracts obtained after acid/alkaline extraction processes of seaweeds**
2610 (e.g. from agar production). Agar is mainly produced from *Gelidium* and *Gracilaria*
2611 seaweeds following acid/alkali extraction at elevated temperatures (Qin, 2018). In a first
2612 step, the seaweed is washed and extracted so as to the increase jelly strength. The alkaline
2613 extraction treatment (e.g. NaOH solution at 80–90°C for 3–5 h) causes the hydrolysis of
2614 sulphate groups and transforms important quantities of l-galactose 6-sulphate into 3,6-
2615 anhydro-l-galactose (Qin, 2018). In a second step, the agar is dissolved as part of a heating
2616 treatment with water for several and the mixture is filtered to remove the residual seaweed.
2617 Then, the water is removed from the gel, either by a freeze-thaw process or by squeezing
2618 it out using pressure. Seaweed by-products from this agar extraction are protein sources
2619 and contain amino acids, such as aspartic acid, glutamic acid, arginine, and lysine
2620 (Laohakunjit et al., 2014).
- 2621 - **protein-rich residues** obtained in **fermentation** processes (using e.g. *Escherichia coli*
2622 K12, *Corynebacterium glutamicum*, yeasts) on substrates of vegetable (e.g. molasses) or
2623 chemical origin, natural gas, or mineral salts (e.g. ammonium sulphate) for the production
2624 of e.g. amino acids (e.g. methionine), aroma, syrupy, inulin, pharmaceuticals, vitamins;
- 2625 - **surplus yeasts and parts thereof** obtained (e.g. *Saccharomyces cerevisiae*) for instance
2626 from beer brewing.

2627
2628 Following materials are **proposed for exclusion** from further assessment:

- 2629 - Other materials not listed above for further assessment.

2630

2631 It is reiterated that the fact that particular by-products from biomass processing are not listed above
2632 in the list for further assessment does not imply that those materials are of low quality for
2633 agriculture. As outlined in the directional framework for this project, the selection and prioritisation
2634 of candidate materials is based on numerous criteria including amongst others market potential,
2635 available techno-scientific information, challenges to develop criteria in a straightforward manner,
2636 and possibilities to develop cost-effective compliance schemes.

2637

2638 **7.4.3 By-products derived from manufacturing and processing aids**

2639 **7.4.3.1 Gypsum from citric acid and tartaric acid production**

2640 One of the production routes for citric acid is based on the growth of the fungus *Aspergillus* spp.,
2641 yeasts of the genus *Candida* or other organisms on glucose-containing medium (e.g. corn steep
2642 liquor, molasses, hydrolysed corn starch, technical and pure glucose, oils and fats, syrups of beet,
2643 etc.) (Berovic and Legisa, 2007). The method of citric acid recovery from the fermentation broth
2644 may vary depending on the technology and raw materials used for the production. After the mold
2645 is filtered out of the resulting solution, one of the methods for isolating citric acid is by precipitating
2646 it with calcium hydroxide to yield calcium citrate salt ($2C_6H_8O_7 + 3Ca(OH)_2 = (C_6H_5O_7)_2Ca_3 \cdot 4H_2O$
2647 $+ 2H_2O$), from which citric acid is regenerated by treatment with sulphuric acid ($(C_6H_5O_7)_2Ca_3 \cdot 4H_2O$
2648 $+ 3H_2SO_4 = 2C_6H_8O_7 + 3CaSO_4 \cdot 2H_2O + 2H_2O$). This results in the precipitation of **calcium**
2649 **sulphate** (“citrogypsum”) as a by-product of the reaction. The low pH value during the production
2650 phase ($pH < 2$) reduces the risk of contamination by other microorganisms and inhibits the
2651 production of unwanted organic acids (gluconic and oxalic acids) (Max et al., 2010). The gypsum
2652 may, however, contain impurities especially when used as a filter aid in citric acid purification,
2653 with hexacyanoferrate (applied as an inhibitor of non-acid-forming *A. niger* mycelium) as a
2654 possible relevant contaminant (cyanide) when applied as a fertiliser.

2655

2656 Also the production of tartaric acid is obtained by converting potassium bitartrate-rich lees, the
2657 solid by-product of fermentations, to calcium tartrate ($CaC_4H_4O_6$) upon reaction with $Ca(OH)_2$. In
2658 a following process step, calcium tartrate is transformed into tartaric acid following sulphuric acid
2659 addition ($Ca(O_2CCH(OH)CH(OH)CO_2) + H_2SO_4 \rightarrow HO_2CCH(OH)CH(OH)CO_2H + CaSO_4$).

2660

2661 **7.4.3.2 Concentrates from sodium acid pyrophosphate potato washing solutions**

2662 Effluent waters from potato processing facilities contain large amounts of phosphate. During
2663 preparation of the prebaked frozen product, potatoes are treated with sodium acid pyrophosphate
2664 ($Na_2H_2P_2O_7$) after the blanching treatment. Sodium acid pyrophosphate prevents that iron in the
2665 potato reacts with chlorogenic acid during the heating processes (Rossell, 2001). The oxidation of
2666 the Fe^{2+} -chlorogenic acid complex by oxygen from the air would otherwise result into a grayish-
2667 colored substance that causes after-cooking gray discoloration (Rossell, 2001). The blanching
2668 treatment also causes leaching of phosphate from the potatoes. The best available techniques
2669 (BAT) conclusions for the food, drink and milk industries, under Directive 2010/75/EU, indicate
2670 that phosphorus recovery from P-rich waters ($> 50 \text{ mg L}^{-1}$), in order to reduce emissions to water,
2671 is a suitable technique. The P-rich concentrates formed are often referred to as struvite, though they
2672 may not classify as CMC ‘precipitated phosphate salts’ due to their high organic C content. As a
2673 matter of fact, the process taking place is likely more an adsorption/coagulation process to the
2674 natural potato-derived polymer present in the solution than a precipitation process from free ions
2675 in solution in mineral forms (Huygens et al., 2019). The main concerns associated to the P-rich
2676 concentrates relate to the presence of biological pathogens and plant pests derived from the potato
2677 substrates (e.g. potato cyst nematodes (*Globodera rostochiensis*)).

2678

2679 **7.4.3.3 Potassium and sodium sulphates from glycerol purification**

2680 Biodiesel is produced from a process whereby the processed fat is separated from the protein.
2681 Processed fat is subsequently submitted to transesterification, possibly after esterification. It
2682 involves the breaking of the triglyceride structure and the exchange of alkyl groups with the
2683 hydroxide groups of the alcohol to obtain the new ester (biodiesel) (Pitt et al., 2019).
2684 Transesterification is carried out in the presence of a catalyst (usually sodium hydroxide or
2685 potassium hydroxide). This process is then followed by the separation of biodiesel from the
2686 glycerol/glycerine rest fraction. The latter also contains excess alcohol, catalyst and fatty acid
2687 (soaps) residue, making it a product of low commercial value with restricted applications and thus
2688 it is usually discarded (Nasir et al., 2017). Further applications for glycerol (e.g. in the food and
2689 pharmaceutical sectors) require the elimination of impurities. Usually, the crude glycerol is reacted
2690 with concentrated sulphuric acid to convert soap impurities into insoluble fatty acids. Afterward,
2691 potassium hydroxide, sodium borohydride or sodium hydroxide solution is added to neutralise the
2692 excess acid and to remove coloured impurities (Wan Isahak et al., 2015). In the end, potassium
2693 sulphate or sodium sulphate can be removed by decantation and filtration. Concerns may relate to
2694 the presence of **methanol**, and to smaller extent other impurities, such as fatty acids.

2695

2696 **7.4.3.4 Calcium oxide or calcium carbonate from sugar production (excluded since covered** 2697 **under CMC 6)**

2698 Lime is used in sugar production when purifying the juice from beet or cane. Sugar beet is sliced
2699 up and passed through a diffusor to extract the sugar juice. Calcium oxide or calcium carbonate is
2700 used in this process to remove impurities, and could be recovered for applications as a liming
2701 material in agriculture. Commonly, high quality grade lime is applied for which reason the risk of
2702 the introduction of foreign impurities from the lime applied is low. The material is already covered
2703 under CMC 6 and thus excluded for further assessment under CMC 11.

2704

2705 **7.4.3.5 Harvested mushroom growing media (proposed exclusion)**

2706 Mushrooms and their mycelia grow in a number of different types of growing mediums including
2707 straw, grain, sawdust and manure that are normally sterilised prior to mushroom cultivation.
2708 Contamination of the mushroom growing medium can, however, occur. The most common
2709 contaminants are yeast cultures and bacteria, although other chemical or biological contaminants
2710 are not infrequent during mushroom growth. After mushroom removal, a mass consisting of the
2711 growing media and mycelia remains. Given the risk of biological contamination, and the possible
2712 inclusion of animal by-products, it is suggested that more **suitable outlets (e.g. composting,**
2713 **anaerobic digestion) exist and this material will no further be assessed in this project.**

2714

2715 **7.4.3.6 Sub-group assessment**

2716 By-products of this sub-group contain a variety of materials, of different chemical compositions
2717 that mostly reflect the processing aid applied. The contaminant profile is process-specific, though
2718 biological pathogens are a returning concern. It is proposed to evaluate these materials proposed
2719 by the COM expert group on a case-by-case basis to ensure an in-depth evaluation of the agronomic
2720 value and contaminant profiles.

2721

2722 **7.4.4 Calcium carbonates, iron hydroxide and humic/fulvic acids as by-products** 2723 **from the production of drinking water**

2724 **7.4.4.1 Humic/fulvic acids from organic matter removal**

2725 The humic and fulvic acids are natural acidic organic polymers, produced during de-colorization
2726 of drinking water. Some ground and surface waters are rich in humic and fulvic acids that are
2727 removed during the drinking water production process using ion exchange technologies. The resin
2728 used for removal of the humic and fulvic acids is regenerated with sodium chloride. The humic and
2729 fulvic acids are recovered from the brine solution by a combination of nanofiltration, diafiltration,
2730 forward osmose and/or electrodialysis. Ion exchange systems are used for the removal of dissolved
2731 ions from water. Therefore, the expected levels of contamination are generally lower than for e.g.
2732 calcium carbonate sludges, but dependent on the operation configuration and technologies applied.
2733 Hence, in principle, the expected contaminant load may include compounds of a similar nature to
2734 calcium carbonate sludges (listed as fertilising product components under CMC 6), though the risks
2735 are expected lower due to the selectivity of the ion exchange technology applied. Possibly, **chlorine**
2736 from column regeneration agents may be an issue. The risk of other chemical substances, such as
2737 pesticides and other priority substances in the field of water policy such as chlorinated organic
2738 solvents seems low due to the strict control on the placing on the market of pesticides within the
2739 EU, and the EU framework on the protection of the quality of EU fresh- and surface waters. Finally,
2740 many compounds (e.g. microorganisms, pesticides, metals) are typically removed during other
2741 processing steps of water purification (e.g. adsorption to powdered activated carbon).

2742

2743 **7.4.4.2 Calcium carbonate sludges from water softening (excluded since covered under**
2744 **CMC 6)**

2745 In Europe, on average, surface water is used for one third of drinking water supplies and two thirds
2746 are provided by ground water (Roccaro et al., 2005). **Water softening** of ground and surface waters
2747 for human consumption (drinking water) is the process of removing the dissolved calcium and
2748 magnesium salts. Water can be chemically softened on a large scale by calcium hydroxide, soda
2749 ash (sodium carbonate, Na_2CO_3) and/or sodium hydroxide. The calcium carbonate and magnesium
2750 hydroxide precipitates are typically removed in a clarifier before the water is filtered (WHO, 2017).
2751 When $\text{Ca}(\text{OH})_2$ and Na_2CO_3 are added, hardness-causing minerals form nearly insoluble
2752 precipitates, such as calcium carbonate (CaCO_3) and magnesium hydroxide ($\text{Mg}(\text{OH})_2$). These
2753 precipitates are then removed by conventional processes of coagulation/flocculation,
2754 sedimentation, and filtration, leading to a sludge that mainly consists of calcium carbonate and
2755 impurities. Alternatively, **ion exchange techniques** could be applied. Here, the water is passed
2756 through a bed of cationic resin, and the calcium ions and magnesium ions in the water are replaced
2757 by sodium ions. The by-products are already listed as CMC 6 materials.

2758

2759 **7.4.4.3 Iron hydroxide from iron removal (proposed exclusion)**

2760 Anaerobic groundwater may contain ferrous iron at concentrations of up to several milligrams per
2761 litre without discoloration or turbidity in the water when directly pumped from a well (Chaturvedi
2762 and Dave, 2012). **Iron removal** is among the problematic issues for making potable water. Its main
2763 issues involve taste, visual effects, and clogging. There are several methods for removal of iron
2764 used in water purification processes (Chaturvedi and Dave, 2012). The majority of iron treatment
2765 systems employ the processes of oxidation/filtration. The oxidant chemically oxidizes the iron, and
2766 inactivates iron bacteria and any other disease-causing agents that may be present. Oxidation
2767 involves the transfer of electrons from the iron or other chemicals being treated to the oxidizing
2768 agent. Ferrous iron (Fe^{2+}) is oxidized to ferric iron (Fe^{3+}), which readily forms the insoluble iron
2769 hydroxide complex $\text{Fe}(\text{OH})_3$ that can subsequently be filtered out (Vigneswaran and Visvanathan,
2770 1995). The most common chemical oxidants in water treatment are chlorine, chlorine dioxide,

2771 potassium permanganate, ozone and oxygen present in air in a tray aerator (Chaturvedi and Dave,
2772 2012). The impurity profile is similar to the one for calcium carbonate sludges from drinking water
2773 production, though lower contents of biological pathogens may be present due to the use of
2774 chemical oxidants such as chlorine or ozone.

2775 Iron hydroxide is not used as a fertilising product as such in EU agriculture. The use of
2776 iron(hydr)oxide from drinking water in digester as a sulphur binder is, however, allowed in some
2777 EU Member States (e.g. NL, BE, DE). Possibly, such material could be applied as a digestion
2778 additive under CMC 4-5. Because this CMC 11 focused on materials that can be used as such as
2779 components for EU fertilising products without intentional chemical reactions taking place
2780 between the different CMCs, **this material is proposed to be excluded for further assessment.**

2781

2782 **7.4.5 By-products from the pulp and paper industry**

2783 **7.4.5.1 Fiber sludge (proposed exclusion)**

2784 Various types of sludge (biosludge from waste water treatment, fibrous sludge, deinking sludge,
2785 etc.) from both virgin pulp production and/or processing paper for recycling and own pulp or paper
2786 mill residues are produced. Sludges can originate from either pulp milling, paper milling or from
2787 integrated installations where pulp and paper are produced in the same plant. Pulp can be
2788 manufactured using mechanical or chemical methods (kraft and sulphite processes). Sludges
2789 include wood in the form of solid and dissolved substances, bark residues, alkaline substances,
2790 **polyaromatic hydrocarbons** and **possibly chlorinated organic compounds** (expressed as
2791 Adsorbable Organic Halides, AOX; present in sulphite and some kraft market pulp mills that apply
2792 chlorine containing (e.g. ClO₂) bleaching stages). Particular care should also be exercised in the
2793 case of addition of chemicals that are designed to have a biological effect such as **biocides,**
2794 **disinfectants** and **slimicides.**

2795

2796 Paper is afterwards made by draining a low consistency dispersion of cellulose fiber pulp, fillers,
2797 and additives (fillers, coatings, resins, etc.) through a paper machine “wire” (Hsu and Hu, 1998).
2798 The drained liquid suspension, known generally as “white water,” carries entrained solid material.
2799 The treatment of unused white water normally involves passing the effluent through a clarifier,
2800 prior to which flocculants are added to promote sedimentation of solid material suspended in the
2801 water. A biological treatment with microorganisms is also commonly performed to reduce the
2802 biological oxygen demand of the liquid effluent before it is discharged. The sediment accumulated
2803 in the clarifier is a sludge composed of pulp fibers, fiber particles or fines, fillers, pigments, and
2804 other miscellaneous debris (Grönfors, 2010). Sludge from deinking and waste paper mills may be
2805 relatively high in **metals** due to the formulations used in ink removal. Depending on the type of
2806 paper manufactured and the technological choices of the plant operator, **a large variety of product**
2807 **aids that may environmental risks is applied that could end up in the sludge (Table 2).**

2808

2809 Of the substances used previously in the pulp and paper industry, certain substances are prohibited
2810 in current legislation. Nonylphenols and nonylphenol ethoxylates have been used as tensides and
2811 dispersion agents. Now the use of these substances is prohibited. Some substances regulated in
2812 legislation and used in large quantities, e.g. in slimicides and sizing agents, have been found at
2813 paper mills in low concentrations (Suhr et al., 2015). However, none of the listed priority
2814 substances to protect environmental quality as listed in Directive 2008/105/EC is used in the pulp
2815 and papermaking processes (e.g. nonylphenols, inorganic compounds, DEHP). Although not used,
2816 it is however possible for these priority substances to be present in the emissions from waste water

2817 treatment plants, due to either entering the site via **imported pulps** or via upstream abstracted
 2818 surface water used within the papermaking process (Suhr et al., 2015).
 2819

2820 **Table 2: Main process and product aids and their application in the paper industry**

Product aids	Purpose	Examples	Remarks
Fillers	Improve printability properties, opacity, brightness, smoothness and gloss; replace (saving) fibres	Kaolin or clay, talc, lime, gypsum, titanium dioxide, calcium carbonate	
Sizing agents	Improve surface quality; make paper hydrophobic	Modified starch, modified natural resins, wax emulsions, synthetic products like alkyl ketene dimers and maleic acid anhydride copolymers	Some may be toxic to bacteria when they are cationic; however, they have high retention to the fibre
Fixing agents	Improve adsorption of additives to fibres	Alum [Al ₂ (SO ₄) ₃], cationic amines	Mostly cationic products which may be toxic to bacteria
Dry strength agents	Improve strength properties in dry conditions	Modified starch	Some may be toxic to bacteria when they are cationic
Wet strength agents	Improve strength properties under wet conditions	Urea formaldehyde polymer, melamine formaldehyde polymer, Epichlorohydrin condensates	Usually toxic to bacteria, some increase the AOX
Dyes	Give paper a certain colour and/or brightness	Azo compounds, quaternary ammonium compounds	Difficult to eliminate; some are toxic; may contain heavy metals
Optical brighteners	Give paper a white impression	Chemicals based on 4,4-diaminostilbene-2,2-disulphonic acid	Some cationic substances may be toxic
Coating chemicals	Give paper certain surface properties	Pigments, binders, wet strength agents, dispersion and lubrication agents, defoaming agents, slimicides	Binders must be destabilised before mixing with other WW, otherwise they may disturb the clarification
Greaseproof or waterproof agents	Give paper grease- or water-repellent properties, e.g. baking papers, coated drink cups, fast food wrappers and pizza boxes	Perfluorinated compounds (PFC, e.g. based on fluorocarbon resins and perfluoropolyether are applied to impregnate some papers; for adhesive labels, fluorocarbon resins are used to prevent the penetration of the adhesive. The fluorochemicals are designed so that they bind to the fibres	Persistent and bioaccumulative; PFCs used for paper impregnation do not contain perfluorooctane sulphonate but may contain fluorotelomer alcohols and perfluorooctanoic acid in the lower ppm range, trace contaminants

Retention aids	Retention of fibres, fines and fillers; increased production by improving dewatering; decreased emission of pollutants	Alum, sodium aluminate, polyaluminiumchloride, starch products, gums, anionic polyacrylamides, nonionic polyacrylamides, cationic polymers, bentonite	Mostly cationic products
Surfactants	Cleaning of felts, wires and machinery; cleaning of water circuit system; dispersion of substances	Acidic and alkalic surfactants	May cause floating sludge
Defoaming agents	Prevention and destroying of foam	Fatty acid ethoxylates, poly-oxi-ethylene, fatty acid derivates, higher alcohols, phosphoric acid esters, vegetable oil products	De-aeration agents may lower the oxygen input in waste water treatment plant
Biocides (slimicides)	Prevention of growth of microorganisms	Organic bromine, sulphur or nitrogen compounds, quaternary ammonium compounds, chlorine dioxide, hydrogen peroxide	Some contain AOX, they are toxic when reaching the waste water treatment plant in higher concentrations

2821

2822 With respect to agronomic value, some studies have highlighted the potential of fibrous sludge as
 2823 a soil improver. Chemical characterisation of paper mill sludge has shown that cellulosic materials
 2824 represent more than 50% of the sludge content (Ochoa de Alda, 2008). As a result, the C:N ratio
 2825 in the sludge is very high (C/N ratio of 50 to 200) (Monte et al., 2009; Likon and Trebše, 2012)
 2826 and the sludge has a very low hydraulic conductivity (permeability) (Kuokkanen et al., 2008). The
 2827 envisaged use may include limiting losses of mobile elements, such as nitrates in soils (Kirchmann
 2828 and Bergstrom, 2003).

2829

2830 In conclusion, it is indicated that recycling possibilities of pulp and paper sludge depend on the
 2831 papermaking process considered, the raw materials used and consequently the type and physico-
 2832 chemical properties of the generated residues. Altogether, it is indicated that:

- 2833 (i) a possible broad spectrum of contaminants (such as biocides, slimicides, and organic
 2834 pollutants) may be present in the (fibrous) sludges, even though some of them may be
 2835 present only in minor concentrations;
- 2836 (ii) the necessary science (e.g. publically available risk assessments in scientific literature or
 2837 in REACH registrations) demonstrating the absence of impacts on human health and
 2838 the environment is not in place;
- 2839 (iii) the risk to increase complexity and measurement costs for a possible compliance scheme
 2840 is high;
- 2841 (iv) demand for the material in agriculture remains uncertain.

2842 Therefore, it is proposed **not to focus on these by-product materials**. Possibly, more suitable
 2843 mechanisms for the placing on the market of specific sludges may exist (e.g. national rules, based
 2844 on plant- or country-specific assessments that consider local use demands for this material).

2845

2846 7.4.5.2 Lime mud

2847 In the Kraft (sulphate) pulping process, wood chips are combined in a digester with white liquor,
 2848 an aqueous solution comprising principally sodium sulphide (Na₂S) and sodium hydroxide
 2849 (NaOH), which breaks down lignin and, to a lesser extent, hemicelluloses under elevated

2850 temperature and elevated pressure, freeing the cellulose fibers (pulp) (World Bank, 2007).
2851 Following digestion, the resulting black liquor, which contains dissolved organic substances, is
2852 separated from the pulp. In many kraft pulp mills the inorganic chemicals' contribution to black
2853 liquor dry material amounts to 45 – 50 % (Suhr et al., 2015). Approximately one third of the dry
2854 material of the black liquor consists of dissolved organic substances. The chemical feedstocks are
2855 recovered in what is referred to as the liquor cycle. Black liquor is typically concentrated (to levels
2856 as high as 70 – 85 %) by evaporation of water and then incinerated at temperatures of 800°C -
2857 1050°C in a recovery furnace, which destroys the organic constituents and generates heat used to
2858 make steam for other facility uses. Smelt, a molten salt mixture consisting principally of sodium
2859 carbonate (Na₂CO₃) and sodium sulphide, is formed at the bottom of the recovery boiler, and is
2860 dissolved in an aqueous solution, forming green liquor. In the causticizer, CaO is added to the green
2861 liquor, which converts sodium carbonate back to sodium hydroxide that - in combination with the
2862 sodium sulphide - forms the white liquor that is used again in the digesters. **Lime mud**, principally
2863 comprising calcium carbonate (CaCO₃), is separated from the white liquor and washed. Often, it is
2864 calcined afterwards in a lime reburning kiln to regenerate the lime (World Bank, 2007) (see section
2865 7.4.5.3). The lime mud is highly alkaline, and has a high proportion of fine particles; it can therefore
2866 potentially be used as a liming material in agriculture (Muse and Mitchell, 1995).

2867

2868 **7.4.5.3 Burnt lime (calcium oxide)**

2869 In the lime reburning process, the lime mud formed during the recausticising process is thermally
2870 converted back to calcium oxide according the reaction equation $\text{CaCO}_3 \rightarrow \text{CaO} + \text{CO}_2$. The
2871 reaction takes place in a rotary kiln where wet lime mud is dried, heated up to the reaction
2872 temperature, calcinated and cooled again. The calcination reaction starts at 800 °C and to complete
2873 the reaction temperatures up to 1 000 – 1 100 °C are required in the hot end of the kiln. This
2874 calcination is a high-temperature, endothermic reaction requiring external fuel (Suhr et al., 2015).
2875 The cooling is done by air in heat exchangers.

2876

2877 **7.4.5.4 Sub-group assessment**

2878 Lime mud may and burnt lime may contain **metals and metalloids** such as As, Cd, Cr, Cu, Hg,
2879 Mn, Ni, Pb and Zn (most of these already regulated at PFC level in the FPR), although measured
2880 values are mostly below the limit values that are set in the FPR (He et al., 2009; Pérez-López et
2881 al., 2010). It may also contain high levels of **chlorides** (Pérez-López et al., 2010; Zhang et al.,
2882 2015). Due to the prior oxidation step, also **PCDD/Fs** and **PCBs** are a potential risk, especially in
2883 case chlorine containing bleaching agents have been applied.

2884

2885 **7.4.6 Horizontal assessment**

2886 Group D materials include a set of materials with a very heterogeneous composition. It is proposed
2887 to evaluate these materials on their preliminary classification in the four sub-groups outlined in
2888 sections 7.4.2 - 7.4.5. A need exists to delimit “Biomass residues as by-products from chemical
2889 and enzymatic refining processes (section 7.4.2)” to added-value materials for which an interest
2890 exists to have them listed under CMC 11 as REACH registered materials. For the other sub-groups,
2891 it is proposed to evaluate the (limited set of) materials on an individual basis to control for any
2892 risks associated to their placing on the market.

2893

2894 **7.5 Group E – by-products as fertilising product components added for**
2895 **technical reasons**

2896 The criteria proposals for this group will be developed based on the outcomes and lessons learned
2897 from the groups A – D.

2898 **7.5.1 Examples of materials envisaged**

2899 [to be developed in a later stage]

2900

2901 **7.5.2 Concentrations of these materials in envisaged EU fertilising products**

2902 [to be developed in a later stage]

2903

2904

2905

draft – work in progress

2906 **8 CERTAINTY OF FURTHER USE WITHIN THE MEANING OF WASTE**
2907 **FRAMEWORK DIRECTIVE (2008/98/EC)**

2908 As outlined in section 3.5, Article 5(1)(a) of the Waste Framework Directive (2008/98/EC) requires
2909 that “further use of the substance or object is certain” in order to classify as a by-product (Figure
2910 1). ‘Further use is certain’ means that it is not a mere possibility but a certainty; there should thus
2911 be solid evidence or an assurance that the material will be used. For many of the identified materials
2912 outlined in section 7, the use of the by-product may be difficult for many reasons, including
2913 distance to re-use and transport costs, competition with existing sources, compatibility of by-
2914 product volumes with market requirements, etc. Hence, in spite of some materials meeting
2915 requirements on agronomic value and possible contaminant limits, there is still no certainty that
2916 these materials will actually be applied as value-added materials within the EU agricultural sector.
2917 Under conditions of a limited market, at times only part of the by-product can be re-used according
2918 to sound management practices.

2919

2920 The principle of this criterion laid down in Article 5(1)(a) is that if further use were not certain,
2921 there would be a risk of (i) adverse impacts for the environmental and human health resulting from
2922 (long-term) storage, and (ii) the material is ultimately being disposed of on agricultural land when
2923 storage capacity is exceeded. In addition, it may not make business sense to be dependent on a
2924 volatile market of a low-cost by-products, unless a long-term strategy towards re-using the by-
2925 product is in place.

2926

2927 In this respect, an interesting approach undertaken by quality insurance schemes for recycled
2928 materials (Waste & Resources Action Programme - UK (WRAP-UK), 2011; Saveyn and Eder,
2929 2014) involves criteria that limit indefinite storage of materials in time and define storage
2930 conditions to limit adverse impacts (e.g. emissions of dust particles, leachates, greenhouse gas
2931 emissions) resulting from the temporary storage of materials.

2932

2934 **9.1 Mode of interaction with stakeholders**

2935 The JRC will collaborate exclusively on the **Commission expert group for Fertilising Products**
 2936 to collect expert knowledge and techno-scientific data during the development of the project.
 2937 Opportunities for feedback on interim deliverables during project developments will be provided
 2938 through **oral and written consultations rounds** (see section 9.2). Meetings will be organised
 2939 either virtually as webinars or physically through the physical presence of the JRC team at the
 2940 Commission facilities (e.g. in combination with Commission expert group meetings).

2941 **9.2 Tentative timeline**

2942 A tentative project timeline is provided in Table 3.

2943 **Table 3: Tentative project timeline with the different project steps and stakeholder consultations**

Tentative date	Project step	Stakeholder consultation
April 2020	webinar: presentation of project report draft 1 – scope and directional framework questionnaire 1: feedback on directional framework and requests for proposals for candidate CMC 11 materials	written consultation - deadline 4 June
(June 2020)	(webinar/meeting: discussion of report draft 1 and directional framework)	(oral consultation)
Autumn 2020	webinar/meeting: selection of candidate materials for CMC 11 questionnaire 2: additional data requests for selected materials	oral and written consultation - deadline autumn 2020
Spring 2021	webinar/meeting: presentation of draft criteria report draft 2 – updated report, draft criteria for CMC 11 questionnaire 3: feedback on draft criteria	oral and written consultation - deadline spring 2021
Autumn 2021	report draft 3 – full report this report will take into account the feedback from stakeholders and the Commission on the report draft 2, and include a proposal for the draft delegated acts that will be presented to the Fertilisers Working Group in autumn 2021	oral consultation
Spring / Summer 2022	decision on the implementation of the delegated act for CMC 11	oral consultation (DG GROW)

2944 The tentative project timing has been developed taking into consideration Article 42(7) of the FPR:
 2945 “By 16 July 2022, the Commission shall adopt delegated acts in accordance with Article 44
 2946

2947 supplementing point 3 of component material category 11 in Part II of Annex II to this Regulation
2948 by laying down criteria on agronomic efficiency and safety for the use of by-products within the
2949 meaning of Directive 2008/98/EC in EU fertilising products”.

2950

2951 Apart from the consultation round on this document, JRC will collect feedback from the
2952 stakeholders on the selection of candidate materials from CMC 11 (autumn 2020), and the proposed
2953 draft criteria for by-products (spring 2021). The JRC will strive to deliver the final proposals by
2954 Autumn 2021 to DG GROW.

draft - work in progress

2955 **10 STAKEHOLDER FEEDBACK**

2956 **10.1 Objective of the questionnaire**

2957 The objective of this questionnaire is to **complement the information database** on “priority
2958 materials” for CMC 11. The requested information is critical for the development of criteria
2959 proposals. Without a clear understanding of the contaminant profiles of candidate materials, and
2960 the associated risks, it is impossible to develop adequate criteria for their safe release to the market.
2961 Therefore, JRC welcomes at this stage all relevant information that can contribute to a full
2962 assessment of risks and stakeholder concerns. A lack of data on contaminants at this evaluation
2963 stage might involve that certain risks arising from the placing of the market of by-products cannot
2964 be dismissed, and may therefore lead to increases in the complexity and costs of the proposed
2965 compliance schemes, or even lead to the decision not to further consider certain materials.
2966 Moreover, it is emphasised that confidence in the materials by all stakeholders involved is requisite
2967 for a well-functioning internal by-product market.

2968 **10.2 Information exchange**

2969 The **Commission expert group for Fertilising Products is now invited to provide their**
2970 **feedback** on this report (version 2). JRC will take into account relevant and credible techno-
2971 scientific information for the final report from these different stakeholders. However, to ensure a
2972 structured and time-efficient consultation process, the feedback will be based on a structured
2973 approach. The expert group members shall provide any feedback in a concise, constructive and
2974 structured form to enable the rapid understanding of the key messages.

2975 The feedback should be provided in English, in order to facilitate the exchange of feedback among
2976 all stakeholders.

2977 It is required that organisations provide a consolidated opinion; one contribution per organisation
2978 will be accepted. Umbrella organisations (e.g. EU wide industry associations or Member States)
2979 with daughter organisations (e.g. national industry associations or regional authorities) should
2980 compile the feedback of their daughter associations into one consolidated reply.

2981 The JRC is pleased to take into account any feedback from the Commission expert group for
2982 Fertilising Products **until the deadline of Monday 25 January 2021** through the European
2983 Commission’s CIRCABC platform.

2984 **10.3 Procedure**

2985 The CIRCABC platform is the preferred exchange information platform between experts and the
2986 JRC. Therefore, JRC has created a new CIRCABC interest group, entitled “JRC by-product
2987 fertilisers”. Note that the information posted in the interest group is available to all stakeholders.
2988 An open exchange of information is preferred to ensure transparency. Please contact JRC ([JRC-
2989 B5-FERTILISERS@ec.europa.eu](mailto:JRC-B5-FERTILISERS@ec.europa.eu)) for the provision of any confidential information that, on an
2990 exceptional basis, cannot be shared with other stakeholders.

2991 **10.3.1 Accessing the CIRCABC “JRC by-product fertilisers” Interest Group**

2992 JRC will invite the experts from the Commission expert group for Fertilising Products in due
2993 course. Alternatively, experts can also apply for membership. The interest group can be accessed,
2994 as follows:

2995 Step 1: Access CIRCABC

2996 Open an internet browser and go to the CIRCABC homepage <https://circabc.europa.eu/>

2997 In EU Login, your credentials and personal data remain unchanged. You can still access the same
2998 interest groups (e.g. “Fertilisers”, the interest group managed by DG GROW) and applications as
2999 before. You just need to use your e-mail/password address for logging in.

3000 Step 2: Access Interest Group “JRC by-product fertilisers”

3001 <https://circabc.europa.eu/> -> Browse Public Groups -> European Commission -> Joint Research
3002 Centre > JRC by-product fertilisers

3003 Click on 'Browse Public Groups' in the top header, and choose 'European Commission'. Inside the
3004 European Commission, click on 'Joint Research Centre', and then “JRC by-product fertilisers”.

3005 Step 3: Fill in Membership Application Form

3006 If you are not yet listed as a group member, click on 'Join the Group' and fill in the Membership
3007 Application Form and then click 'submit'. After the manual approval by the JRC by-product
3008 fertilisers team, you will be admitted as full member of the Interest Group. You will receive an e-
3009 mail with the link to the Interest Group confirming your access. Note that **permission to upload**
3010 **documents in the library is restricted to experts of the Commission expert group for**
3011 **Fertilising Products.**

3012 **10.3.2 Uploading feedback on the draft report version 2**

3013 The library is the place where all documents are stored, managed and shared. Once logged into the
3014 'JRC by-products fertilisers' Interest Group, the library can be accessed by clicking on the icon in
3015 the header.

3016 The report can be downloaded from the CIRCABC Interest Group: EUROPA > European
3017 Commission > CIRCABC > Joint Research Centre > JRC by-product fertilisers > Information
3018 distributed by JRC.

3019 Expert feedback can be uploaded via: CIRCABC Interest Group: EUROPA > European
3020 Commission > CIRCABC > Joint Research Centre > JRC by-product fertilisers > Feedback
3021 Commission expert group > version 2 - November 2020 (top right green icon “ADD +”). The
3022 document name should start with the country code or acronym of the member organisation.

3023 Please structure your reply in an organised manner to ensure that feedback is task-focused, clear,
3024 to the point, and does not contain redundant or marginal information to safeguard time efficiency.
3025 Any **opinions should be supported by objective and evidence-based arguments**. No template
3026 for the feedback is provided by the JRC. You are welcome to join technical or scientific documents
3027 (e.g. reports, databases, peer reviewed journal articles) with your feedback. These supporting
3028 documents should also be in English or accompanied by at least an English translation of the
3029 relevant section. For any document of more than 10 pages in length, clear indications should be
3030 given on where the relevant information can be found (e.g. “See contaminant concentrations of
3031 candidate by-product A in Table X on page Y of the enclosed document entitled ZZZ.pdf”).

3032 The JRC is pleased to take into account any feedback from the stakeholders **UNTIL THE**
3033 **DEADLINE OF MONDAY 25 JANUARY 2021.**

3034 In case of any further questions, please contact the JRC team at: JRC-B5-
3035 FERTILISERS@ec.europa.eu

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3036 **10.4 Questionnaire on version 2 of this draft**

3037 **10.4.1 General questions**

3038 As outlined in section 8, it should be ensured that the storage and handling of by-products should not lead to risks for the environment and human health, e.g. as a result
3039 of long-term storage under inappropriate conditions.

3040 Q G.1: What is the typical storage time for components to be used in (EU) fertilising materials?

3041 Q G.2: Please provide examples of “good management practices” related to storage and handling of components to be used in (EU) fertilising products. Have
3042 (voluntary) industry standards or codes of good practice on storage/handling been developed by industry? Do EU Member States impose minimal conditions or
3043 rules related to the storage of by-products and components for fertiliser products so as to avoid adverse environmental and human health impacts?

3044 Additional questions will be requested for identified “priority materials”, based on the group they fit in (see below).

3045 **10.4.2 Group A - By-products primarily obtained through the refining of fossil fuels (for chemical industry)**

3046 Please provide any information on the materials listed in the first row of Table 4 (covered in section 7.1 of this document).

3047 Q A.1: The JRC welcomes more details from manufacturers on the production processes description, with a particular view on identifying or discarding the presence of
3048 impurities and contaminants. Please describe the processes (i) as general and broad as possible with a view of capturing possible differences in manufacturing methods
3049 and techniques applied across industry, and (ii) in such a manner that no confidential information is included (else, please contact JRC to safeguard confidentiality during
3050 the assessment process).

3051 Q A.2: Are all materials from this group REACH registered (see also section 6)? If so, what is their European Community number / List number assigned by ECHA (EC
3052 No)?

3053 Q A.3: Are industry standards/minimum requirements applicable for by-products that will be placed on the fertilising market (e.g. purity, moisture content, minimum
3054 nutrient content, free acid content, etc)?

3055 Q A.4: Do EU Member States impose specific requirements related to the placing on the market for these by-product materials? Do EU Member States have limit values
3056 for any of the impurities/contaminants listed in Table 4 (or any other relevant properties of the by-product materials)? If so, please specify.

3057 Q A.5: Please complete Table 4 according to following instructions:

- 3058 • Complete any green cells for which information is available on concentrations of impurities/contaminants present in the candidate CMC 11 materials;
- 3059 • Provide as much information as possible on data distribution (average values, minimum-maximum value, number of measurements; all individual
3060 measurement values of series, etc.)
- 3061 • Express all data on a dry matter basis. In case no such information would be available, please specify the contaminant concentrations relative to the nutrient
3062 content of the material (e.g. mg / kg total N) and ensure that the unit is clearly indicated;
- 3063 • Specify in case contaminants are absent and why. For instance: because measurements are below limit of detection or due to technical reasons (e.g. specific
3064 process steps applied, no risk of formation in manufacturing process, not used as a reactant);
- 3065 • Focus on the “open” questions (dark green boxes) that aim at identifying additional contaminants (including PBT substances and CMR substances), and
3066 provide any relevant qualitative or quantitative information you might have;
- 3067 • Please be as specific as possible when adding substances to the Table (e.g. methyl mercaptan instead of “organosulphur compounds”, 1,3-butadiene instead of
3068 “volatile organic carbon compounds”);
- 3069 • No template is provided for feedback, but please ensure that all feedback (preferentially in editable file formats such as MS excel or MS-word) is well
3070 structured and understandable to JRC.

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Table 4: Information request for group A materials. Please carefully read the instructions for completing the Table outlined above. All concentration data should be expressed as mg kg⁻¹ dry material (with the exception of free acid content).

	Ammonium salts from cyclohexanone oxime and caprolactam production (section 7.1.2)	Ammonium sulphate from acrylonitrile and hydrocyanic acid production through ammoxidation (section 7.1.3)	Ammonium sulphate from methyl methacrylate production (section 7.1.4)	Ammonium sulphate from saccharin production (section 7.1.5)	Ammonium sulphate from methionine production through chemical synthesis (section 7.1.6)	Other well-defined substances (as defined pursuant regulation (EC) No 1907/2006) that are salts of ammonia (NH ₄ ⁺), nitrate (NO ₃ ⁻), phosphate (PO ₄ ⁻), or sulphate (SO ₄ ⁻), and fall within the scope of this group as outlined in section 7.1.7 (please specify materials, production process and impurities)
Bulk organic carbon (mg kg ⁻¹ dry material)						
Total nitrogen content (% , dry matter basis)						
Water content (%)						
Free acid content (% by mass)						
Purity (%) (please specify measurement method)						
Tarry substances and sulphur-containing organic substances (please specify substance, mg kg ⁻¹ dry matter)						

Polyaromatic hydrocarbons (PAH, mg kg ⁻¹ dry matter)						
PCDD/F (ng WHO toxicity equivalents kg ⁻¹ dry matter) and PCBs (mg kg ⁻¹ dry matter)						
Cyanides (total, mg kg ⁻¹ dry material)						
Process-specific organic impurities, including intermediate reaction products (mg kg ⁻¹ dry material)						
	caprolactam	acrylonitrile	vinyl ether	o-toluene-sulphonamide,	acrolein,	(please specify substance)
	cyclohexanone	acetonitrile	methanol	o-sulphamido-benzoic acid	methyl mercaptan	(please specify substance)
	cyclohexanone oxime	acrolein	methacrylic acid (vinyl acetate)	methyl anthranilate	methionine nitrile	(please specify substance)
	aniline	acrylic acid	aldehydes (e.g. acetaldehyde, please specify substance)	benzamide		(please specify substance)
	2-methylcyclopentanol	propionitrile		methyl benzoate, 2-chloro-benzamide		(please specify substance)
		methacrylonitrile		chlorine		(please specify substance)
Solvents (mg kg ⁻¹ dry material)						

	Benzene	If used as reactant/solvent
	Toluene	If used as reactant/solvent
	Trichloroethylene	If used as reactant/solvent
	Other solvent residues (please specify)	If applicable
	(v)PBT substances*, other than those listed above (mg kg ⁻¹ dry material)	(please specify substance)
	CMR substances**, other than those listed above (mg kg ⁻¹ dry material)	(please specify substance)
	Any other impurities that could be considered hazardous to health or the environment (mg kg ⁻¹ dry material)	(please specify substance)

3073 *Persistent, Bioaccumulative and Toxic substances based on criteria set out in REACH annex XIII

3074 **Known or suspected human carcinogen, mutagen or reproductive contaminant based on human evidence or animal studies (H340, H341, H350, H351, H360 or H361)
3075 listed in the table 3.1 of annex VI to CLP regulation

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3077 **10.4.3 Group B – By-products primarily obtained from the refining of minerals, ores, and metals**

3078 Please provide any information on the materials listed in the first row of Table 5 (covered in section 7.2 of this document).

3079 Q B.1: Which materials from this group REACH are registered (see also section 6)? If so, what is their European Community number / List number assigned by ECHA
3080 (EC No)?

3081 Q B.2: Are industry standards/minimum requirements applicable for by-products that will be placed on the fertilising market?

3082 Q B.3: Do EU Member States impose specific requirements related to the placing on the market for these by-product materials? Do EU Member States have limit values
3083 for any of the impurities/contaminants listed in Table 5 (or any other relevant properties of the by-product materials)? If so, please specify.

3084 Q B.4: Please complete Table 5 according to following instructions:

- 3085 • Complete any green cells for which information is available on concentrations of impurities/contaminants present in the candidate CMC 11 materials;
- 3086 • Provide as much information as possible on data distribution (average, minimum-maximum value, number of measurements; all individual measurement
3087 values of series, etc.)
- 3088 • Express all data on a dry matter basis (with the exception of radioactivity to be expressed as Becquerel kg⁻¹). In case no such information would be available,
3089 please specify the contaminant concentrations relative to the nutrient content of the material (e.g. mg / kg total N) and ensure that the unit is clearly indicated;
- 3090 • Specify in case contaminants are absent and why. For instance: because measurements are below limit of detection or due to technical reasons (e.g. specific
3091 process steps applied, no risk of formation in manufacturing process, not used as a reactant);
- 3092 • Focus on the “open” questions (dark green boxes) that aim at identifying additional contaminants (including PBT substances and CMR substances), and
3093 provide any relevant qualitative or quantitative information you might have;
- 3094 • Please be as specific as possible when adding substances to the Table (e.g. methyl mercaptan instead of “organosulphur compounds”, 1,3-butadiene instead of
3095 “volatile organic carbon compound”);
- 3096 • No template is provided for feedback, but please ensure that all feedback (preferentially in editable file formats such as MS excel or MS-word) is well
3097 structured and understandable to JRC.

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Table 5: Information request for group B materials. Please carefully read the instructions for completing the Table outlined above. All concentration data should be expressed as mg kg⁻¹ dry material (with the exception of radioactivity and free acids).

		Fines from dolomite processing (section 7.2.2.1)	Gypsum and calcium carbonate from sodium chloride brine purification (section 7.2.3)	Sulphate salts and metal sulphates from ore processing (section 7.2.4)	Carbide lime from acetylene production (section 7.2.5)	Post-soda lime from soda ash production (section 7.2.6)	Grinded steel slags (section 7.2.7)	Inorganic salts from metal surface treatment (section 7.2.8)
Metals (mg kg ⁻¹ dry material)								
	Cr(total) (mg kg ⁻¹ dry material)							
	V (mg kg ⁻¹ dry material)							
	Sr (mg kg ⁻¹ dry material)							
	Be (mg kg ⁻¹ dry material)							
	Titanium dioxide (mg kg ⁻¹ dry material)							
	Purity (%) (please specify measurement method)							
	Chloride (Cl-) (mg kg ⁻¹ dry material)							

Fluoride (F-) (mg kg ⁻¹ dry material)							
Mineral oil (mg kg ⁻¹ dry material)							
Polyaromatic hydrocarbons (PAH, mg kg ⁻¹ dry matter)							
PCDD/F (ng WHO toxicity equivalents kg ⁻¹ dry matter) and PCBs (mg kg ⁻¹ dry matter)							
Radioactive substances (e.g. radium, expressed as Bq/kg ⁻¹ dry matter)							
Flocculant residues (please specify substance, mg kg ⁻¹ dry material)							
Free acids (% by mass)							
Process-specific organic impurities, including intermediate reaction products (mg kg ⁻¹ dry material)							
				Ca ₃ P ₂ (calcium phosphide), CaS (calcium sulphide), Ca ₃ N ₂ (calcium nitride)			organic solvents (please specify substance)
				Dissolved acetylene			hydrogen peroxide
							Application-specific metals (e.g. W for metal surface)

							treatment of alloys containing W)
(v)PBT substances*, other than those listed above (mg kg ⁻¹ dry material)	(please specify substance)						
CMR substances**, other than those listed above (mg kg ⁻¹ dry material)	(please specify substance)						
Any other impurities that could be considered hazardous to health or the environment (mg kg ⁻¹ dry material,)	(please specify substance)						

3102 *Persistent, Bioaccumulative and Toxic substances based on criteria set out in REACH annex XIII

3103 **Known or suspected human carcinogen, mutagen or reproductive contaminant based on human evidence or animal studies (H340, H341, H350, H351, H360 or H361)
 3104 listed in the table 3.1 of annex VI to CLP regulation

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3107 **10.4.4 Group C – By-products from gas cleaning systems, other than those from the chemical industry and waste management**

3108 Please provide any information on the materials listed in the first row of Table 6 (covered in section 7.3 of this document).

3109 Q C.1: Are all materials from this group REACH registered (see also section 6)? If so, what is their European Community number / List number assigned by ECHA (EC
3110 No)?

3111 Q C.2: Are industry standards/ minimum requirements applicable for by-products that will be placed on the fertilising market (e.g. moisture content, minimum nutrient
3112 content, etc)?

3113 Q C.3: Do EU Member States impose specific requirements related to the placing on the market for these by-product materials? Do EU Member States have limit values
3114 for any of the impurities/contaminants listed in Table 6 (or any other relevant properties of the by-product materials)? If so, please specify.

3115 Q C.4: Please complete according to following instructions:

- 3116 • Complete any green cells for which information is available on concentrations of impurities/contaminants present in the candidate CMC 11 materials;
- 3117 • Provide as much information as possible on data distribution (average, minimum-maximum value, number of measurements; all individual measurement
3118 values of series, etc.)
- 3119 • Express all data on a dry matter basis. In case no such information would be available, please specify the contaminant concentrations relative to the nutrient
3120 content of the material (e.g. mg / kg total N) and ensure that the unit is clearly indicated;
- 3121 • Specify in case contaminants are absent and why. For instance: because measurements are below limit of detection or due to technical reasons (e.g. specific
3122 process steps applied, no risk of formation in manufacturing process, not used as a reactant);
- 3123 • Focus on the “open” questions (dark green boxes) that aim at identifying additional contaminants (including PBT substances and CMR substances), and
3124 provide any relevant qualitative or quantitative information you might have;
- 3125 • Please be as specific as possible when adding substances to the Table (e.g. methyl mercaptan instead of “organosulphur compounds”, 1,3-butadiene instead of
3126 “volatile organic carbon compound”);
- 3127 • No template is provided for feedback, but please ensure that all feedback (preferentially in editable file formats such as MS excel or MS-word) is well
3128 structured and understandable to JRC.

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Table 6: Information request for group C materials. Please carefully read the instructions for completing the Table outlined above. All concentration data should be expressed as mg kg⁻¹ dry material.

	Materials from SO _x absorption from flue-gas desulphurisation of fossil fuels (section 7.3.2)	Elemental sulphur from thermal sulphide oxidation processes (section 7.3.3)	By-products from biomass gases (section 7.3.4)	Ammonium sulphate from coke production (see section 7.3.5)
Bulk organic carbon (mg kg ⁻¹ dry material)				
Purity (%) (please specify measurement method)				
Moisture content (%)				
Chloride (Cl ⁻) (mg kg ⁻¹ dry material)				
Fluoride (F ⁻) (mg kg ⁻¹ dry material)				
Cyanides (CN ⁻) (mg kg ⁻¹ dry material)				
Polyaromatic hydrocarbons (PAH, mg kg ⁻¹ dry matter)				
PCDD/F (ng WHO toxicity equivalents kg ⁻¹ dry matter) and PCBs (mg kg ⁻¹ dry matter)				
sulphides (e.g. calcium sulphide, carbon disulphide, dimethylsulphide, dimethyldisulphide, dissolved hydrogen sulphide) (mg kg ⁻¹ dry material)				
Process-specific organic impurities (mg kg ⁻¹ dry material)				
	mercaptans and other organic sulphur-containing compounds (please specify substances)		amines (methylamine, dimethylamine, ethylamine, and trimethylamine)	BTEX (benzene, toluene, ethylene, xylene)
	Cr (total)		airborne microorganisms	PAH and naphthalene as individual compound

	Se		tarry substances (please specify substance)
	Tl		
	V		
	Other metals		
	Oxidant residues (e.g. sodium hypochlorite, peroxides)		
(v)PBT substances*, other than those listed above (mg kg ⁻¹ dry material)	(please specify substance)		
CMR substances**, other than those listed above (mg kg ⁻¹ dry material)	(please specify substance)		
Any other impurities that could be considered hazardous to health or the environment (mg kg ⁻¹ dry material)	(please specify substance)		

3131 *Persistent, Bioaccumulative and Toxic substances based on criteria set out in REACH annex XIII

3132 **Known or suspected human carcinogen, mutagen or reproductive contaminant based on human evidence or animal studies (H340, H341, H350, H351, H360 or H361)
 3133 listed in the table 3.1 of annex VI to CLP regulation

3134

3135 **10.4.5 Group D – By-products from the processing of biomass and water for food, drink and biorefinery industries**

3136 Please provide any information on the materials listed in the first row of Table 7 (covered in section 7.4 of this document).

3137 Q D.1: What materials from this group are REACH registered or pre-registered (see also section 6)? What is their European Community number / List number assigned
3138 by ECHA (EC No)?

3139 Q D.2: What is the interest of manufacturers to register materials classified as “biomass residues as by-products from chemical and enzymatic refining processes” under
3140 REACH so as to become CMC 11 materials? For what specific candidate materials would a REACH registration be envisaged, and what would be proposed substance
3141 name for registration? For what specific materials would processing routes through composting or anaerobic digestion be more suitable options?

3142 Q D.3: Are industry standards/ minimum requirements applicable for by-products that will be placed on the fertilising market (e.g. moisture content, minimum nutrient
3143 content, etc)?

3144 Q D.4: Do EU Member States impose specific requirements related to the placing on the market for these by-product materials? Do EU Member States have limit values
3145 for any of the impurities/contaminants listed in Table 7 (or any other relevant properties of the by-product materials)? If so, please specify.

3146 Q D.5: Please complete according to following instructions:

- 3147 • Complete any green cells for which information is available on concentrations of impurities/contaminants present in the candidate CMC 11 materials;
- 3148 • Provide as much information as possible on data distribution (average, minimum-maximum value, number of measurements; all individual measurement
3149 values of series, etc.)
- 3150 • Express all data on a dry matter basis. In case no such information would be available, please specify the contaminant concentrations relative to the nutrient
3151 content of the material (e.g. mg / kg total N) and ensure that the unit is clearly indicated;
- 3152 • Specify in case contaminants are absent and why. For instance: because measurements are below limit of detection or due to technical reasons (e.g. specific
3153 process steps applied, no risk of formation in manufacturing process, not used as a reactant);
- 3154 • Focus on the “open” questions (dark green boxes) that aim at identifying additional contaminants (including PBT substances and CMR substances), and
3155 provide any relevant qualitative or quantitative information you might have;
- 3156 • Please be as specific as possible when adding substances to the Table (e.g. methyl mercaptan instead of “organosulphur compounds”, 1,3-butadiene instead of
3157 “volatile organic carbon compound”);
- 3158 • No template is provided for feedback, but please ensure that all feedback (preferentially in editable file formats such as MS excel or MS-word) is well
3159 structured and understandable to JRC.

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Table 7: Information request for group D materials. Please carefully read the instructions for completing the Table outlined above. All concentration data should be expressed as mg kg⁻¹ dry material.

	Biomass residues as by-products from chemical and enzymatic refining processes (please specify material, see 7.4.2)	bleaching earth/filter aid (e.g. diatomaceous earth, amorphous silicates and silica; included under section 7.4.2)	By-products derived from manufacturing and processing aids (see section 7.4.3)	Humic/fulvic acids from drinking water production (see section 7.4.4.1)	Lime mud and burnt lime from paper and pulp industry (see section 7.4.5)
Bulk organic carbon (mg kg ⁻¹ dry material)					
Purity (%) (please specify measurement method)					
Moisture content (%)					
Cyanides (CN-) (mg kg ⁻¹ dry material)					
Chloride (Cl-) (mg kg ⁻¹ dry material)					
Biological pathogens (<i>Salmonella</i> , <i>Campylobacter</i> , <i>E. coli</i> , <i>Listeria</i> , <i>Clostridium</i> , plant pests, others – please specify) (colony forming units (CFU) g ⁻¹ material)					
Hazard Analysis & Critical Control Point Analysis programmes	In place for production process, and by-products generated?				
Stability (e.g. oxygen uptake rate, expressed as mmol O ₂ /kg organic matter/h)					

PCDD/F (ng WHO toxicity equivalents kg ⁻¹ dry matter) and PCBs (mg kg ⁻¹ dry matter)					
PAH (polyaromatic hydrocarbons, mg kg ⁻¹ dry matter)					
Chloropropanols (µg kg ⁻¹)					
Processing residues (e.g. hexane from solvent use, antifoaming substances, disinfectants) (mg kg ⁻¹ dry matter)					
Methanol (mg kg ⁻¹ dry matter)					
Metals (mg kg ⁻¹ dry matter)					Cr(total)
(v)PBT substances*, other than those listed above (mg kg ⁻¹ dry material)	(please specify substance)				
CMR substances**, other than those listed above (mg kg ⁻¹ dry material)	(please specify substance)				
Any other impurities that could be considered hazardous to health or the environment (mg kg ⁻¹ dry material)	(please specify substance)				

3163 *Persistent, Bioaccumulative and Toxic substances based on criteria set out in REACH annex XIII

3164 **Known or suspected human carcinogen, mutagen or reproductive contaminant based on human evidence or animal studies (H340, H341, H350, H351, H360 or H361)
3165 listed in the table 3.1 of annex VI to CLP regulation

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3167 **10.4.6 Group E – By-products as fertilising product components added for technical reasons**

3168 Q E.1: What materials are envisaged for this group? Please provide information on the identity and production process of candidate materials.

3169 Q E.2: What is the mass proportion of these materials in the fertilising products that will be placed on the market

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